

**HOW SCIENCES AND TECHNOLOGY  
CAN COPE WITH DISASTERS BETTER?  
-A CHALLENGE TOWARDS INTEGRATED  
DISASTER RISK MANAGEMENT**

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# Major Messages

- Disasters, particularly natural disasters are one of the most crucial issues for peoples living in Asia. There have been ever-growing needs for roles of sciences and technology as a means of knowing and managing disasters more and better.
- How sciences and technology can more and better contribute to disaster reduction by addressing “lessons learned” and “good (success) models/ practices”?
- Three aspects of what a disaster is about: Hazard, Vulnerability , (Exposure)and (Risk) Management
- Disasters are local in nature but could become very global, given globalization. So it could sometimes become a **systems of systems** to govern.

# Strategic Research Focuses

- Two types of research focuses are expected to be made strategically.
  - 1) More fundamental, pinpointed, and edge-cutting approach.
  - 2) More cross-disciplinary and integrated approach.
- Both are also interrelated.
- A Frontier Research Area: More efforts to be made towards Focus 2).

- Our knowledge and technology have to reach people (policy makers, regulators, practitioners, cooperates and above all residents at local, regional, national, and international ) who actually need them!
- We are yet short of so doing and there exists a seemingly small but essential gap between us researchers here and users there.
- This is called “the last mile issue for disaster reduction” or “social implementation”



# Episode 1

# In Asia, particularly we are living with disaster risks which are imbedded in our daily life activities

- You cannot avoid disasters fully.
- Do people (tend to) make a choice to live there or are they forced to (meaning no other choice) ?
- In any case it is a choice of society or individual even if they have to take a risk to do that.
- Decision depends largely on whether they are well or ill informed and knowledgeable of uncertainty and unknowns .

# *In Malaysia now*

## *Dept: Brace for the Worst*

The Star, Nation, Sat. Nov. 31,2010

- Northern states set to face extremely bad weather, flash floods
- The Meteorological Department (MMD) has issued **a yellow stage warning**, adding that heavy rainfall and thunderstorms with windy conditions were expected to occur over Kelantan and Terengganu beginning today.
- **A yellow stage warning**, the first of three warning levels, denotes a possibility of a monsoonal surge in the next 24 to 48 hours.

***Indonesia's Latest Twin Disasters  
Our Deepest Condolences  
and Sympathy***

# Mount Merapi erupted





## Mount Merapi erupted



(AP PHOTO)

[http://www.google.co.jp/imglanding?imgurl=http://indahnesia.com/Images/Information/MER\\_merapi\\_eruption\\_2006.jpg&imgrefurl=http://indahnesia.com/picture/MER/005/gunung\\_merapi\\_eruption\\_in\\_2006.php&h=305&w=500&sz=44&tbnid=hO6M9RNEA5oZxM:&tbnh=79&tbnw=130&prev=/images%3Fq%3DMerapi%2Beruption&zoom=1&q=Merapi+eruption&hl=ja&usg=\\_\\_pfKSWfCM35sJq2rAftghayyxNO8%3D&sa=X&ei=5kvNTJm2FliKvgO8\\_fDPDw&ved=0CEAQ9QEwBg](http://www.google.co.jp/imglanding?imgurl=http://indahnesia.com/Images/Information/MER_merapi_eruption_2006.jpg&imgrefurl=http://indahnesia.com/picture/MER/005/gunung_merapi_eruption_in_2006.php&h=305&w=500&sz=44&tbnid=hO6M9RNEA5oZxM:&tbnh=79&tbnw=130&prev=/images%3Fq%3DMerapi%2Beruption&zoom=1&q=Merapi+eruption&hl=ja&usg=__pfKSWfCM35sJq2rAftghayyxNO8%3D&sa=X&ei=5kvNTJm2FliKvgO8_fDPDw&ved=0CEAQ9QEwBg)



# Merapi claims more lives and its guardian

Slamet Susanto and Sri Wahyuni, The Jakarta Post, Yogyakarta | Thu, 10/28/2010 9:37

AM | Headlines A | A | A |



<http://www.thejakartapost.com/news/2010/10/28/merapi-claims-more-lives-and-its-guardian.html>

# *Twin disasters leave 400 dead*

Malaysian “The Star-`People’s Paper”,  
World, Sat. 30 Oct.,2010

- Indonesia battled to deliver aid to remote islands where a tsunami has **killed over 400 people**, as bodies lay strewn on beaches and buried in debris days after the wave hit.
- Disaster response officials believe the final death toll from the huge wave that hit **the Mentawai island** chain off the west coast of Sumatra on Monday **could pass 600**, with many of the victims sucked out to sea as the tsunami receded.
- Almost **13,000 people are staying in makeshift camps** on the islands after their homes were wiped out in the wave, which was triggered by a **powerful 7.7 magnitude earthquake**.



# *(Continued) Twin disasters leave 400 dead*

Malaysian “The Star-`People’s Paper”, World, Sat.

30 Oct.,2010

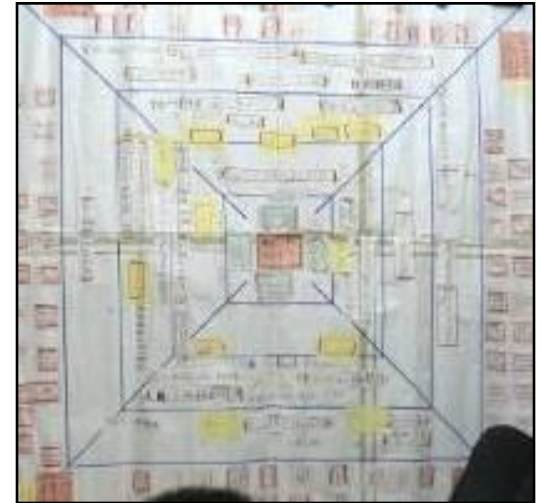
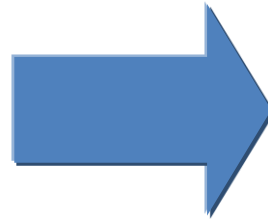
- **Mount Merapi**, erupted five more times yesterday, threatening residents who may have returned to their homes after an eruption on Tuesday’s **eruption killed 34 people**. No casualties were reported but officials said **two more died of burns** from Tuesday’s eruption.
- **Some 50,000 people have fled to temporary shelters** but **many are returning to their fields on the volcano during the day, despite the threat of another deadly eruption.** ⇔ **Lessons learned by others seemed failed to be made use of!!**

# Our efforts perhaps serving on a modest scale?

- Collaboration between DPRI-Kyoto Univ. and Public Works Department, UGM, Indonesia (2009 -2010)
- Participatory Approach Introduced for Integrated Community Action Plan and Management –With a Focus on Merapi Volcanic Eruption Disaster Risk Susceptible Communities
- So far no victims reported-which needs anyway further “check”, “action”, “plan” and “do” processes needed by keeping stationed in field

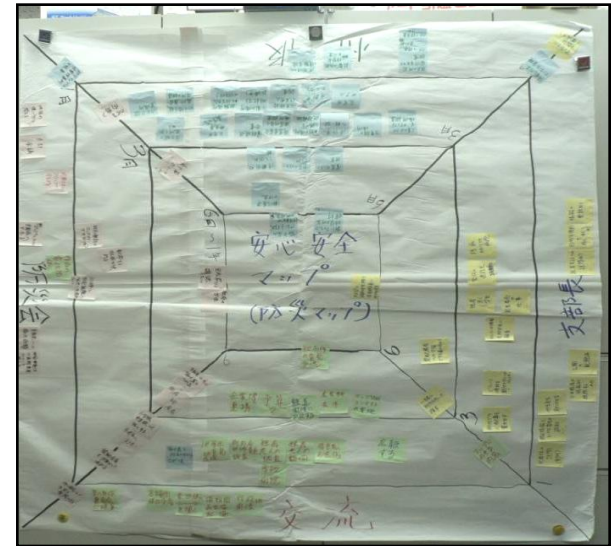
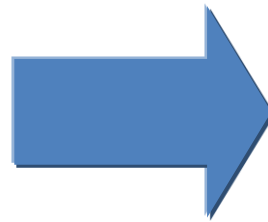
# Implementation of YSM in Japan

(1/0 Movement Activity of Hayase Village, Chizu, Tottori,  
1997-2006)



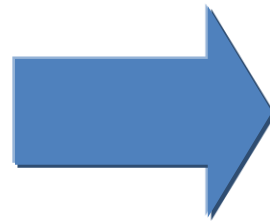


# Implementation of YSM in Japan (Disaster Reduction Action Plan Development of Shuhachi Community, Kyoto City in January ,2008)





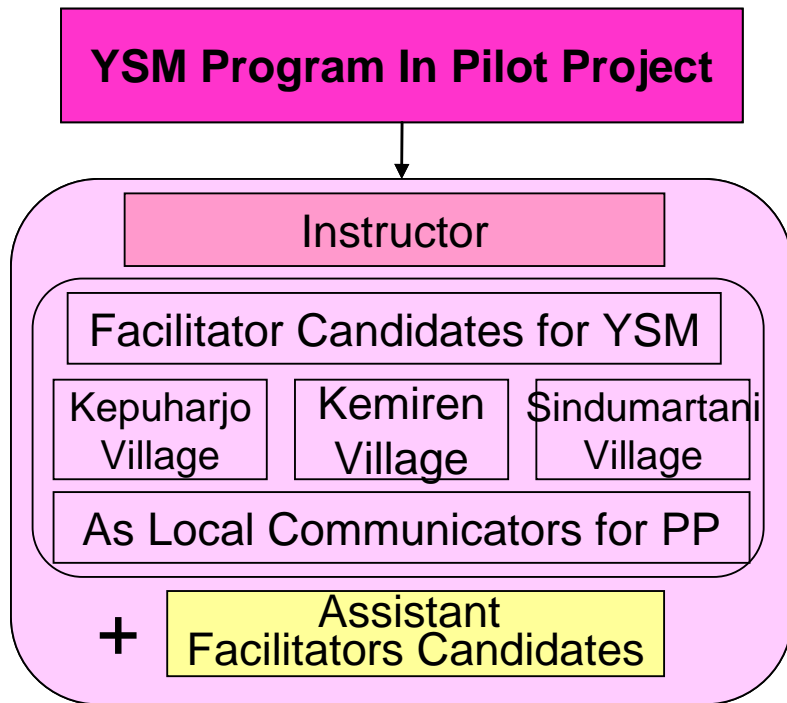
# Implementation of YSM in Japan (Open Ceremony of Yamasato Area, Chizu, Totori in 13, July, 2008)



# A New Challenge: Why and How YSM was introduced in the Merapi Pilot Project?

1. Each community had agreed to develop a collaborative action plan in a participatory manner so as to improve roles and activities of their social organization.
2. The fatal lack of capacity on the side of the community people was their inability and inexperience to systematically and logically make a sound diagnosis of the current state of their community and to work out a collaborative action plan so as to achieve their own goal.
3. YSM provides a communication basis for working together by having all seated together around a square table and by brainstorming about each other's views.
4. YSM provides collaborative action plan to improve the activity of sand mining management in local community, as the suitable participatory workshop method .

# New knowledge Developed through YSM Application Program in Pilot Project



◆ Part 1:  
Facilitator Training's  
Program of YSM

**Developing Action Plan for Field Activities**

- Kemiren Village
- Sindumartani Village
- Kepuharjo Village

◆ Part 2:  
YSM Workshop for  
Developing  
Collaborative Action Plan



# Facilitator Training's Program of YSM for Facilitator Candidates of UGM



**April, 2009**



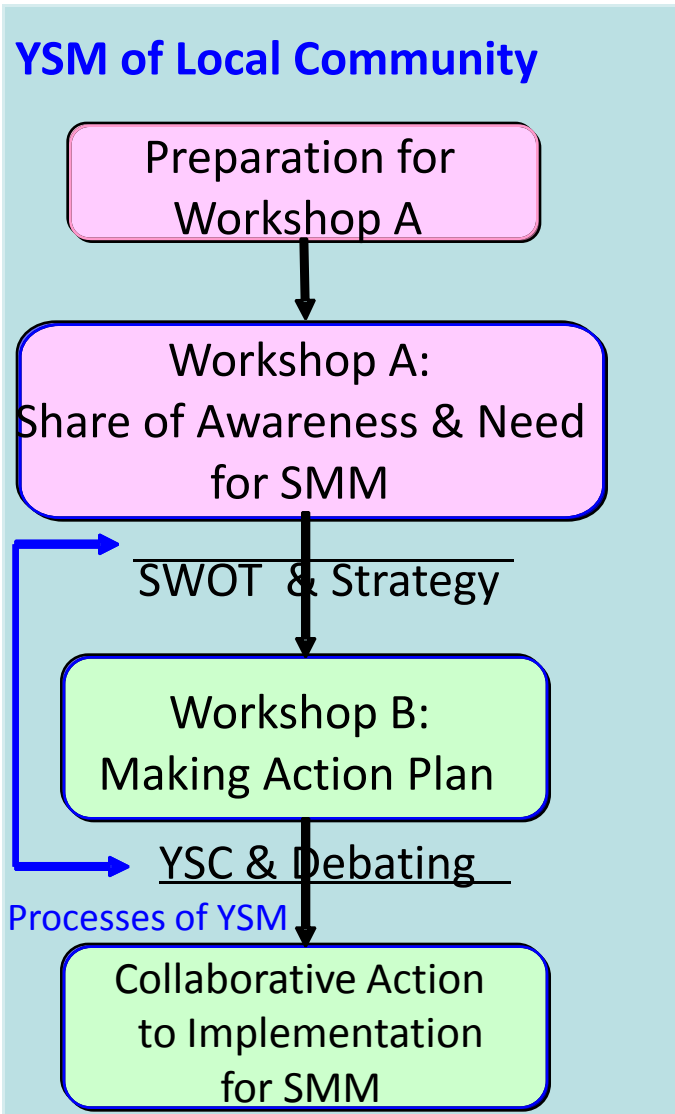
**May, 2009**



**June, 2009**



# Special Procedures Developed to Implement YSM Workshops in Pilot Project



Implementation of YSM

## ◆ Workshop A:

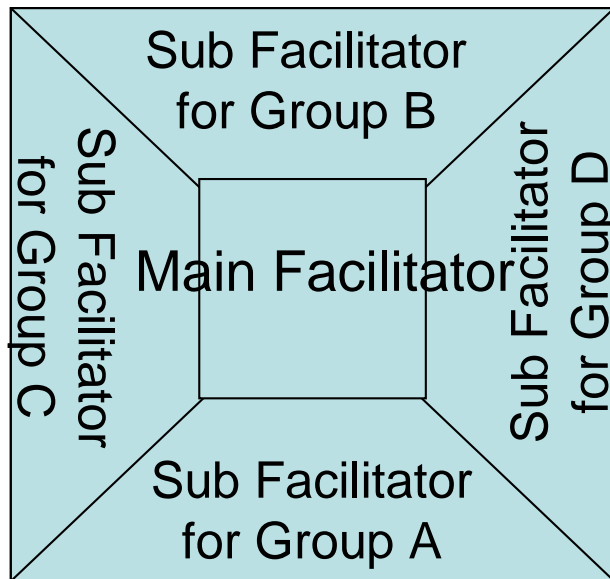
Sharing conscious between stakeholders

## ◆ Workshop B:

Developing collaborative action plan to implement SMM in local communities

SMM: sand mining management  
YSM:Yonmenkaigi system method  
GMU:Gadjah Mada University

# A new style of YSM workshop facilitation



◆ KU-UGM proposed a new style of YSM facilitation:

adding the sub-facilitators to each group, considering the local situation

◆ **The role of Sub-facilitator:**

**Assisting and Accelerating** participants' involvement during YSM Workshop

- 1) Supporting main facilitator
- 2) Guiding participants

# Implementation of YSM in Pilot Project

Implementation of YSM

## YSM of Local Community

Preparation

In Aug, 2009

**Workshop A:  
Share of awareness & need  
to SMM**

SWOT & Strategy

In Aug, 2009

**Workshop B:  
Making action plan**

YSC & Debating

In Oct, 2009

**Field activity  
to SMM**



Workshop A in 12, Aug, 2009



## Topics of Villages:

### 1. Kemiren Village

- Sand Mining Truck Survey

### 2. Sindumartani Village

- Mapping of the Potential Location for Reclamation

### 3. Kepuharjo Village

- Profile of Sand Mining Activity

**SMM: sand mining management**  
**YSM:Yonmenkaigi system method**

# Case study: Case study: Kemiran village of Merapi volcano



Workshop A



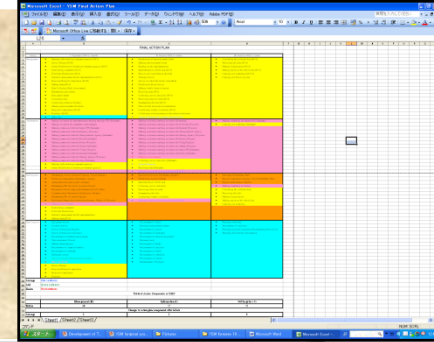
2.SWOT



3. YSC



4. Debating



## S

1. Have an office
2. Already legalized
3. Knowledge on the environment of survey
4. Sufficient Human Resources
5. Members of Bumi LEstari were former truck driver
6. Having conducted survey before

## O

1. Safe and quiet (conductive) condition
2. Assistance from GMU, Kyoto Univ, and YEC
3. Support from Village Government
4. Support from villagers
5. Support from related government agency
6. Proactive truck drivers
7. Most truck drivers know Bumi Lestari members

## W

1. Communication is not good
2. Not familiar with survey method

## T

1. Many activities
2. Local truck driver
3. Unsupportive villagers
4. Disaster

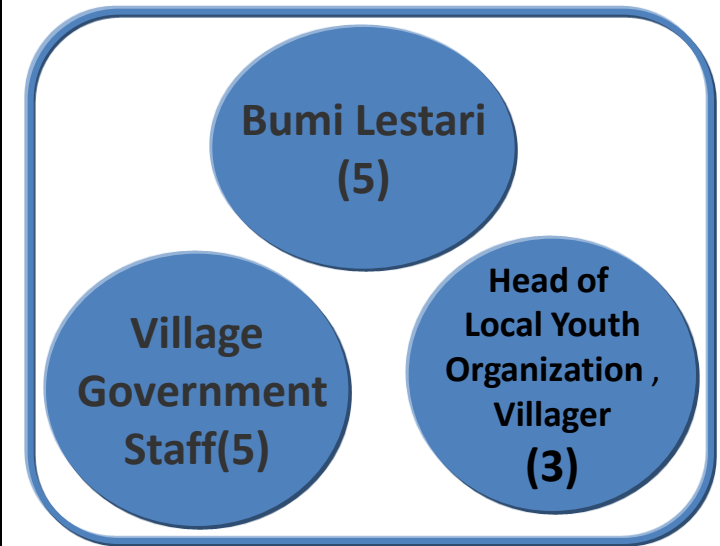
## Strategy with SWOT analysis result

1. The objective of the field activity is to know the number of sand and gravel trucks and rate of sand from mining sites in Bebeng River passing through Kemiren village in a week.
2. The target is the truck armada passing through Kemiren.
3. Funding source for survey implementation is from village and Bumi Lestari.

# Kemiren Yonmenkaigi Workshop

<b>Date</b>	19 August 2009	
<b>Time</b>	13.20 – 16.10	3 hours
<b>Place</b>	Kemiren Village	
<b>Participants</b>	Number : 13	Kemiren Villagers
<b>Topic</b>	Survey Armada	
<b>Facilitator</b>	Main : Aris	Sub: Mimbar, Didik, Pras, Nunung
<b>Recorder</b>	who : Fika	How : Camera, Handy Cam

## Identification of participants



# Case study: Case study: Kemiren village of Merapi volcano



Workshop A



2. SWOT



3. YSC



4. Debating

Category	Sub-category	Value
Strengths	...	...
Weaknesses	...	...
Opportunities	...	...
Threats	...	...



Debating for Collaboration



YMC after Debating



# Partial Action Plan Chart (Kemiran Village)

	I. September 2009 (1 month)	II. October 2009 (3 weeks)	III. October 2009 (1 week)
M	<p>Meeting with related government agencies (M+I)</p> <p><b>Establishing committee (M+S)</b></p> <p>Proposal Compilation (M+H)</p>	<p>Implementation of field task (M+S)</p> <p><b>Survey on where the trucks come from</b></p> <p>Collecting survey data daily (M+S)</p> <p>Agenda Planning (M+I, arrange from Sept 09)</p>	<p>Making report on the whole data (M+S)</p> <p><b>Carrying out workshop (M+S+I)</b></p> <p>Carrying out follow up plan</p>
I	<p><b>Making invitation for implementation meeting through TPS (Sukamto)</b></p> <p>Making invitation for committee establishment</p>	<p>Making evaluation meeting invitation for meeting</p> <p><b>Making survey format</b></p> <p><b>Drawing conclusion of evaluation results (I+S)</b></p>	<p><b>Making workshop invitation (I+S)</b></p>
S	<p><b>Determining survey personnel (Agung, Yusuf, Sutarno)</b></p> <p>Preparation of base camp establishment (Yusuf S, Heri)</p> <p>Communication Preparation (Iswahyanto, Istiarno)</p>	<p><b>Data receiver (Yusuf, Nurohman, Istiarno)</b></p> <p>Distributing logistic (Salem)</p>	<p><b>Report Compilation</b></p>
H	<p><b>Equipment Survey</b></p> <p>Procurement of uniforms</p> <p>Survey on trucks that are already recorded</p>	<p><b>Procurement of something</b></p>	<p><b>Preparing of workshop</b></p> <p>Preparing food for the consumption</p>

# Outcomes of YSM (Action Plan of Field Activities: Three Communities



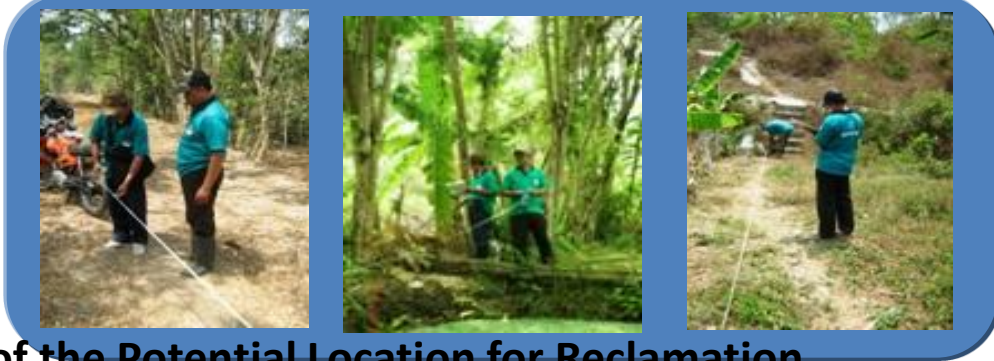
**Kemiren**



**Sand Mining Truck Survey**



**Sindumarutani**



**Mapping of the Potential Location for Reclamation**



**Kepuharjo**

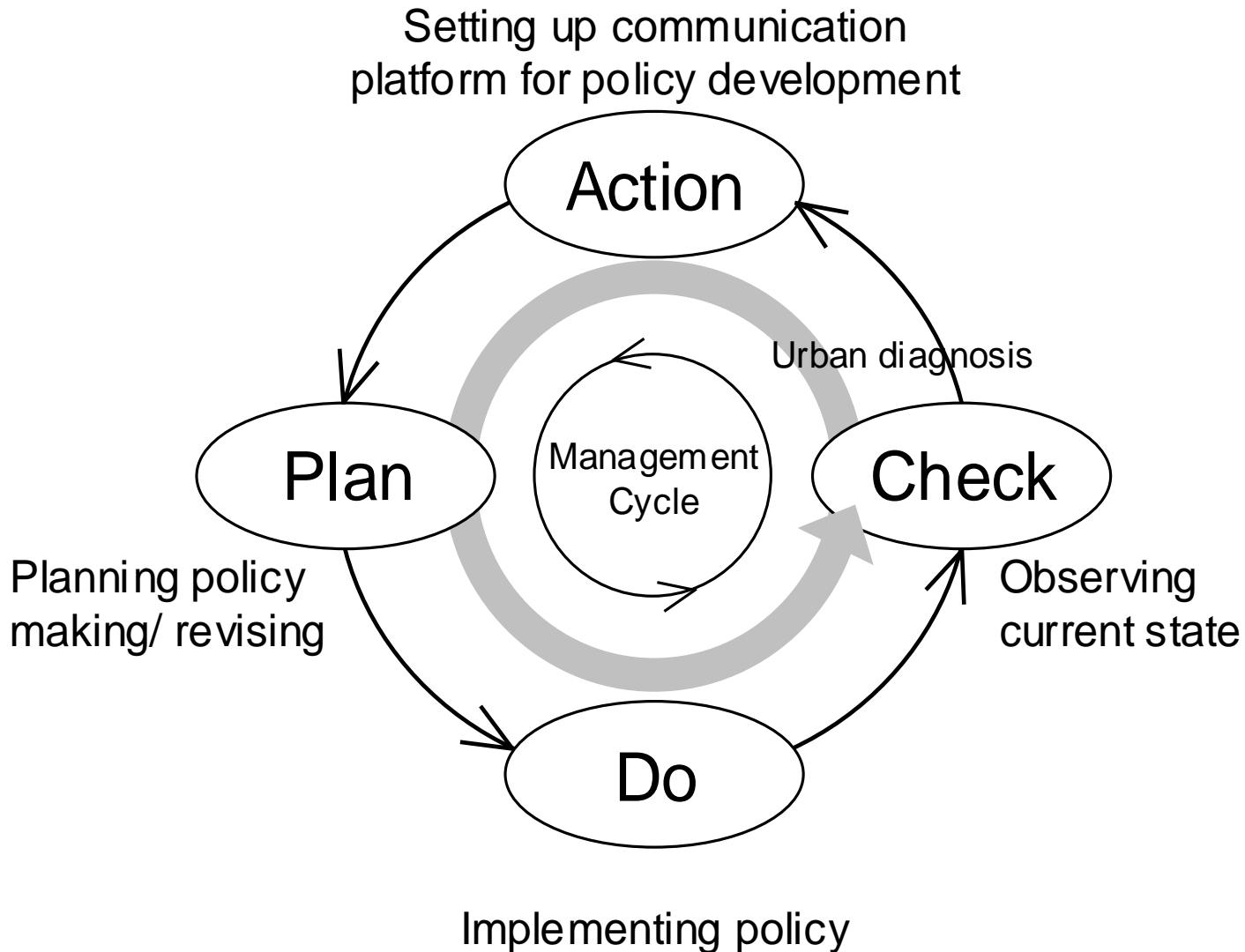


**Profile of Sand Mining Activity**



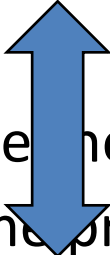
# Plan-Do-Action-Plan Process

## Small but Complete by Adaptive Management

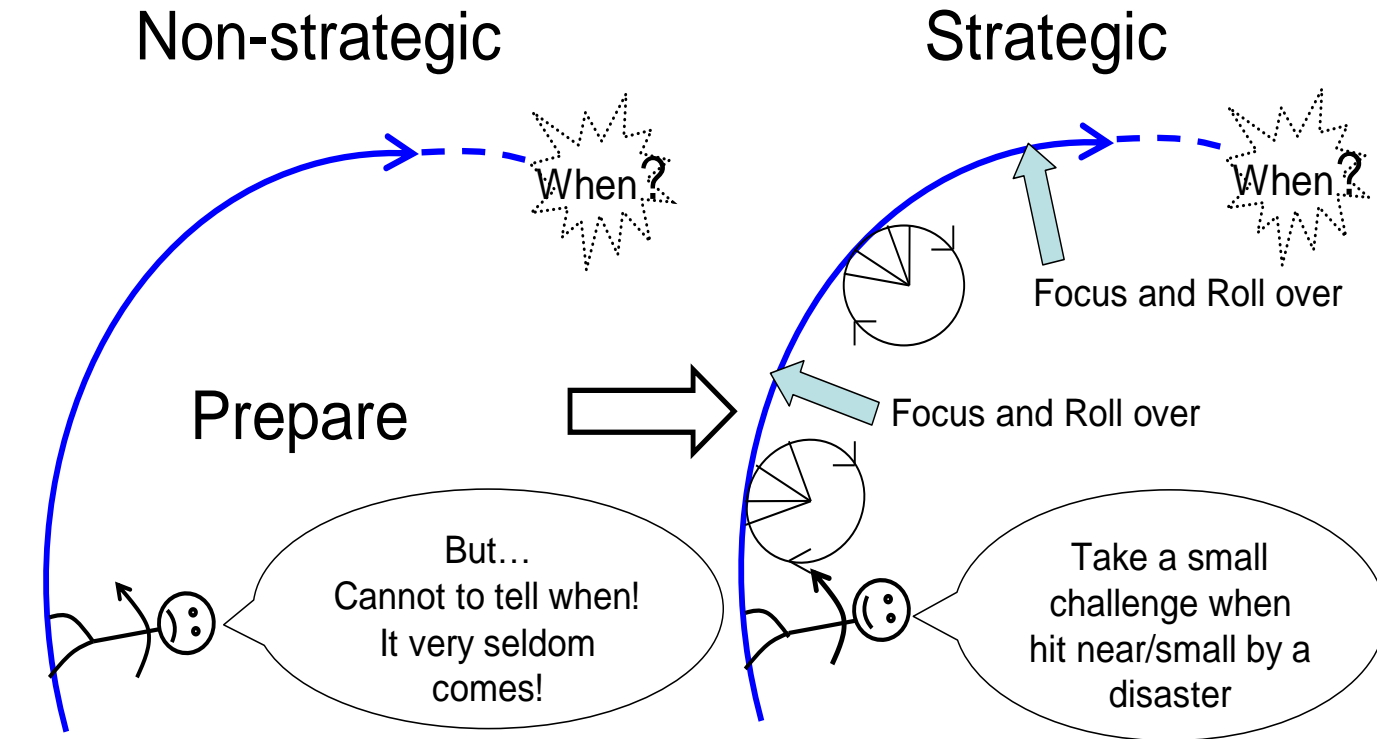


# Challenge towards an Innovative Research Framework

## Driving back and forth between Theorization and Field Finding/Testing

- Basic (mathematical) theories/logical models for formalizing, explaining/interpreting, and diagnosing the mechanism/process.
  - Basic (mathematical) theories/logical models for hypothesizing viable solutions and estimating/predicting possible outcomes .
- 
- Field Finding/Testing the theories/models.
  - Field Finding/Testing (the process of reaching) viable solutions.

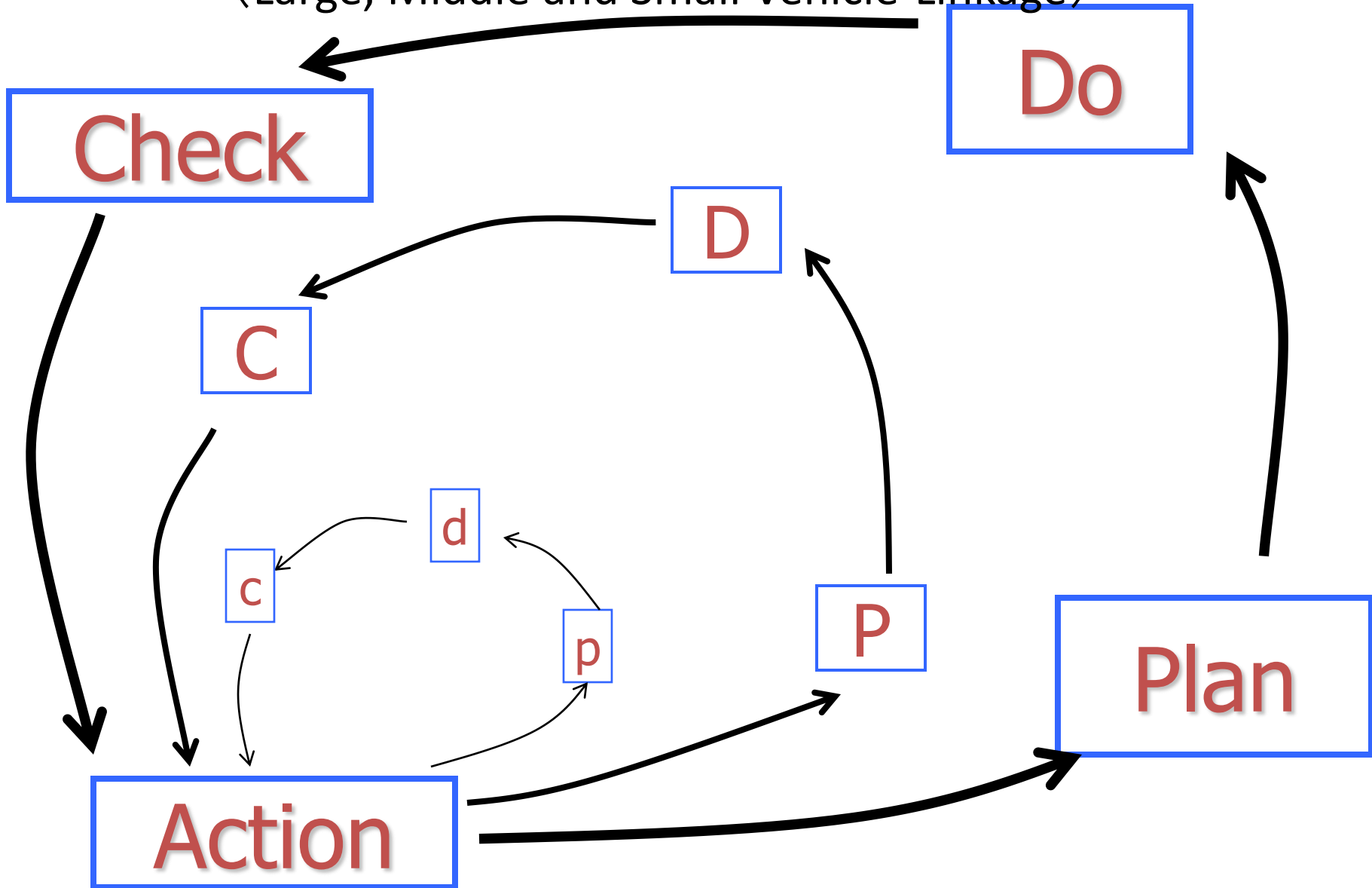
# Strategic Shift towards Sustainable Disaster Cycle Management (Matsuda et al)

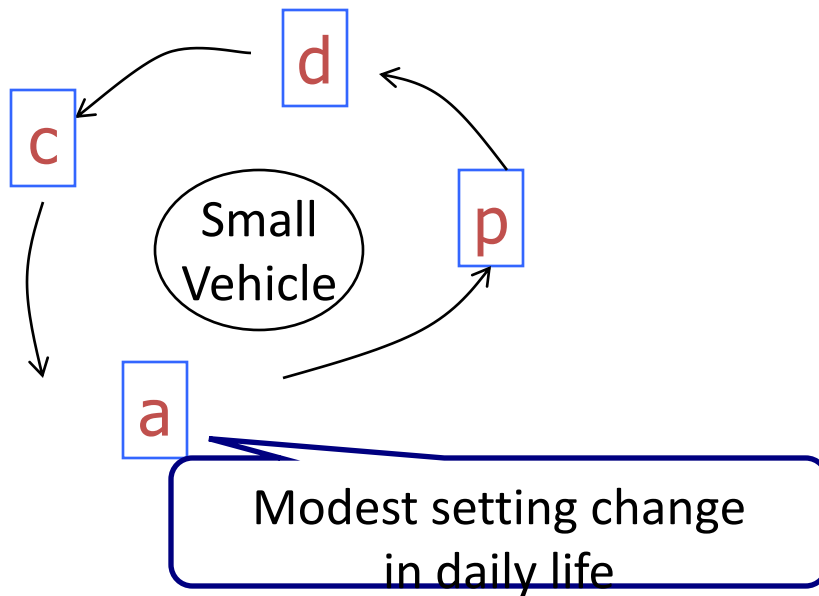


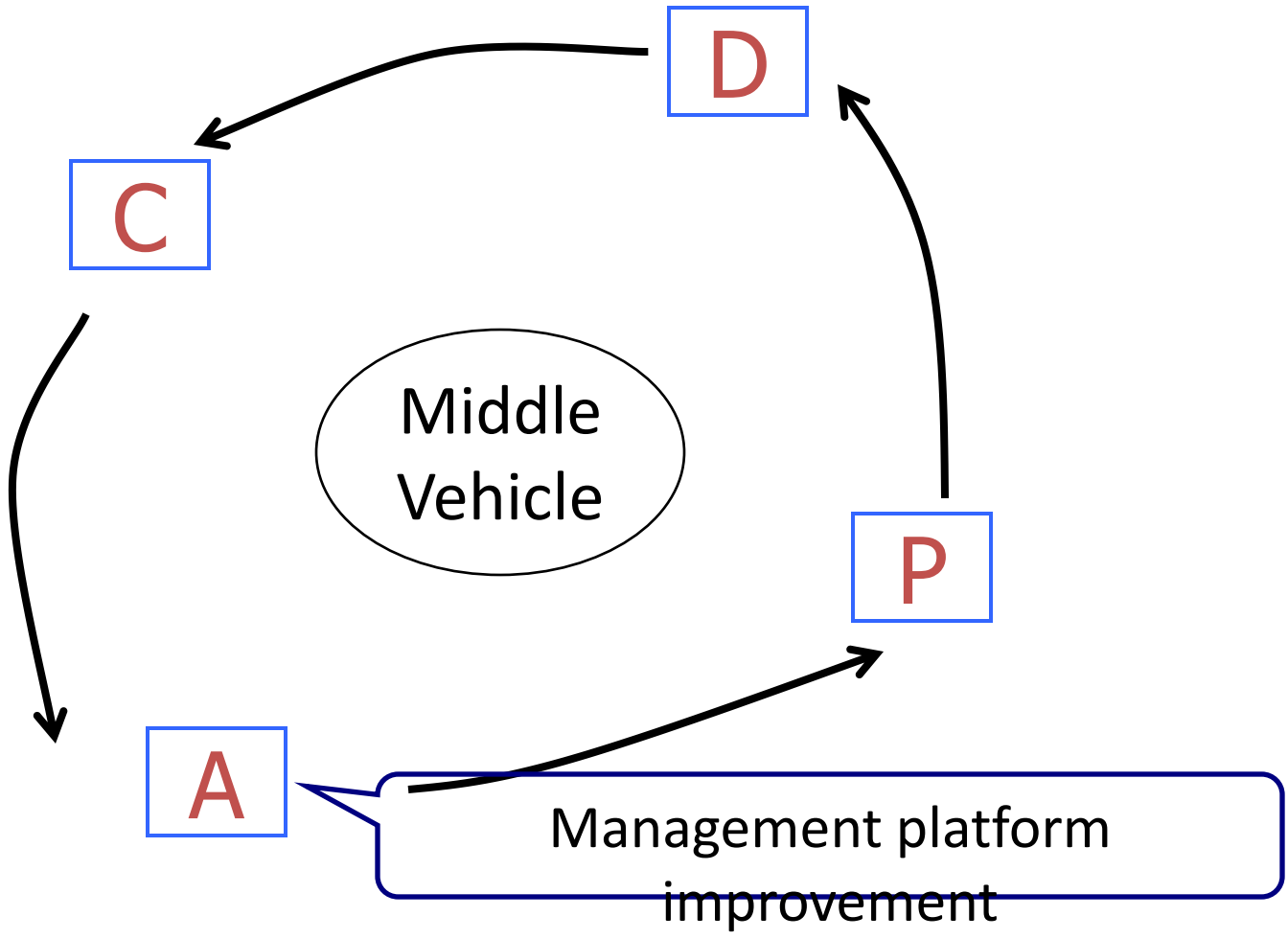
- Not easy to maintain awareness for long
- Not easy to bring it into motion from inside
- Not easy to become rhythmical between tension and relaxation in a day-to-day pace mode
- Not to be encouraged and rewarded by the effort

- To put in PDCA small cycles as fliers
- To catch the timing and external moment (shock)
- To beat the time with tension and relaxation
- To encourage and motivate people by making it visible and rewarding

# Nested Structure of PDCA Cycles (Large, Middle and Small Vehicle-Linkage)





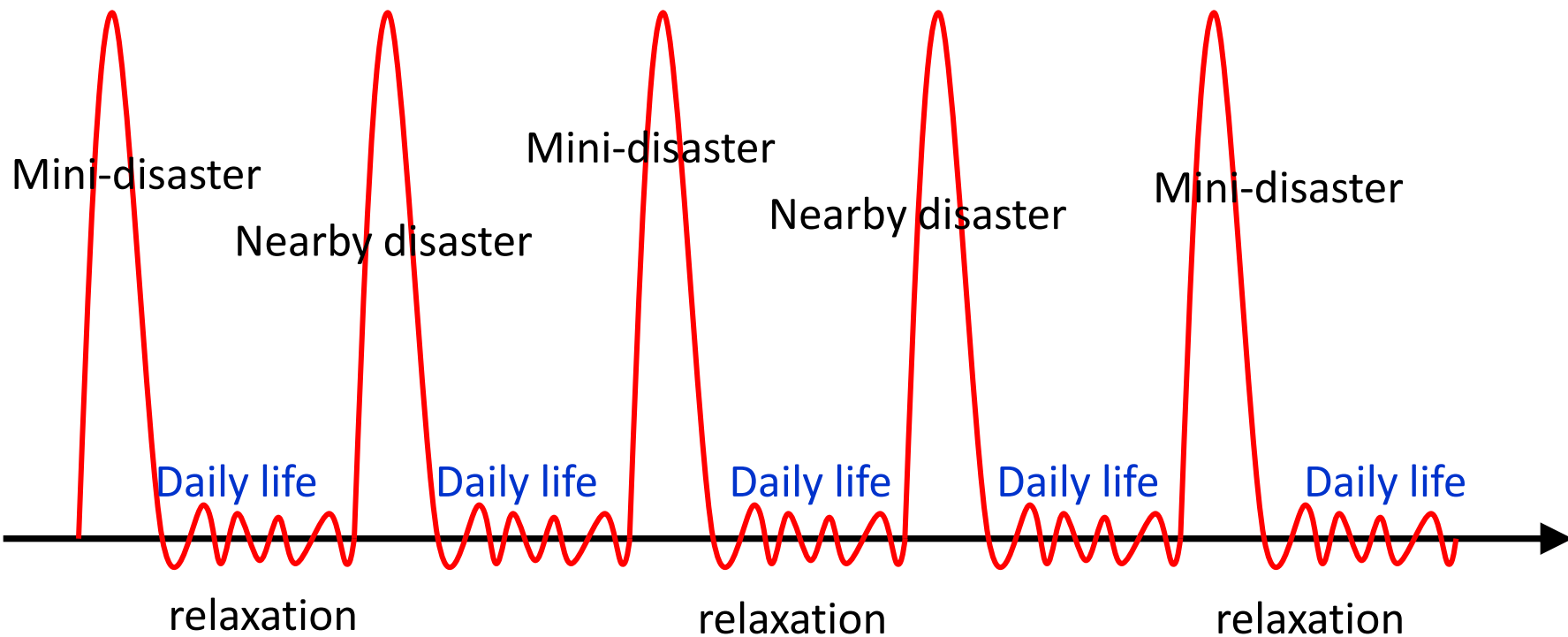


# Vital Rhythms

Tension

Tension

Tension



# Episode 2



# ***Flights to Indonesia not affected by Merapi eruption***

Petaling Jaya: The Star, Nation. Sat. Oct., 2010

- Flights from Malaysia to Indonesia destinations have not been affected by the Mount Merapi volcanic eruption in Central Java, Indonesia.
- A check with local airlines found that no flights to Indonesia had been cancelled.
- Sales representatives from both airlines (MAS and AirAsia) would be notified via email and SMS in case of cancelled flights.

**International Mini-Seminar on  
Icelandic Volcanic Eruption and  
Impacts on Aviation Systems: Hazard,  
Socio-Economic Impact, and Global  
Risk Governance**

**Kyoto 5<sup>th</sup> November 2010**

**At Obaku Plaza Seminar Room 1, Uji Campus,  
Kyoto University**

# Icelandic volcanic ash alert grounds UK flights

<http://news.bbc.co.uk/2/hi/8621407.stm>



# Evidences

**2008 - 2010**

**Major Disasters in the World and the Issues**



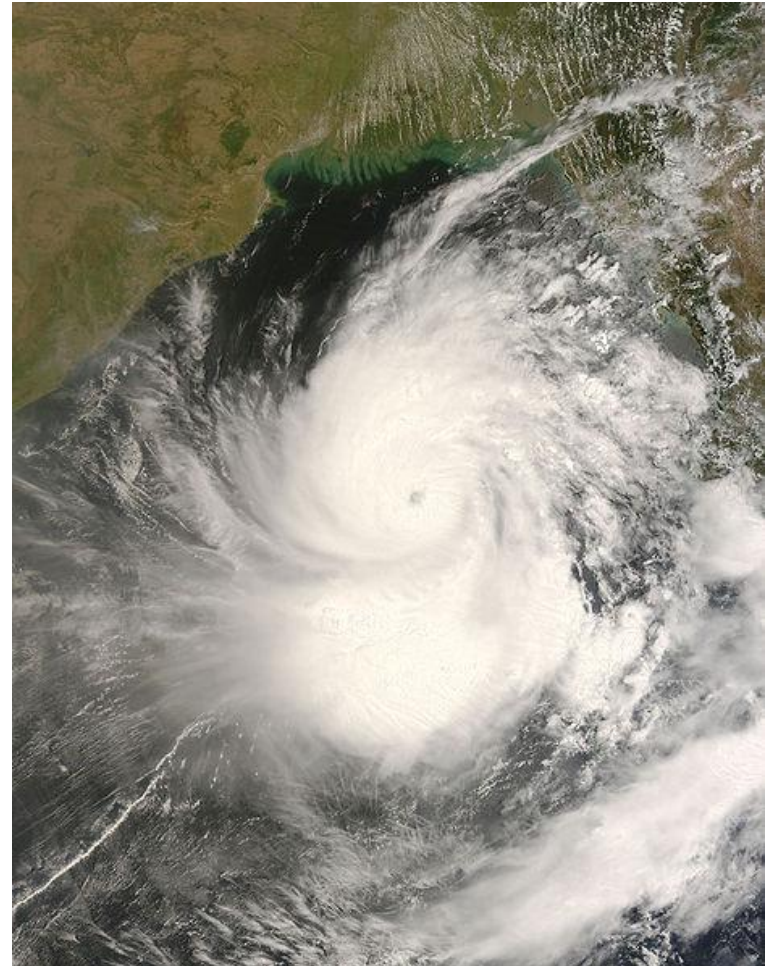
# Tropical Cyclone, Myanmar, 2 May 2008

- Number of people killed at the country level: 138,366

Source: "EM-DAT: The OFDA/CRED International Disaster Database – [www.emdat.be](http://www.emdat.be), Université Catholique de Louvain, Brussels (Belgium)"

## Issues:

- The ruling military Junta's blockade of aid (the self-reliance doctrine)
- The domestic political context. The cyclone struck at a politically sensitive moment for the regime, one week before the country was to vote in a national referendum on a controversial new constitution



(Photo: <http://rapidfire.sci.gsfc.nasa.gov/gallery/?2008122-0501/Nargis.A2008122.0440.250m.jpg>)

# The Great Sichuan Earthquake – China, 12 May 2008

- Number of people killed at the country level: 87,476
- Number of affected people at the country level: 45,976,596
- Economic damage costs at the country level: US\$85,000,000,000

Source: "EM-DAT: The OFDA/CRED International Disaster Database –  
[www.emdat.be](http://www.emdat.be), Université Catholique de Louvain, Brussels (Belgium)"

## Issues:

- According to the state-run Xinhua news agency, the earthquake killed 5,335 students and left another 546 children disabled
- Invention of the phrase: "tofu-dregs schoolhouses" (豆腐渣校舍), to mock both the quality and the quantity of the inferior constructions that killed so many school children



<http://www.drgeorgepc.com/Earthquake2008ChinaSichuan.html>

# Earthquake, Haiti, January 12, 2010

- Number of people killed at the country level: 222,570
- Number of affected people at the country level: 3,700,000
- Economic damage costs at the country level: US\$8,000,000,000

Source: "EM-DAT: The OFDA/CRED International Disaster Database – [www.emdat.be](http://www.emdat.be), Université Catholique de Louvain, Brussels (Belgium)"

## Issues:

- Slow-delivered international aid
- After 6 months, 1.5 million Haitians were homeless
- Thousands of abandoned children (orphans)



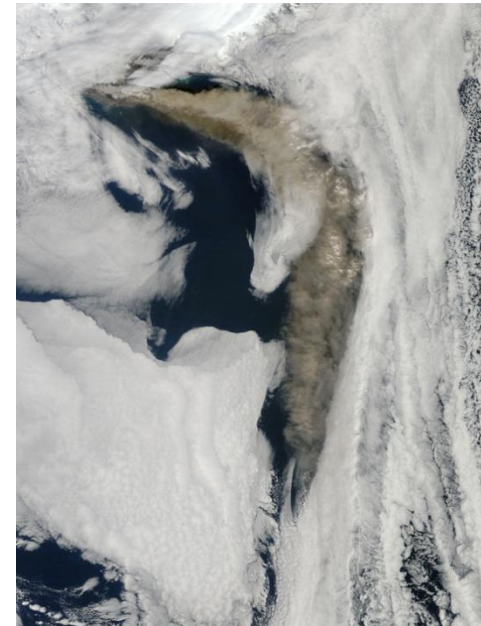
(Photo: <http://blog.nema.go.kr/661>)



# Volcanic eruption, Iceland's Eyjafjallajökull volcano, April, 2010

- Impact: an unprecedented closure of UK, European and North Atlantic air space for 6 days in April 2010 and this was followed by further episodes of air travel disruption.
- By 21st April 95,000 flights had been cancelled, resulting in chaos and leaving hundreds of thousands of passengers stranded.

*Source: Institute for Risk and Disaster Reduction,  
University College London, 2010*



*(Photo: NASA)*



*(Photo: Newsis/AP)*

# Natural Disasters in Japan

- Sayochyo, Hyogo Prefecture, 2009
- Number of people killed at the local level: 18  
(Population 20,440, Rainfall 326.5 mm/24h)
- *Some victims did not follow the evacuation alarm*

Source: [http://www.town.sayo.lg.jp/bousai/hinan\\_higai.html](http://www.town.sayo.lg.jp/bousai/hinan_higai.html)



(Photo: <http://www.bo-sai.co.jp/sayosuiqai.html>)

- The Iwate-Miyagi Nairiku Earthquake, 2008
- Number of people killed at the local level: 23 (M 7.2, East-north Area of Japan)
- *Small damage impacts due to collapsed building and mountain landslides.*

Source: <http://www.bousai.go.jp/kinkyu/iwate/2008-iwate-cao-024.pdf>



(Photo: <http://www.yomiuri.co.jp/feature/20080614-2892868/news/20080722-OYT1T00584.htm>)

# Recent/ On-going Disasters



## Russian Heat wave

At least 7000 people died  
Forest fire, thick smoke, aircraft  
disturbance

*Photo: [www.treehugger.com](http://www.treehugger.com)*



## Pakistan Flash Flood

Continuous Monsoon Rain  
More than 1500 people killed  
Energy Crisis

*Photo: [www.overoll.com](http://www.overoll.com)*



# Recent/ On-going Disasters



## **Oil Spill, Gulf of Mexico**

Extensive damage to marine and wildlife habitat, fishing and tourism industries

*Photo: <http://rapidfire.sci.gsfc.nasa.gov/>*

# Background: Directives of Policy and Governance

The Hyogo Framework for Action (HFA)  
The World Conference on Disaster  
Reduction was held from 18 to 22  
January 2005  
in Kobe, Hyogo, Japan

# Management of High-risk Zones Needed

- Disaster loss is on the rise with grave consequences for the survival, dignity and livelihood of individuals, particularly the poor, and hard-won development gains.
- Disaster risk is increasingly of global concern and its impact and actions in one region can have an impact on risks in another, and vice versa.
- This, compounded by increasing vulnerabilities related to changing demographic, technological and socio-economic conditions, unplanned urbanization, development within high-risk zones, under-development, environmental degradation, climate variability, climate change, geological hazards, competition for scarce resources.

# HFA :Disasters are local but becoming also more global

- The impact of epidemics such as HIV/AIDS, points to a future where disasters could increasingly threaten the world's economy, and its population and the sustainable development of developing countries.
- In the past two decades, on average more than 200 million people have been affected every year by disasters.

# HFA: More Compounded and Complex, and Global challenge needed

- Disaster risk arises when hazards interact with physical, social, economic and environmental vulnerabilities.
- Events of hydrometeorological origin constitute the large majority of disasters.
- Despite the growing understanding and acceptance of the importance of disaster risk reduction and increased disaster response capacities, disasters and in particular
- the management and reduction of risk continue to pose a global challenge.



# HFA: More integrated , sustainable and policy and action at community , national and international level

- There is now international acknowledgement that efforts to reduce disaster risks must be systematically integrated into: Policies, plans and programmes for sustainable development and poverty reduction, and supported through bilateral, regional and international cooperation, including partnerships.
- Sustainable development, poverty reduction, good governance and disaster risk reduction are mutually supportive objectives, and
- In order to meet the challenges ahead, accelerated efforts must be made to build the necessary capacities at the community and national levels to manage and reduce risk.

Paradigm shift from the  
conventional to a new frontier  
sciences and technology

# Driving forces into the new direction

- More proactive, precautionary and adaptive management approach,
- More multi-stakeholder-involved participatory approach,
- More linkage to day-to-day concerns, and increasing necessity to manage disasters in the total context and space of the city and region at stake,
- More cross-disciplinary, multi-lateral knowledge accumulation and methodological development

# Conventional disaster plan vs. 21st century's integrated disaster risk management

## 20<sup>th</sup> Century

- Reactive
- Emergency and crisis management
- Countermeasure manual approach
- Predetermined planning (Non-surprise)
- Sectoral countermeasure approach
- Top-down approach

## 21<sup>st</sup> Century

- **More proactive**
- More risk mitigation + preparedness approach
- **More anticipatory/ precautionary** approach
- **More comprehensive** policy-bundle approach
- **More adaptive** management approach
- **More bottom-up** approach

# IDRiM Society: An academic initiative, a new academic society

- IDRiM Society was launched in Kyoto last year (2009) and it held its first conference in Vienna early September this year (2010).
- Before that there have been decade-long initiatives to develop and extend cross-disciplinary forums (IDRiM Forums, 2001-2009) and networking efforts made by us, DPRI and IIASA (International Institute for Applied Systems Analysis) in Austria.

# Past IDReM Annual Conference Themes

2001 - 2009

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## Socio-economic Vulnerability

Austria, 2001

## Mega City Vulnerability and Resilience

Austria, 2002

## Coping with Regional Vulnerability

Japan, 2003

## Challenges and Implementation

Italy, 2004

## Innovations in Science and Policy

China, 2005

## Risk and Challenges for Business and Industry

Turkey, 2006

## Coping with Disasters: Global Challenge for the 21<sup>st</sup> Century and Beyond

Italy, 2007

## Integration and Multi-disciplinarity

Italy, 2008

## Scientific Challenges in Implementing IDReM in a Changing World

Kyoto, 2009



# How major themes have developed in the past IIASA - DPRI Forums

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**The 1st conference (2001): Socio - Economic Vulnerability**, focus on the importance of integrating risk policy making with infrastructure development, communication, social networks and economic/ financial planning

## **Additional themes starting 2002**

Mega Cities, Urban Vulnerability & Resilience  
Not only involving scholars, but also practitioners and public policy makers (Research and Practice)

## **Additional themes starting 2004**

The Challenges of Implementation, Implementation Science  
Innovations in Science and Policy

## **Additional themes starting 2006**

Policy oriented IDReM, especially for practices involving business and industry

## Starting 2007: New Workshops

On Implementation Science, Casifica and DRH  
Young Scientists Session  
DFID Workshop  
Natech Risk Assessment and Management  
Cost-benefit Analysis

## Additional themes starting 2008

Post-event impact and governance (learning from Sichuan Earthquake)  
Climate Change Adaptation

## Additional themes starting 2009

Insurance, Business Continuity  
Adaptive Management

**IDRiM 2010: “Sharing IDRiM experiences under different socio-economic and cultural contexts.” The themes are:**

- (1) Global Change and Vulnerability**
- (2) IDRiM and Sustainable Human Development**
- (3) Disaster Impacts in Different Cultural Settings**
- (4) Industrial Risk Management**
- (5) Disaster Safety Nets**
- (6) The Science of Implementation**

1st Annual Conference of the  
International Society for Integrated  
Disaster Risk

Management - IDRiM 2010: Sharing  
IDRiM experiences under different  
socio-economic and cultural contexts  
at BOKU, Vienna, Austria

Sept. 1-3, 2010

<http://www.idrim2010.com/>

# You all are welcome!

## Join us in

- IDRiM Society.

Visit <http://nexus-idrim.net/idrim10/>

for information and member registration

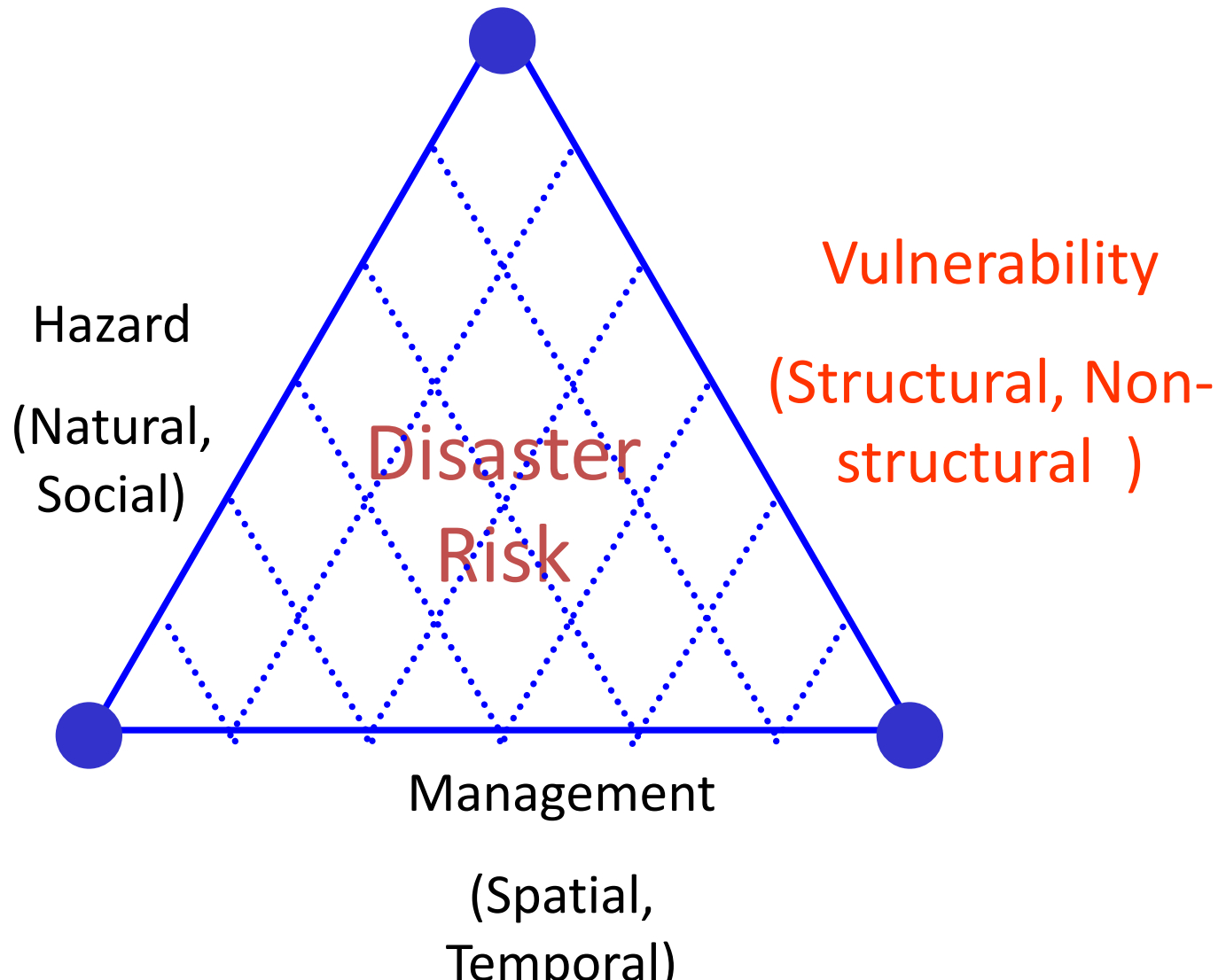


# IDRiM: Conceptual and Methodological Frameworks Proposed

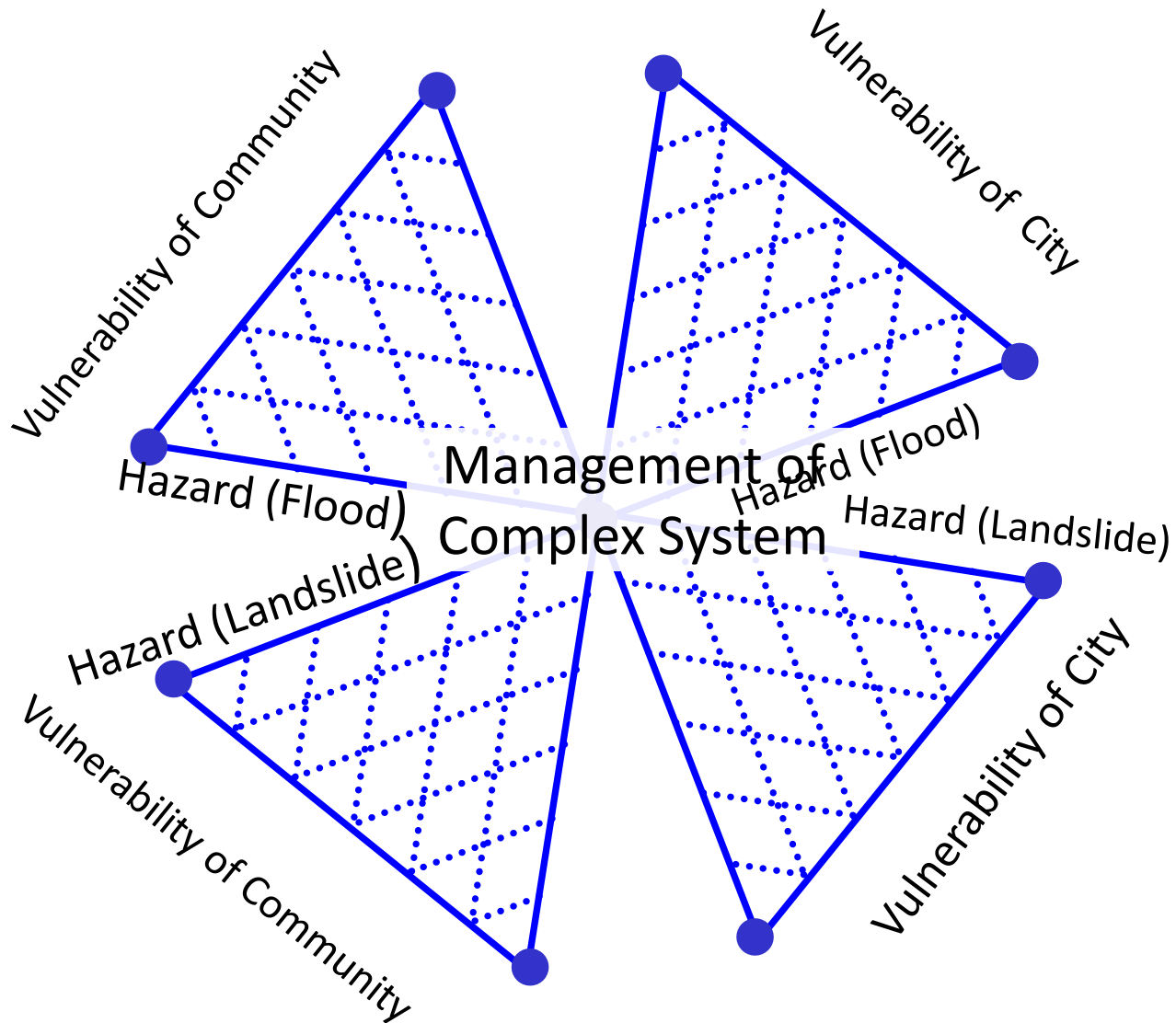


# Three Components of what a Disaster is about

*Characterized by Uncertainty, Ambiguity,  
Unknown, Value, Perception*

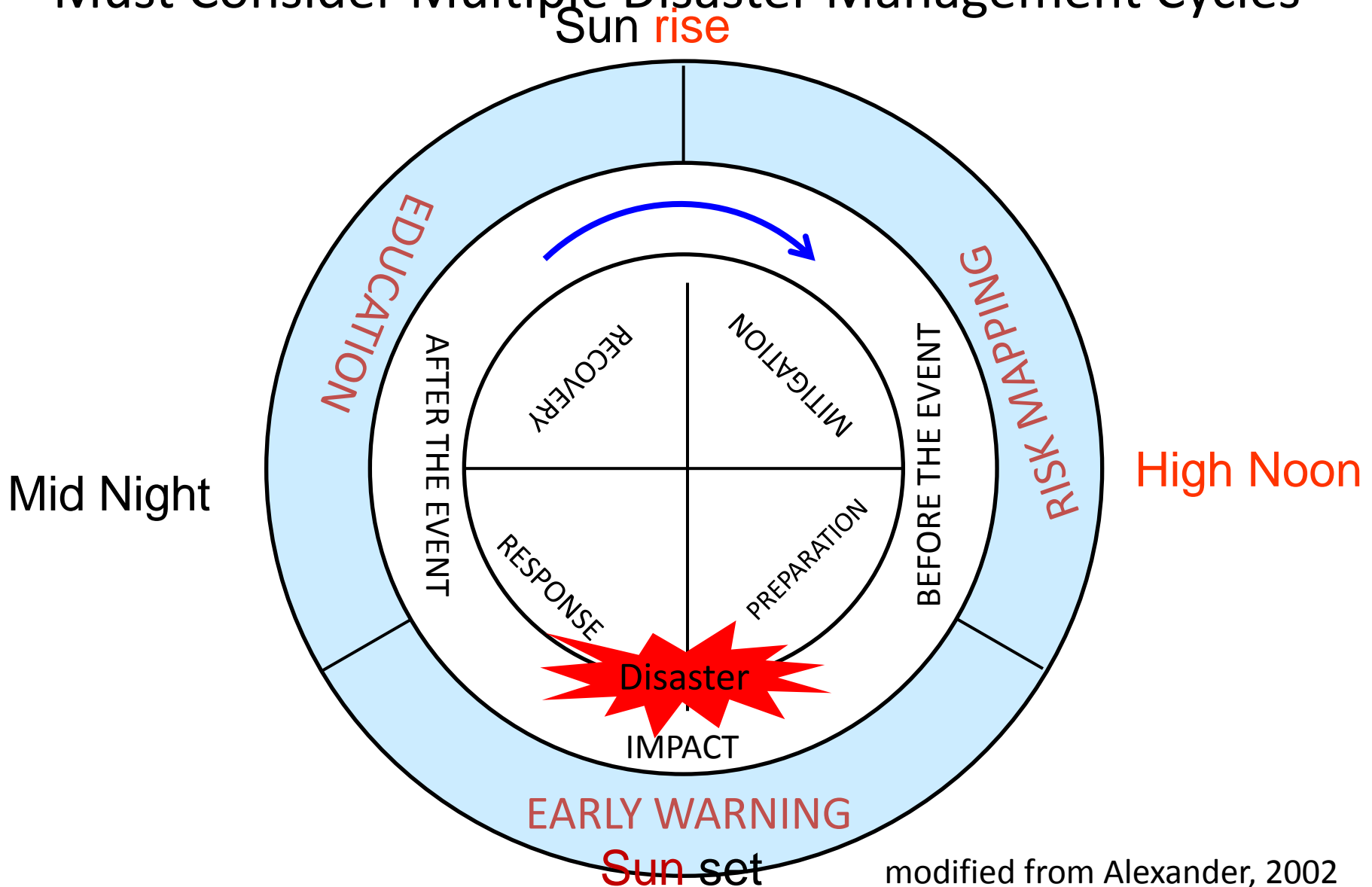


# Disaster Risks Coupled and Compounded



Integrated Disaster Risk Management is needed!

# Disaster Clock: Managing Integrated Disaster Risks Must Consider Multiple Disaster Management Cycles



modified from Alexander, 2002

# Natural Hazards/Disasters as Extreme and Non-extreme Events

- Large Cycle: Low frequency-high impact event =  
Catastrophic Disaster

e.g. 1995 Kobe Earthquake

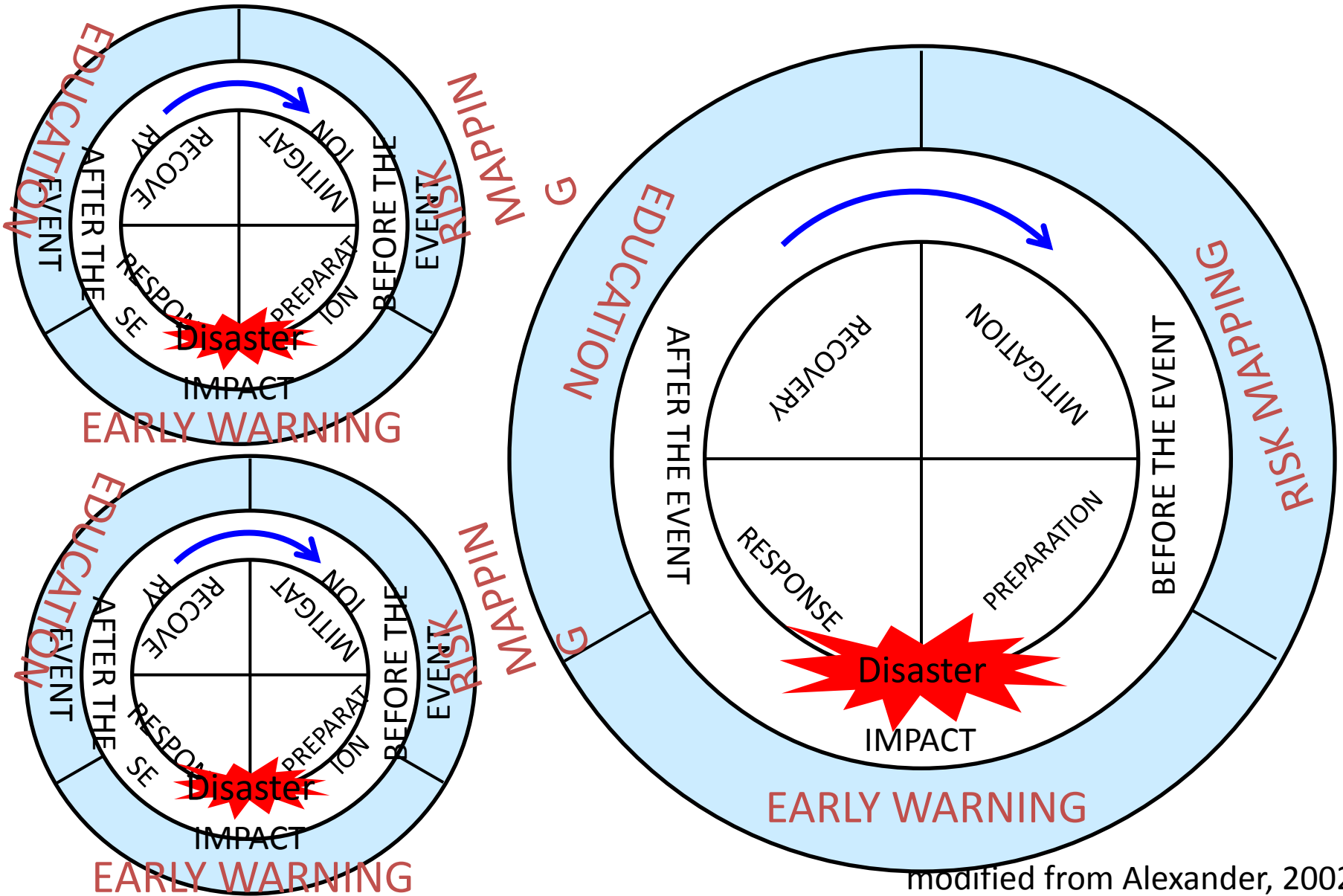
2005 Hurricane Katrina

(due to climate change?)

2008 Sichuan Earthquake

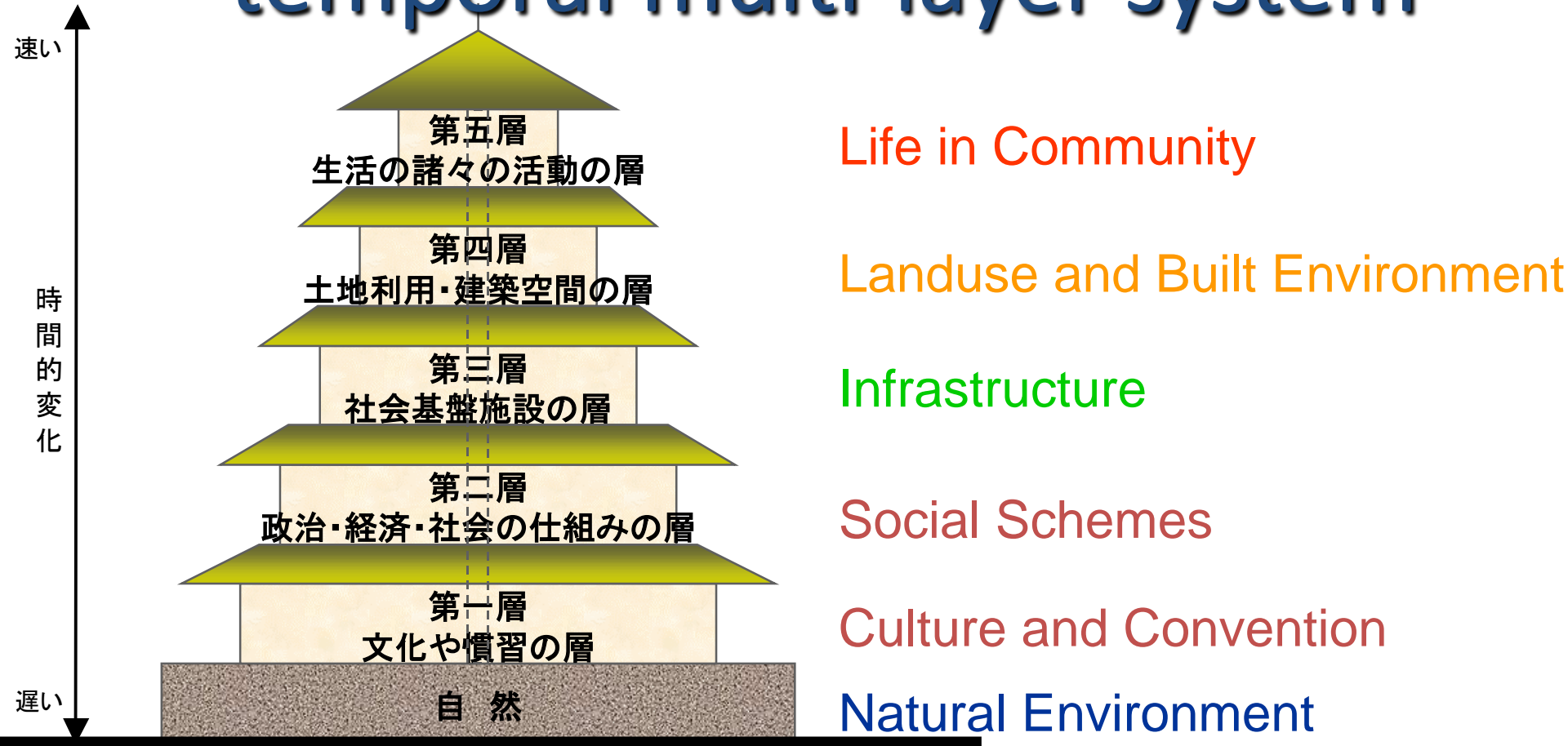
- Middle/Small Cycle: Mid/high frequency-mid/low  
impact event= Disaster Risks to Live with

# Managing Multiple Disaster Clocks



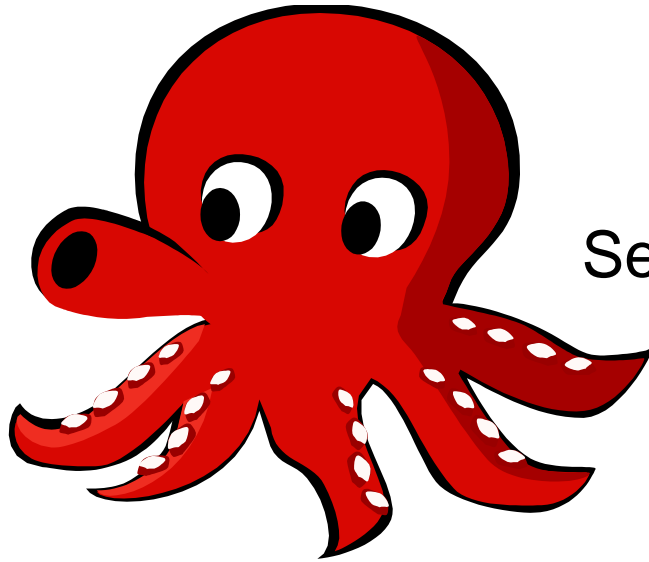
modified from Alexander, 2002

# City/regions viewed as spatial-temporal multi-layer system

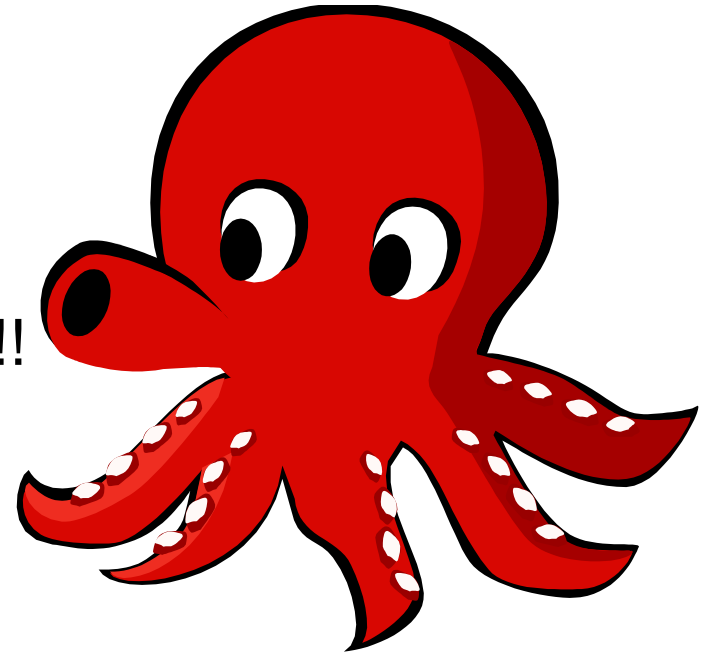


## Five-storied Pagoda Model

# Let Octopuses Interact and Pull Together !



Separated!!



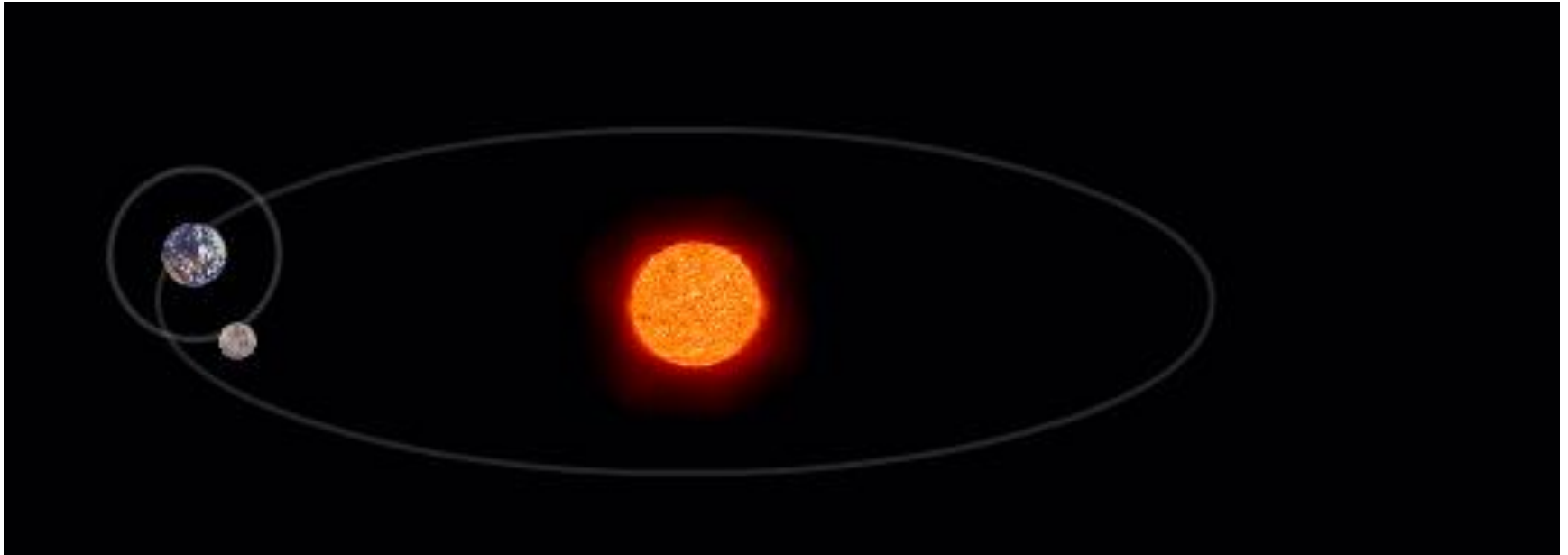
I Live in my own  
Star (Ocean).  
I don't need my legs to touch  
others!

I Live in my own  
Star (Ocean).  
I don't need my legs to touch  
others!



# Star Alliance Needed

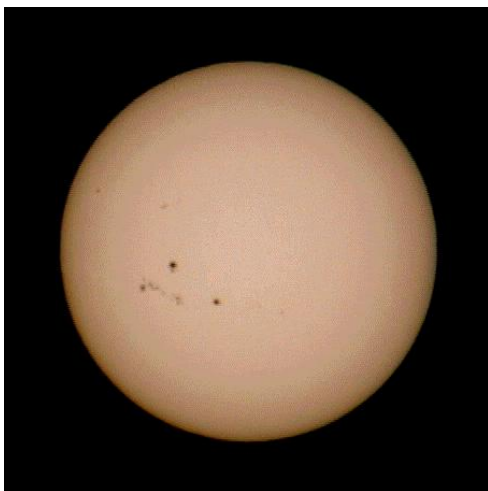
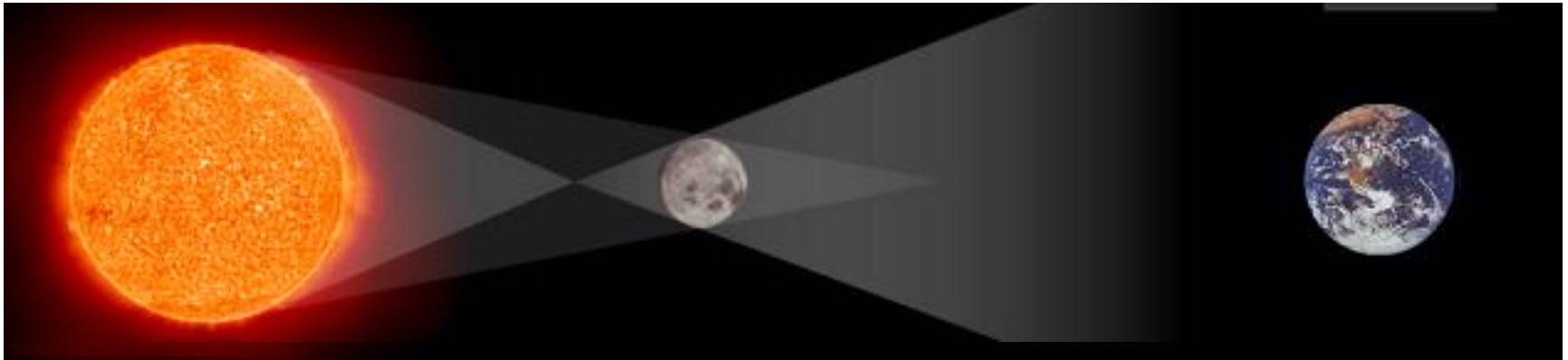
- Star as an *Independent* Discipline, School and Profession
- Star as an Independent Producer and User
- Implementation demands an innovative thinking and practice for “Stars to Meet Together”



Viewing the Overlaps by  
Eclipse Revolving Model

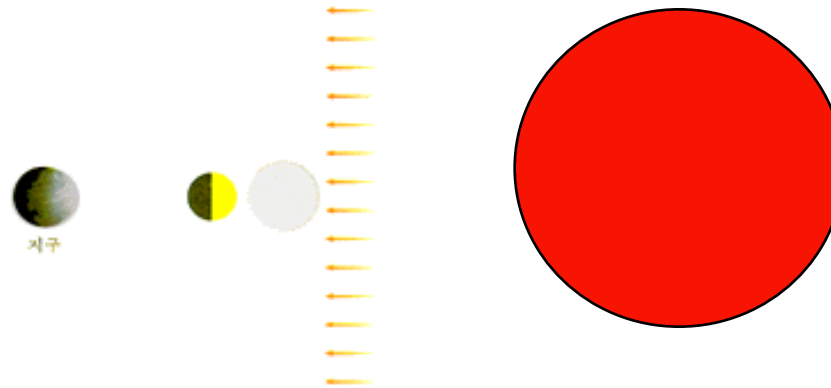
Placing into the Common  
Perspective

Categorically Different  
Stars (Disciplines and Schools)



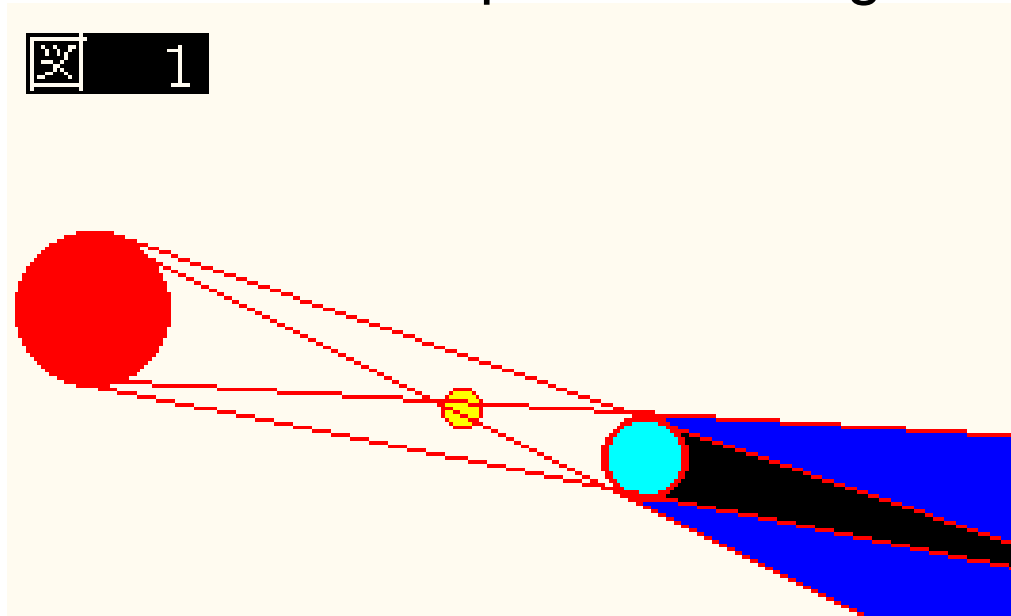
[http://sos.kasi.re.kr/korean/solar\\_eclipse/principle.php](http://sos.kasi.re.kr/korean/solar_eclipse/principle.php)

<http://100.naver.com/moon/moon02.htm>



Positioning and Timing the Whole System

As Lunar and Solar Eclipse Revolving Process



# Research Organization Challenges Needed

- How to systematically implement implementation science actually
  - Case Station-Field Campus (CASiFiCA) Framework Proposed and Tested
- How to systematically formalize implementation process and outcome knowledge
  - Implementation Science Knowledge Building and Co-Schooling Efforts
- How to systematically archive, share and disseminate such accumulated knowledge
  - Disaster Hyperbase (DRH)

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# Case Station/ Field Campus

Prioritize Actions

Advocacy  
Motivational Tools

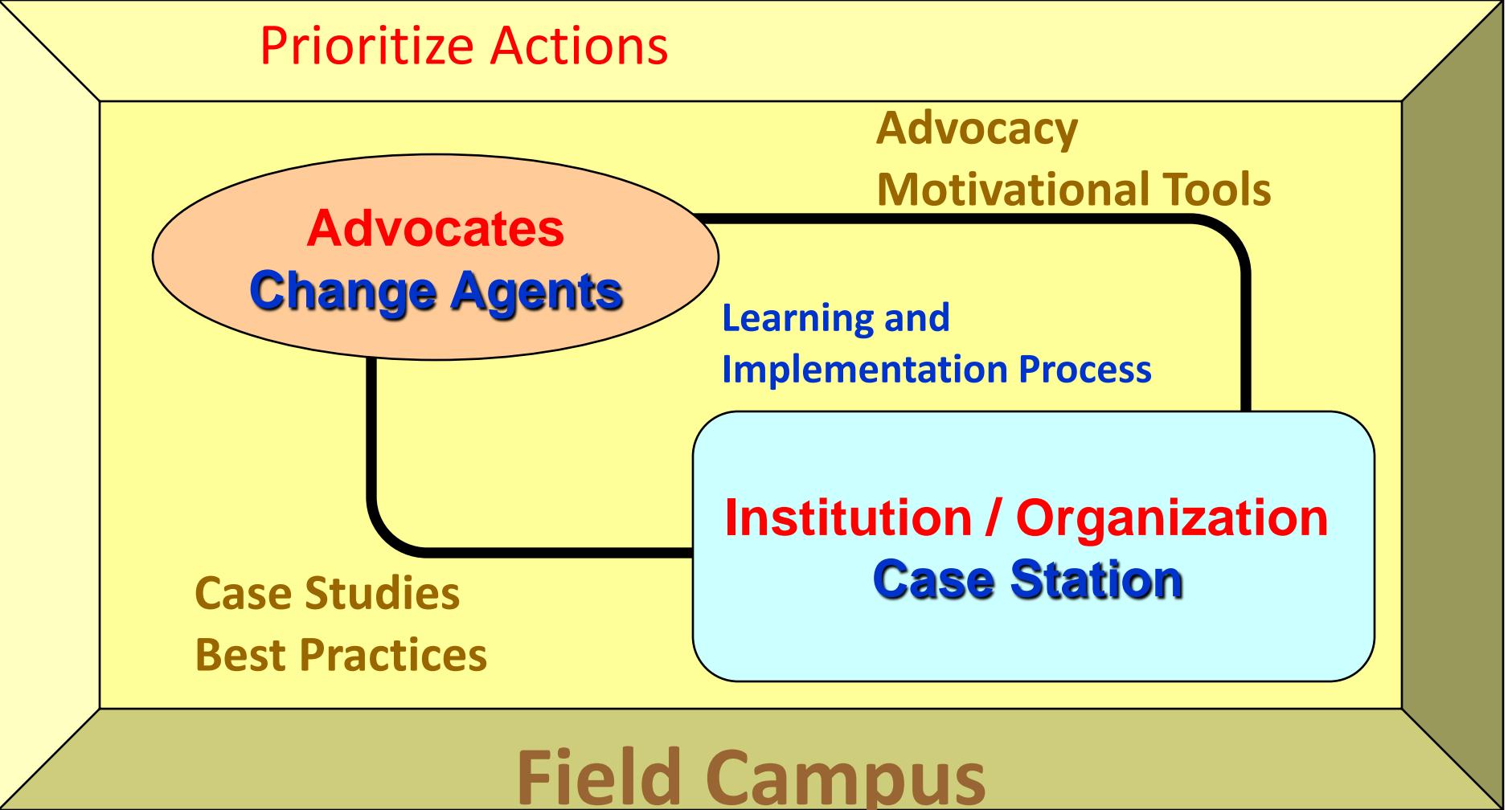
**Advocates  
Change Agents**

Learning and  
Implementation Process

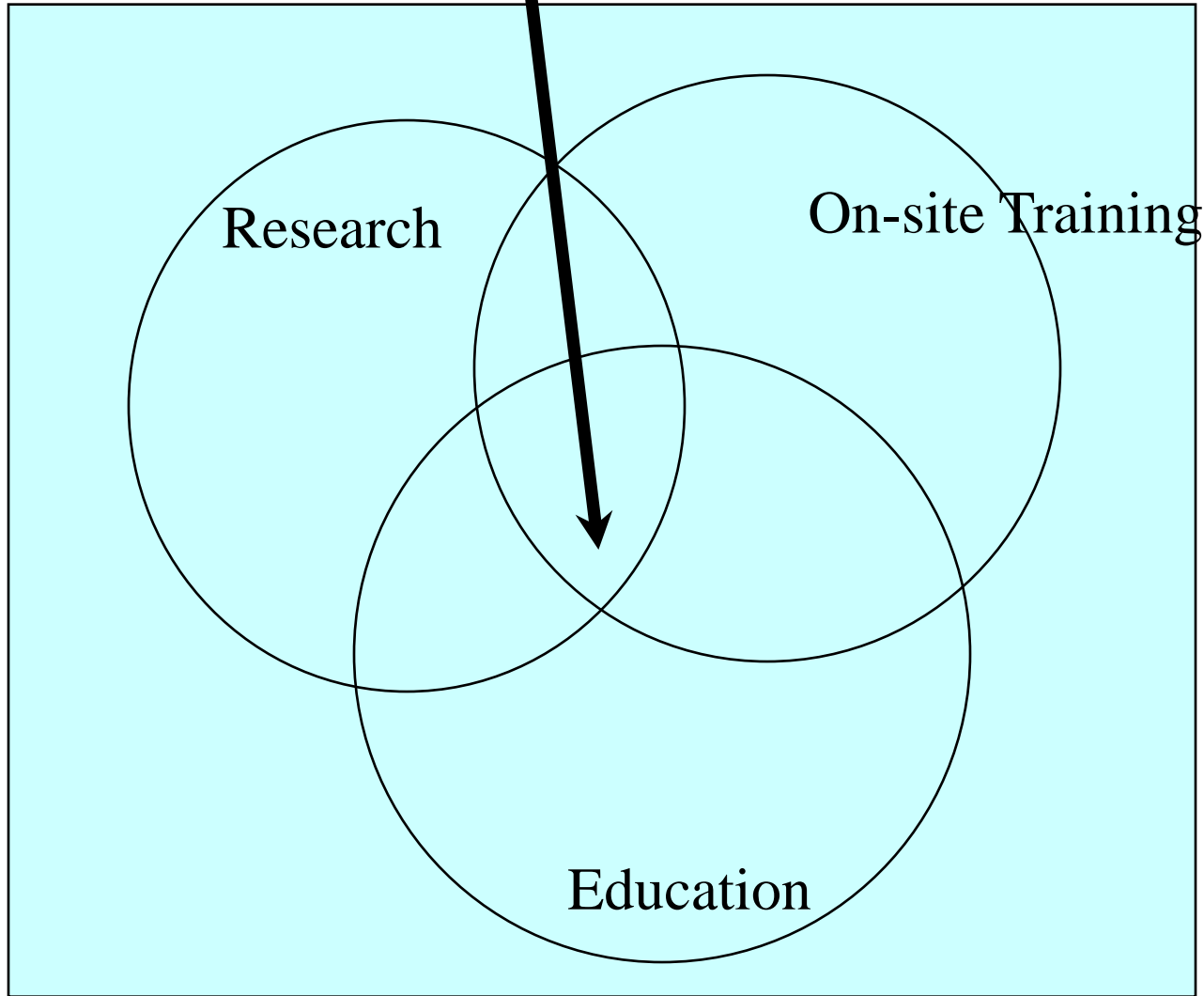
Case Studies  
Best Practices

**Institution / Organization  
Case Station**

Field Campus

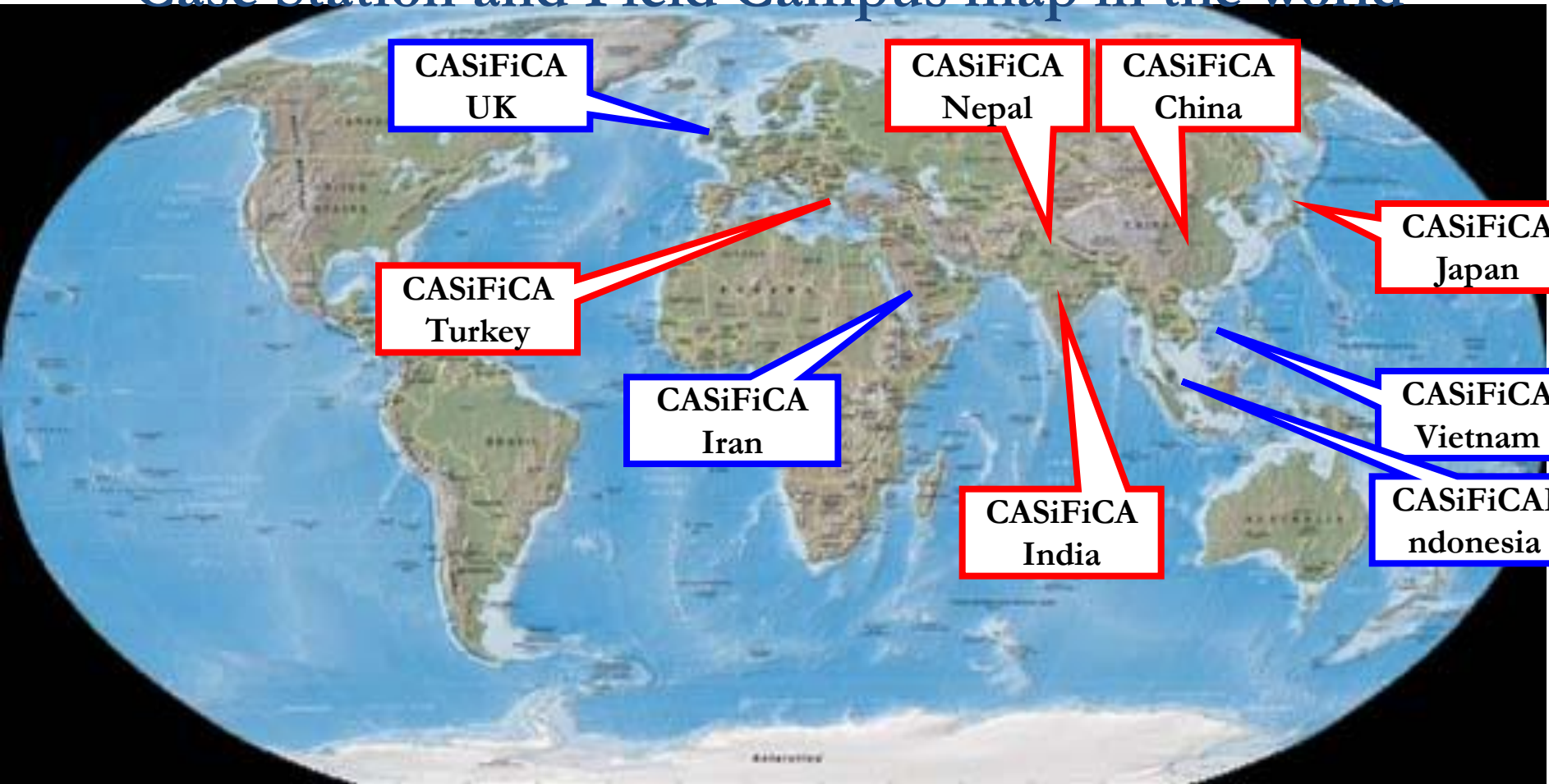


CASIFICA targets this missing overlap!



# CASiFiCA Challenge

## Case Station and Field Campus map in the world



**ongoing**  
MEXT-CASiFiCA

**Upcoming**  
CASiFiCA

# “CROSSROAD Game”

-- A sample practice of participatory & collaborative disaster risk management



- 25,000 copies published
- Big media coverage (TV news, papers, magazines, etc.)
- more than 35,000 participants



**Gaming-type disaster education procedure**



# “CROSSROAD Game”

- Original version, “Kobe-Version”: all episodes are based on actual events (real stories) in the 1995 Kobe Earthquake
- Obtained from a series of focus-group interviews with those who experienced the disaster (more than 200 hours with more than 150 interviewees)
- Interviewees: survivors, volunteers, and local government officers working at the frontline

[Kobe1015]

You Are...  
City employee...

Although your house is half-collapsed after the earthquake, none of your family was injured fortunately. Public transportation system is stopped and it may take about 2-3 hours to the office.

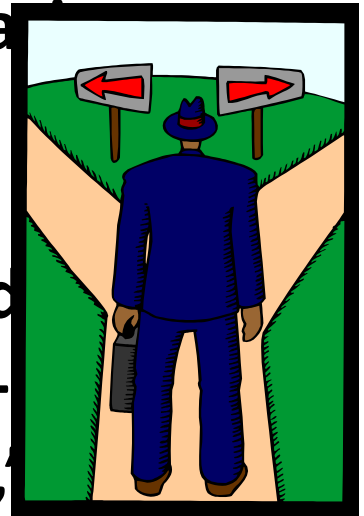
Do you come to work ?

Yes (To come to work)  
OR  
No (To stay home)

Episode Card Sample

## “CROSSROAD Game”

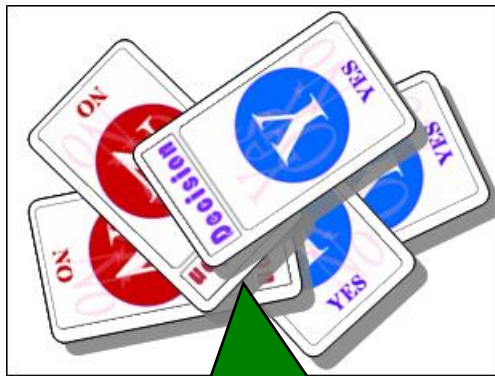
- Episodes: re-describing real experiences of interviewees in a form of severe dilemmatic either-or decision between two conflicting choices, which we call “Crossroad Format,” to extract basic essentials of disaster risk management
- Unexpectedly good feedback to Kobe-version
- More than 10 different new versions published in the same Crossroad Format, such as “Everyday-preparedness-Version,” “School-safety-Version,” “Kochi-Prefecture-Version,” “Social-work-Version,” etc.



# “CROSSROAD” --- Preparation



Forming a group of 5-7 members around a table, preferably in odd numbers of members



“YES” & “NO” Card  
(1 pair for 1 person)



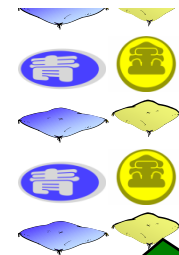
Pens, and Blue & Pink notes (stack on the table)

Episode cards

Although your house is half-collapsed after the earthquake, none of your family was injured fortunately. Public transportation system is stopped and it may take about 2-3 hours to the office.

Do you come to work ?

Yes (To come to work)  
OR  
No (To stay home)



Blue and gold point chip cards (stack on the table)

You Are...

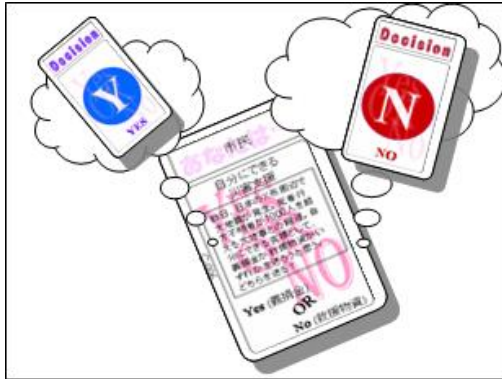
【Kobe1015】



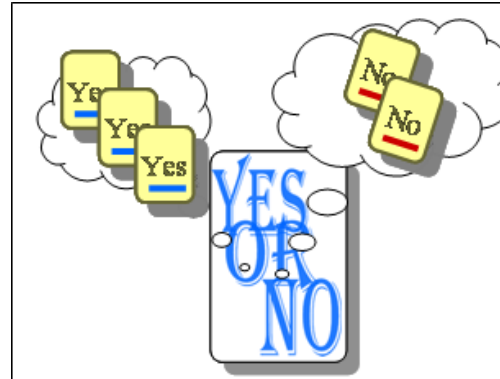
# Basic procedure of “Crossroad: Kobe”

## Procedure

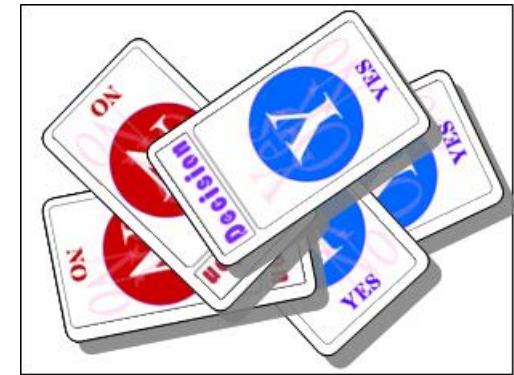
**1 Read episode and Make your choice - Yes or NO?**



**2 Disclose your choice by Yes or No card**



**3 Find out group result — Majority or minority?**



**4 Get game points based on the results**  
--- Majority : 1 normal point (a blue chip)  
--- Single Minority: 1 special point (a gold chip)

**5 Exchange views --- persuading others and/or persuaded by others, Also, writing down the reasons, grounds, and conditions for YES or NO attitude on the note**

**6 Learn basic info and listen to disaster veterans' talk**

# From ongoing CASiFiCA site

## Flood Risk Communication system

by CASiFiCA Chukyo (Tatano and Hatayama)

Develop GIS (DiMSIS)

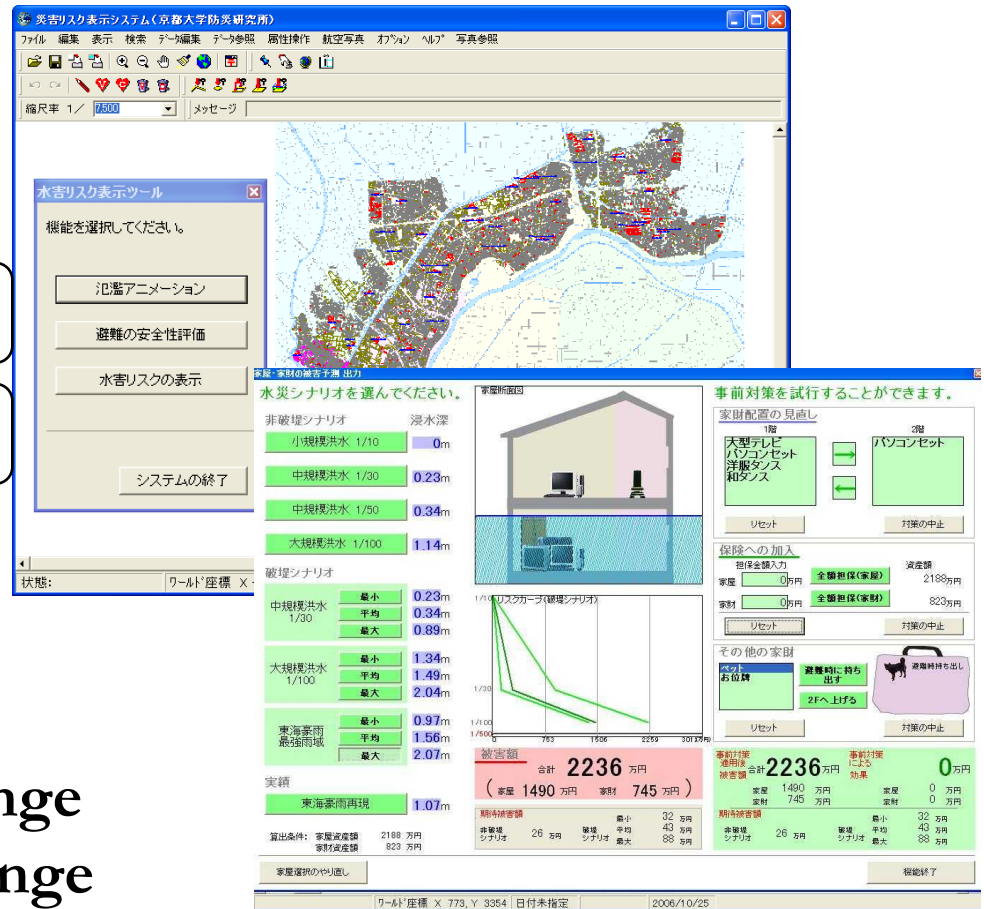
Flood Analysis

Evacuation Risk Evaluator

Housing Risk Evaluator

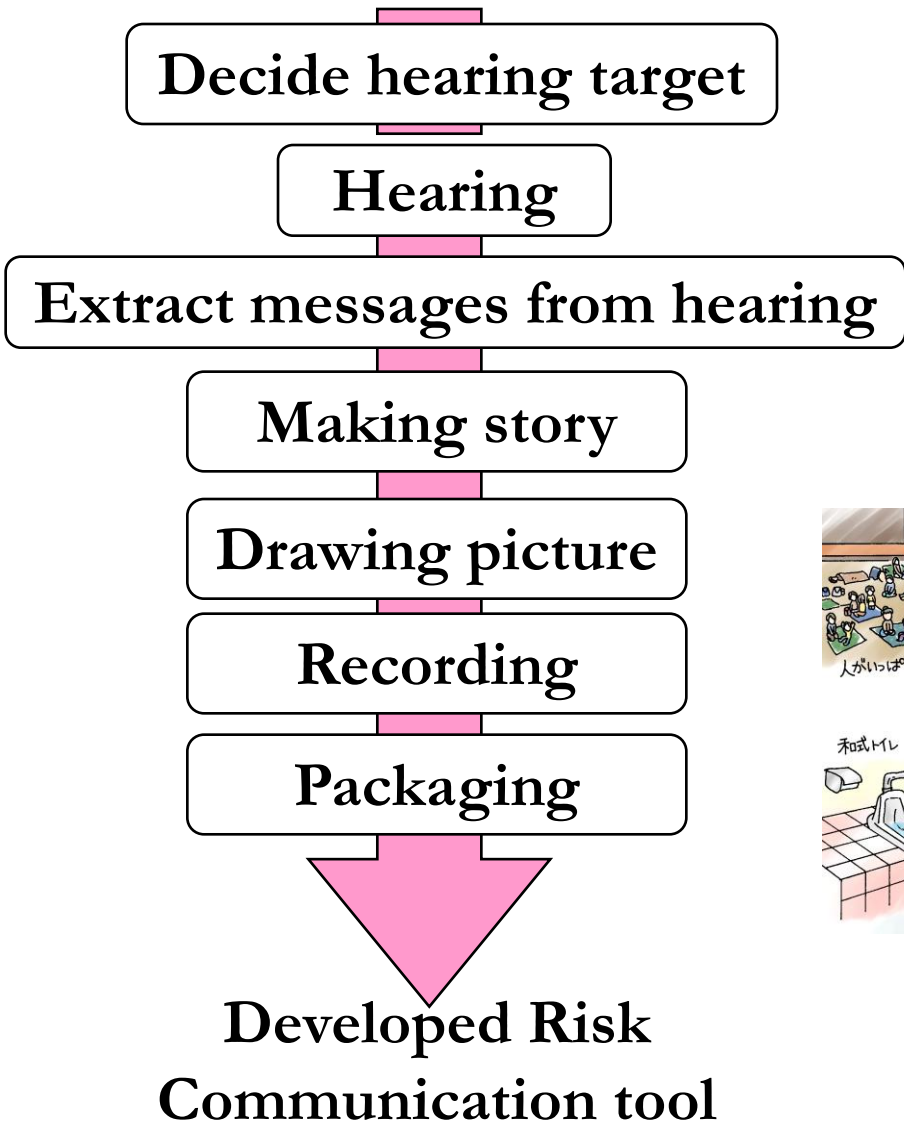
workshop

Personal Experience can change their “mental model” and change their actual behavior



Picture by Tatano

# Make process of Distal Disaster *Kamishibai* by CASiFiCA Chukyo (Hideshima and Takeuchi)





# Developed on Workshop method using of Distal Disaster *Kamishibai* by CASiFiCA Chukyo (Hideshima and Takeuchi)

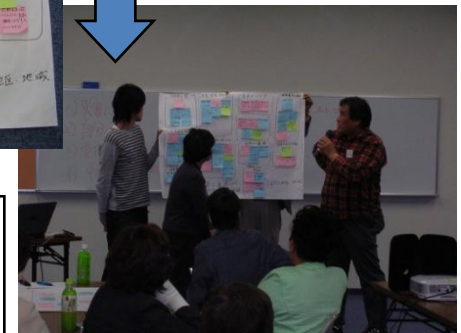
Appreciate *Kamishibai* narratives

Discussions

Determine shared rules and roles in community

Share ideas and actions

Enhance community's coping capacity



グループファシリテーターのためのカンニングペーパー

### 設問事例集 <東海豪雨版>

グループでの話し合いは、デジタルカンニングペーパーの具体的なエピソードをきっかけに、参加者が「災害に対する地域の構え」を自分自身の問題として考えてもらうことをねらいとしています。

**話し合いがうまくいくコツ**

- 建設的な意見交換に努め、賛成派、反対派などに傾斜しないよう気を配ってください。
- 発言が断片的になりがち。なるべく多くの人に話していただくよう、気を取り直して（ぶら下りでも構わない）、「一息休みます」などと言って発言のいい流れを作ります。
- 自分が話している場を尊重する姿勢も、発言者の話したことを尊重に出してあげましょう。
- 時間内で進める必要がある場合は、参加者がタイムオーバー一人お断りして、時間ごとに告知させしてもらうのも手です。

**【目的の受け入れ】**

このワークショップは参加者がゴールで掲げられ、進められたものの形式としてのある一環が目的で行くことができません。しかし、本意にこのように参加者の話の受け入れがなされたのであれば、これがたいへん良い結果である、と評価されるべきではありません。

**【目的3】**

なぜ参加した、スーパーの最上で靴い履きをしなげればならないのかをどうしてでしょうか？

- ① 災害に遭遇したらあなた自身は被害をこう受けとらなければいけませんか？
- ② 災害に遭遇した、被害はどのようなことになるでしょうか？
- ③ あなた自身、またあなた自身の家族、近所、早稲穂には被害をこう受けとらなければいけませんか？

【目的4】

【目的5】

【目的6】

【目的7】

【目的8】

【目的9】

【目的10】

### 進行の手引き

※ 2グループ15分程度

1. 開場説明など (10分)

2. デジタル感度度の練習 (15分)

3. グループでの話し合い (50分)

4. 発表 (15分)

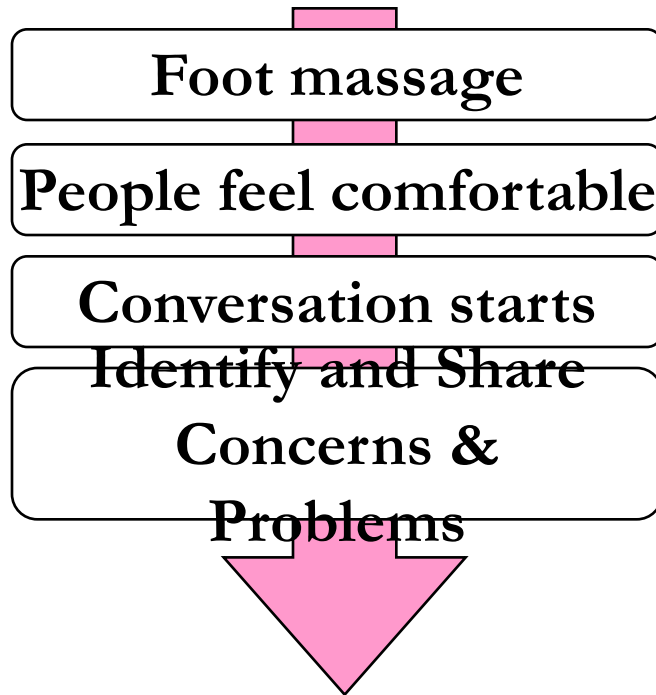
5. まとめ (10分)

6. 閉会 (10分)



# Approach to community

by CASiFiCA Chuetsu (Atsumi)

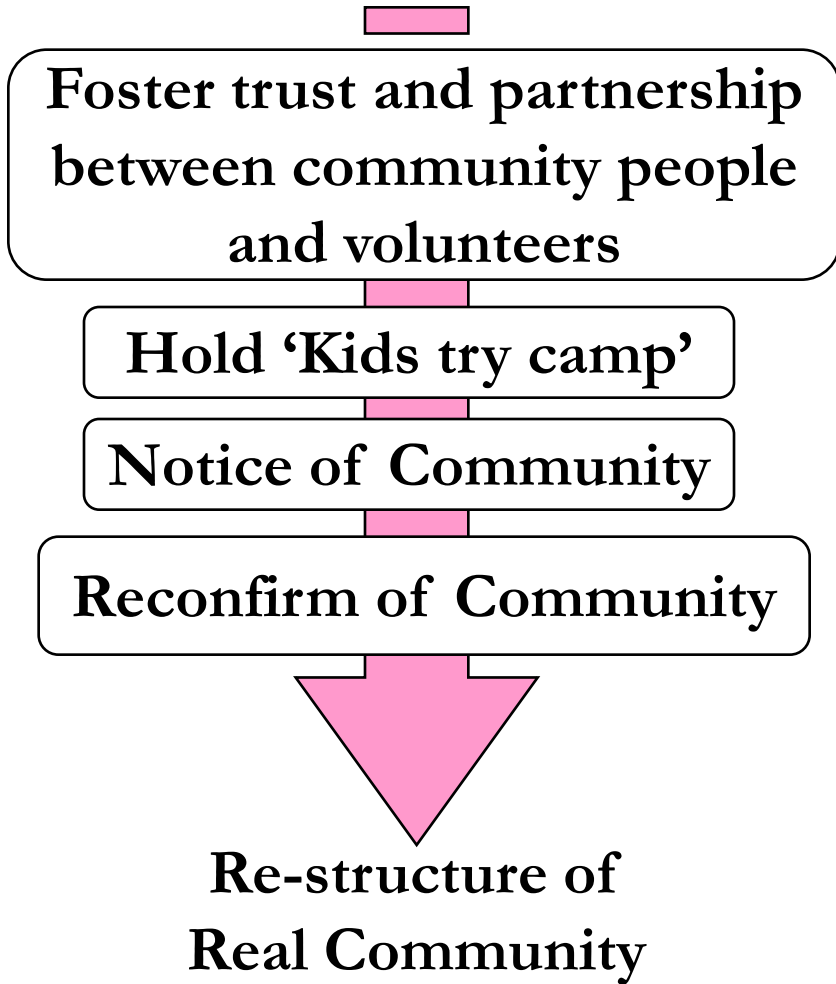


Foster trust and partnership  
between community people  
and volunteers



*Photo by Atsumi*

# Design of re-constructing a community by CASiFiCA Chuetsu (Atsumi)

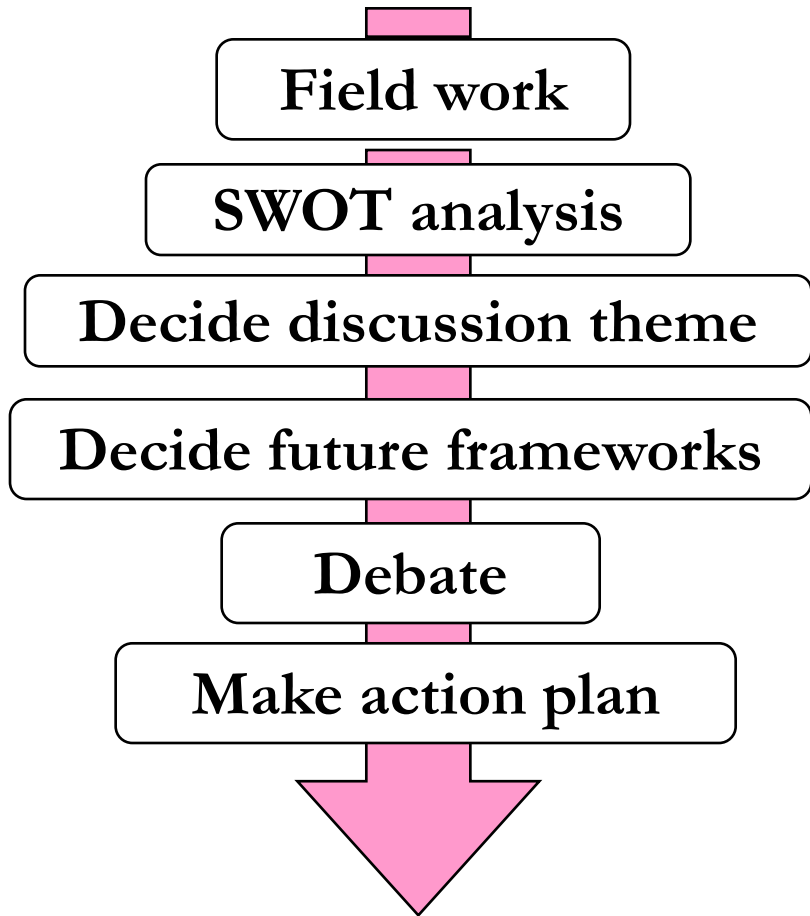


Picture by Kobayashi and Miyamoto

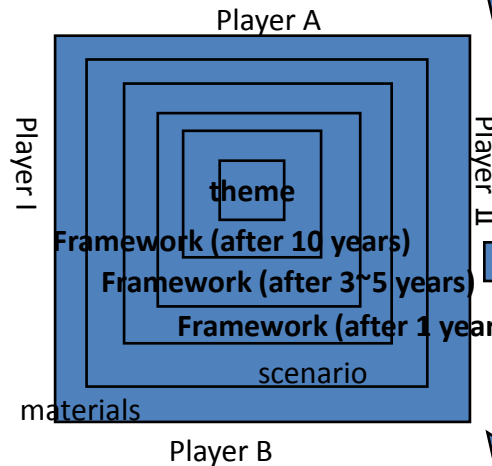


# From upcoming CASiFiCA site Discussion System;. Squ-Table Workshop Method

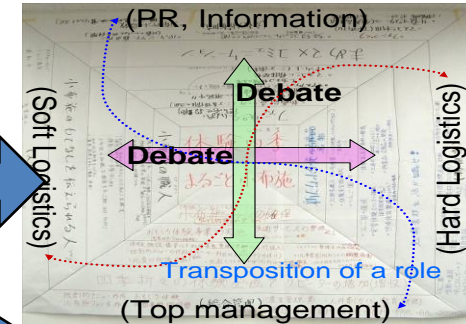
by Okada



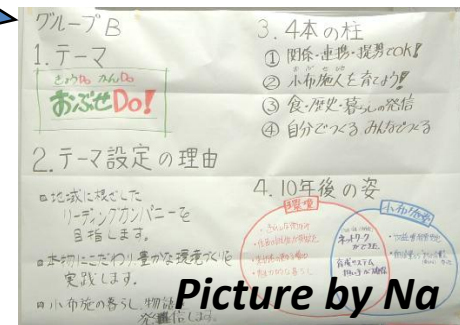
Can make strategy action plan



internal factors	
S	W
external factors	
O	T



1. Theme	3. 4 pillars a. b. c. d.
2. A setting reason of a theme	4. A figure after ten years



Picture by Na

# Community Understanding tool; Town watching by Rajib and Takeuchi

Decide Town watching theme

Decide stakeholder

Prepare within and without school

Town Watching  
-Field work  
-Making a map  
-Presentation

Presentation to Community

Develop of framework for sustainable community disaster education, Monitoring and Cross reference

Flow of town/mountain watching

Town/mountain watching in Saijo City



①Explanation



②fieldwork

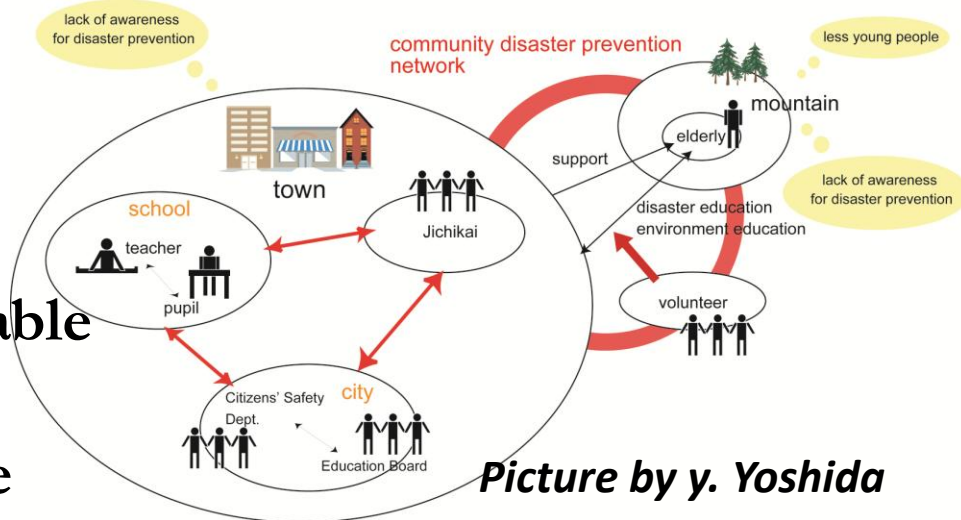


③Making a map



④Presentation

Kyoto University Graduate School of Global Environmental Studies



Picture by y. Yoshida



# NIED-EDM DRH Template (led by Kameda)

Hazards focused (Secondary hazard should be included in the categories of)  Earthquake  Tsunami

ver.6 070425

## Template for DRH Database (ver.6 / 070425: fixed through 2007FY) Disaster Reduction Technology and Knowledge under Implementation Strategies

I. Heading	
Title	Transferable Indigenous Knowledge Experiences from Japan on Flood Disasters
Major significance (less than 60 words)	Three kinds of Indigenous Knowledge and Technology for flood disaster, can be observed in the <i>Noubi</i> plains area of central Japan. These are: (1) Flood prevention; <i>Waju</i> (Inside Ring)=communities protected by ring dikes. (2) Erosion control; <i>Hijiri-Ushi</i> (Grand OX)=control water force. (3) Damage reduction; <i>Mizaou</i> (Flood House)=evacuation house.
Keywords	Flood disaster, Flood Prevention, Erosion Control and Damage Reduction
II. Categories (Multiple answers allowed)	
Focus of this information	<input type="checkbox"/> Implementation Oriented Technology <input type="checkbox"/> Process Technology <input checked="" type="checkbox"/> Transferable indigenous knowledge
Practitioners ("Who are supposed to act as protectors?")	<input checked="" type="checkbox"/> Community leaders (voluntary base) <input checked="" type="checkbox"/> Administrative officers <input checked="" type="checkbox"/> Municipalities <input checked="" type="checkbox"/> National governments and other intermediate government bodies (state, prefecture, district, etc.) <input type="checkbox"/> NGO/NPO project managers and staff <input type="checkbox"/> International organizations (UN organizations and programmes, WB, ADR, EC, etc.) <input type="checkbox"/> Commercial entrepreneurs <input type="checkbox"/> Financing and insurance business personnel <input checked="" type="checkbox"/> Experts <input checked="" type="checkbox"/> Teachers and educators <input type="checkbox"/> Architects and engineers <input type="checkbox"/> Sociologists and political economists <input type="checkbox"/> Information technology specialists <input checked="" type="checkbox"/> Urban planners <input checked="" type="checkbox"/> Rural planners <input type="checkbox"/> Environmental/Ecological specialists <input type="checkbox"/> Others (Please explain using the blank space below.)
Anticipated users	
Associated users	<input checked="" type="checkbox"/> Policy makers <input type="checkbox"/> Motivated researchers <input checked="" type="checkbox"/> Local residents

(GLOF)

approach)

the blank space below. Other

communities

tion system

n

g the blank space below.)

Necessary process to

Three kinds of knowledge and technology to cope with flood disaster can be observed in Noubi Plain.

-Flood Prevention: *Waju*(Inside Ring); *Communities protected by Ring Dike*

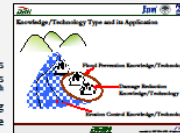
Communities living in lowland areas suffered from many flood disasters from several centuries. In the 14<sup>th</sup> century, communities built a ring dike to protect its people. Those dikes were managed by local groups of people aimed at flood control. Seeing the effectiveness of the these ring dikes, many communities copied the method. These dikes not only represented the physical structures, but also helped in developing community ties and ethical values through participatory decision making in maintenance and upgrading. As the result of physical countermeasures taken by the government in the Kiso, Nagara and Ibi Watershed Areas in 18<sup>th</sup> century, the frequency of flood in those areas has been reduced than before. Consequently, importance of the ring dikes became low, and in some cases were broken in order to renew the land use patterns.

-Damage Reduction: *Mizaou* (Flood House); *Evacuation House*  
This house has been uplifted by about 2-5m in height compared to the main house. Ordinarily *Mizaou* is used as a storage room. When flood happens, this house is used for evacuation. Possession of these houses: are limited to rich land owners.

*Age-fune* (Preparedness Boat)  
House owners possessed boats for emergency needs at the time of flood.

*Age-Butsudan*(Lift up Buddhist Family Alter)  
Buddhist Family Alter is an important asset for the household in this area, and in most cases, they are large in size. This system lifts up alters in order to protect them from submerging.

-Erosion Control: *Hijiri-Ushi*(Grand OX)  
Grand OX is considered as a river bank erosion control measure to reduce water force. Grand OX is used in the areas where rapid rivers meander.



e Ring)=Community Protected by Ring Dike  
t of Community  
agement team  
duction: *Mizaou*(Flood House)=Evacuation House  
it of Family  
earch type of floods with depth of water using previous disaster

ontrol: *Hijiri-Ushi*(Grand OX)=Control water force  
e material for the construction

e Ring)=Community Protected by Ring Dike  
levoping community ties and awareness  
eparated using local materials

e land areas  
nce is a crucial issue  
of damage in case of dike failure

duction: *Mizaou*(Flood House)=Evacuation House  
rotecting asset through closer look during flood  
user duration of flood, secondary damages due to floating  
n be reduced

cost of construction and maintenance  
past flood limit, and sometimes difficult for higher floods  
ontrol: *Hijiri-Ushi*(Grand OX)=Control water force

tive, can be made using local material  
ental friendly  
nical information on location and maintenance

. Resources required  
onion: Soil, Tree and measurement technology

ontrol: Wood or Concrete, Wire or Creeper and sand bag  
duction: Another House and Carpenter

# *Disaster Reduction Hyperbase*

**source:**

**Summary of discussion**

**4th DRH Facilitators Meeting (FM4)**

**Tokyo, 7 January 2009**

**Hiroyuki Kameda  
(DRH Project PI)**

## \* Participants: 4th DRH Facilitators Meeting, 7 January 2009

### +DRH Project PI:

**Hiroyuki Kameda (EDM-NIED)**

### +DRH Facilitators:

**(IOT) Mohsen Ghafory-Ashtiany (IIEES) and Hiroyuki Kameda (ditto)**

**(PT) Amod Mani Dixit (NSET Nepal) and Norio Okada (Kyoto University)**

**(TIK) Rajib Shaw (Kyoto University) / (Anshu Sharma (SEEDS India): to be absent)**

### +Facilitator Supports

**< Coordinator for DRH Contents from Japanese institutions: Takayuki Nakamura (JAXA)**

**< DRH Template coordinator: Naho Ikeda (EDM-NIED)**

**< CASiFiCA-DRH chief promoter: Hirokazu Tatano (Kyoto University)**

### +DRH Japan Board Chair

**< Kaoru Takara (Kyoto University)**

### + EDM Leaders

**< Hiromichi Higashihara (Director)**

**< (Hiroaki Negishi (International Team Leader) : unable to make)**

**< Naho Ikeda (ditto)**

**< Koichi Shiwaku (International Team)**

### +Other Overseas Participants

**< Saidur Rahman (BDPC: Co-Chair at Panel Discussion)**

**< Farokh Parsizadeh (IIEES: Presentation at Session 5)**

**(Supporting staff (DRH Project Assistant at EDM-NIED): Kayoko Taniguchi)**

## (Summary)

### **\*Key Issues Addressed**

- i) Enhance user incentive of DRH
- ii) Enhance contributor incentive of DRH
- iii) Decentralize and disseminate DRH developments
  - \*Implementation of regional-national DRH
- iv) DRH Consortium and sustainability
  - \*Reforming for post-project period

# DRH FM4 Discussion Memo (090107)

## 1. Problems of the DRH system development

### (1) Web system

*(User)*  
\*Browse more easily / \*Picture handling is difficult / \*Multi language issue / \*Icon identifying *IOT, PT, TIK* / \*access counting / \*Make proposals under discussion be visible

### (2) Management

*(Contributor)*  
\*Easier to understand what to write / Research application / \*Use of good work: most important / \*increase the number of contents / \*Facilitation of remaining 27 proposals by March 2009 / \*New contributions - direct communication (face-to-face and/or telephone) is important / \*How to handle facilitation /

*(User)*  
\*Having systematic titles (main attraction, hazard type, location) / \*(Access DRH through Google)

# Three technologies as good practices archived in DRH

<http://drh.edm.bosai.go.jp/>

- IOT= Implementation Oriented Technology
- PT = Process Technology
- TIK= Tested Indigenous Knowledge

## 2. How to build DRH → How to have DRH used

### (1) User incentives

**\*Users are more important than builders / \*Quality of users:** <sup>(User)</sup>  
**Government recognition / \*DRH user group / Involve private sectors / \*How to make them interesting: social marketing / \*Consolidate first efforts and get feedback from users / \*Asking users on "what they would like to know", "What kind of information they like to see (have access on)": users' demand**

### (2) Incentives to the contributors

**\*Recognition = Social and moral responsibility, Personal** <sup>(Contributor)</sup>  
**satisfaction / \*Practical advantage = grants, pints for evaluation, credits in promotion, etc. / \*Affiliation with IDRiM Journal / \*Award = best template award, best used award \*Highlighting "technology of the month" / "DRH contributor of the month"**

### **(3) Actions**

**\*Activate DRH Consortium**

**\*UNESCO IHP project**

**\*JSPS Seminar**

**\*APEC-ASEAN-SAARC(SDMC)**

*(Sustainability)*

**\*Establishing regional DRH / DRH-China & DRH-Europe/Africa are already moving**

*(Decentralize)*

**\*DRH-Bangladesh, DRH-Napal adn DRH-Iran / system transfer (Japan-Bangladesh/Nepal/Iran) / management and dissemination (Bangladesh/Nepal/Iran)**

**\*Involving young generation: next leader generations / expansion of DRH Facilitators / inviting young professionals to DRH/ new faculty members**

*(Contributor)*

**\*increasing DRH registered members (at least ten each of us)**

**\*Regional workshops on DRH**

*(User)*

**\*DRH promoting group**



## **(4) Publications**

- \*Joint publication by ISDR & DRH / Geneva, or Bangkok (good practice, show-case of each technology) (Kameda to write to ISDR) 6months** <sup>(User)</sup>
- \*Book on DRH (for institutional readers, education, .....)  
(Shaw) 1year**
- \*Journal paper (technical people, researchers) (Kaneda) 3months** <sup>(Contributor)</sup>
- \*DRH brochure (Parsizadeh) 2months**

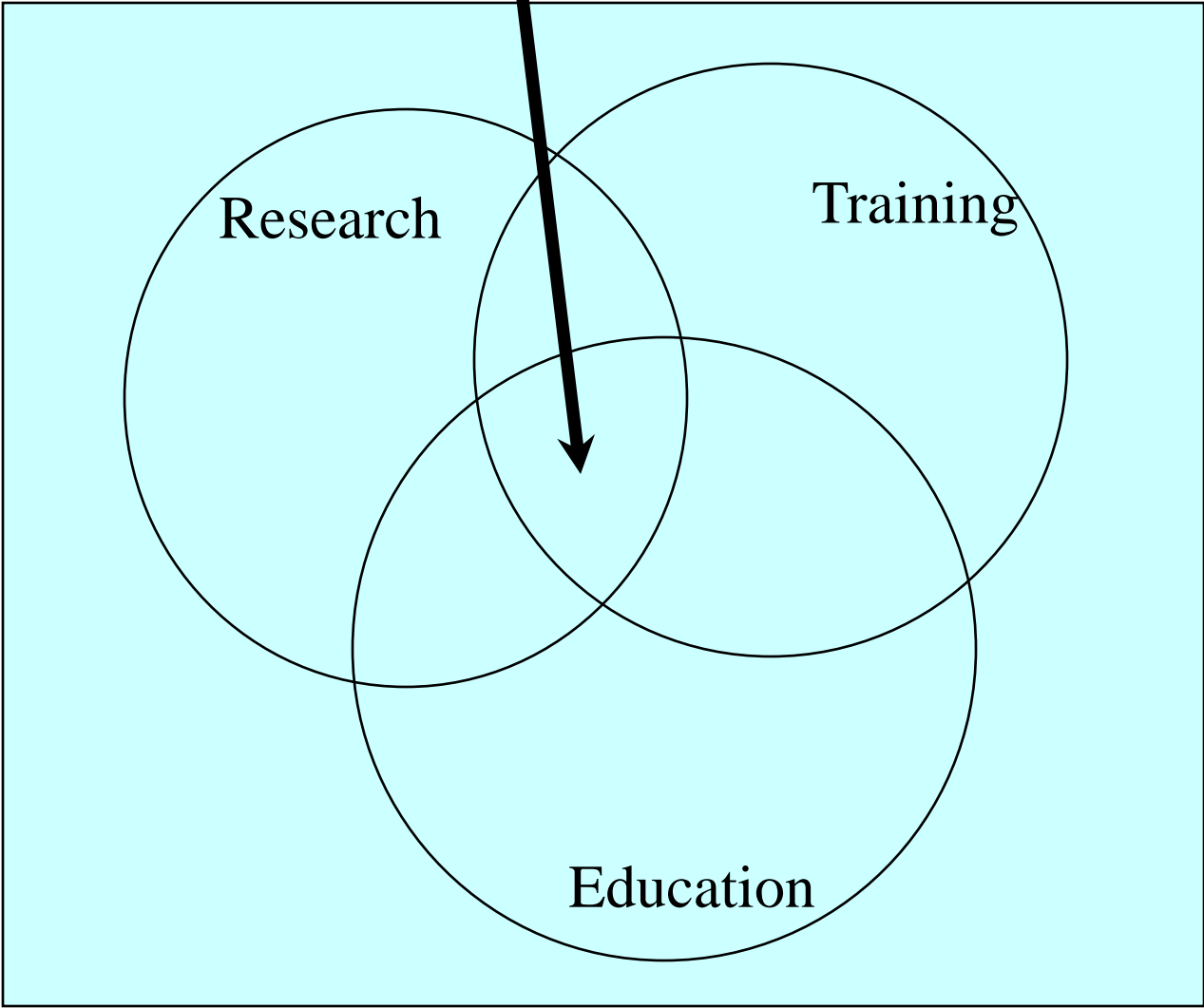
# Last but not least message

- Either Disaster Fundamental research or IDRIIM research you may wish to pursue,
- Both need to meet and stimulate each other.
- You young researchers are encouraged to join cross-cutting, cross-national networks and platforms.
- This Symposium of Asian Heads of Research Councils is an excellent setting for you all!

Thank you for your attention!

# Appendix

# CASiFiCA Target (Shaw 2005)



# Case Station/ Field Campus

(Shaw 2005)

**Prioritize Actions**

**Advocacy  
Motivational Tools**

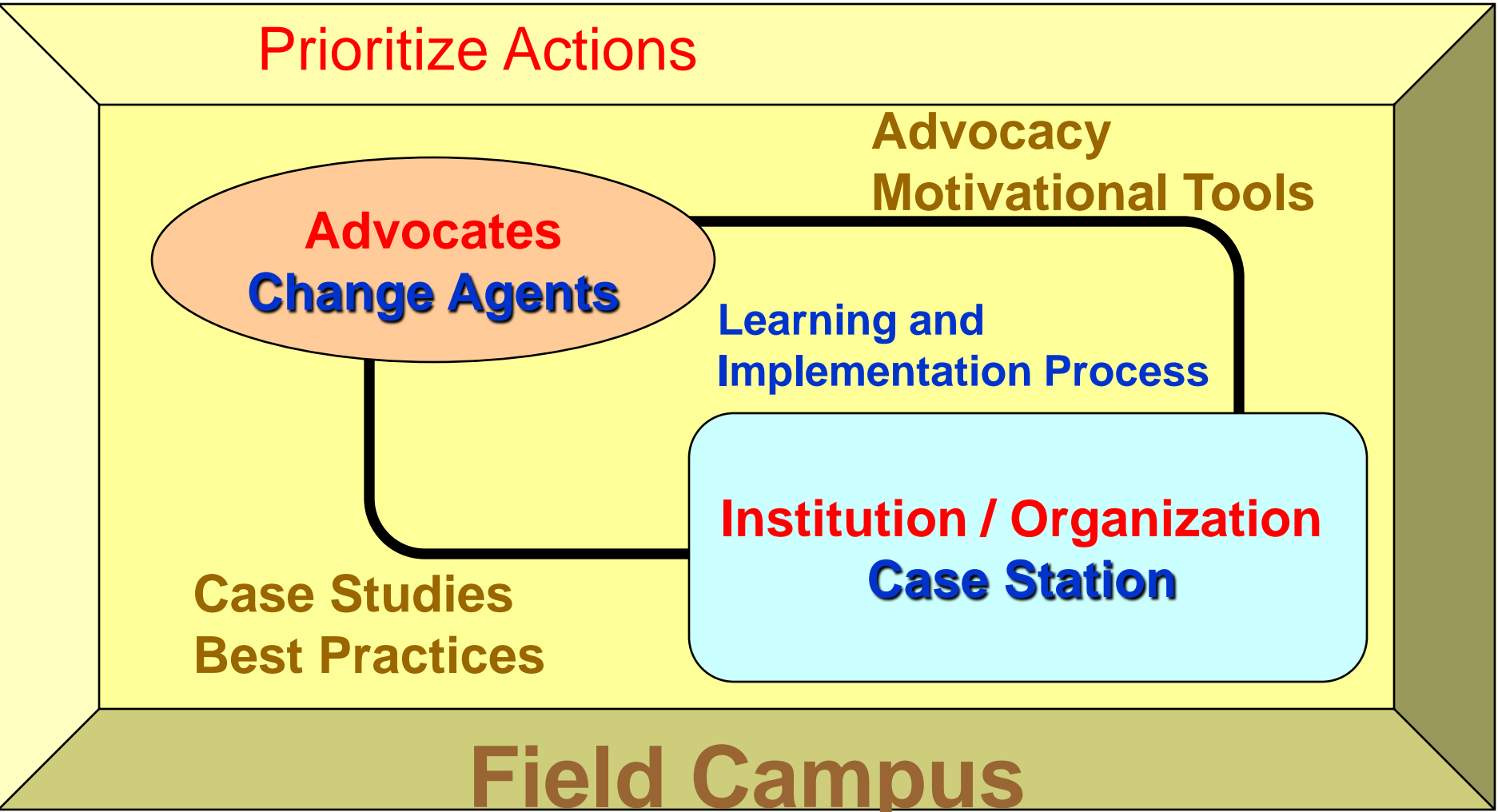
**Advocates  
Change Agents**

**Learning and  
Implementation Process**

**Case Studies  
Best Practices**

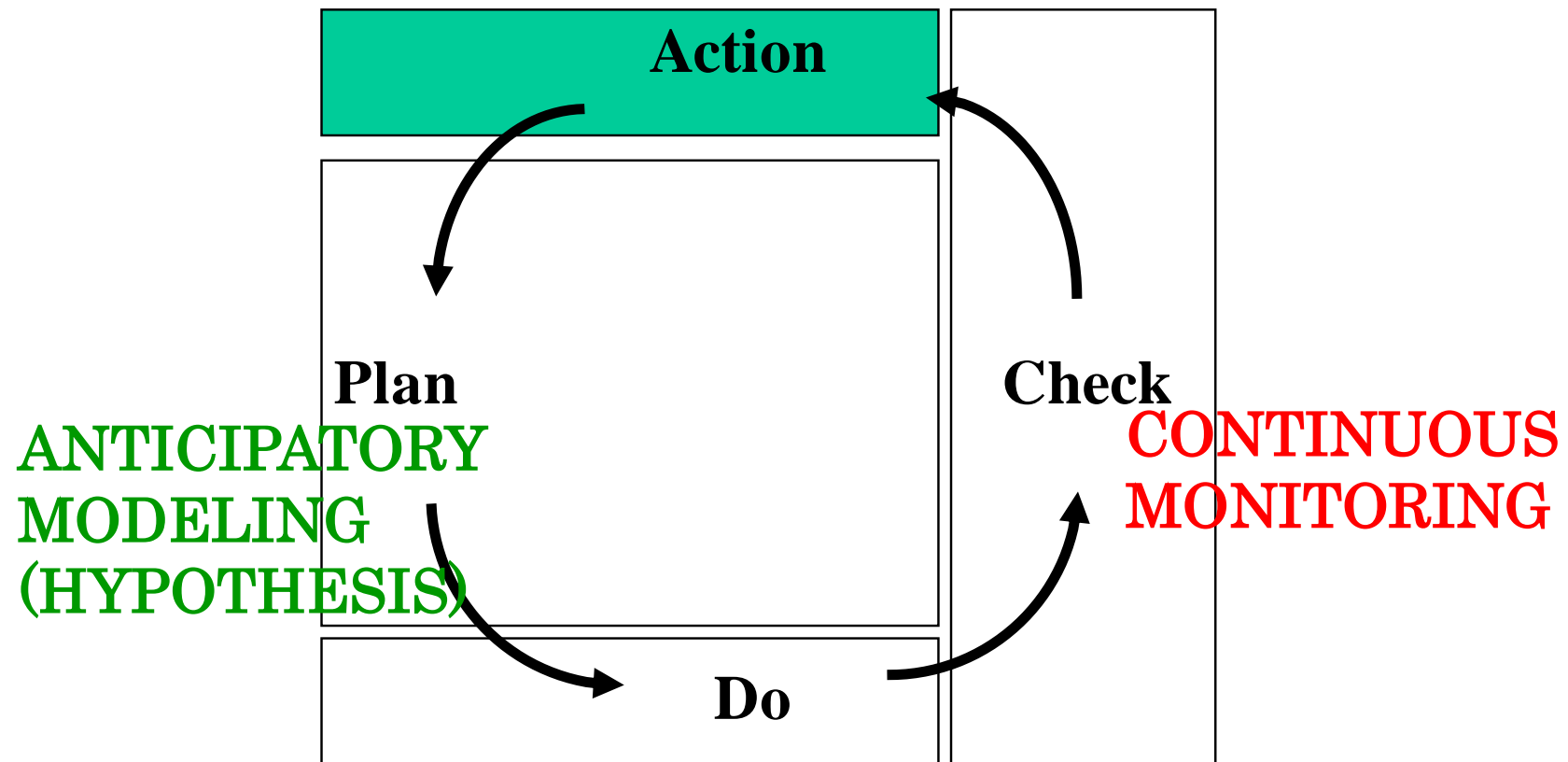
**Institution / Organization  
Case Station**

**Field Campus**



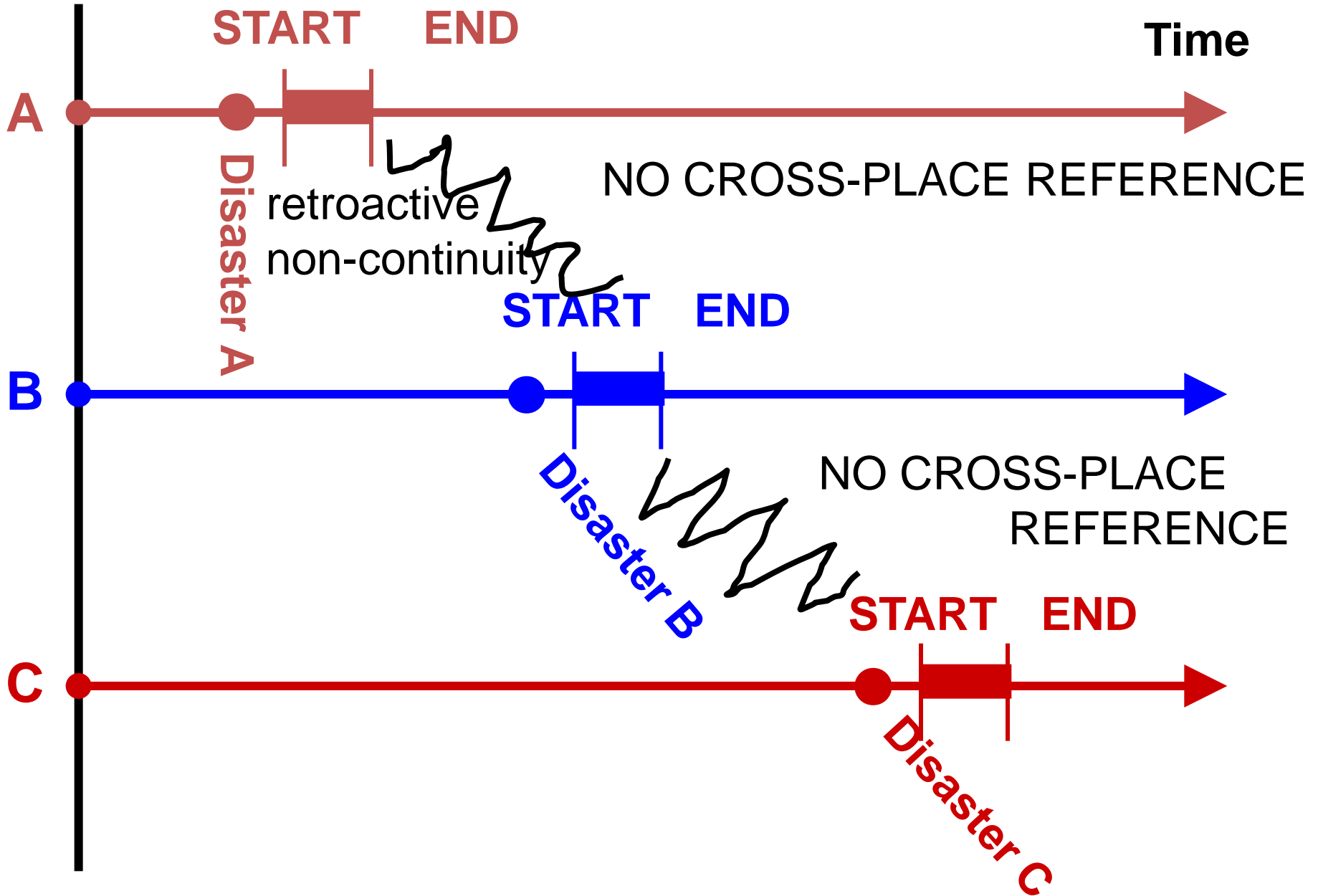
# Participatory Framework for Implementing “Implementation Science”

Adaptive Management as a PDCA Cyclic Process in  
Semi-open-ended System (Actual Field)



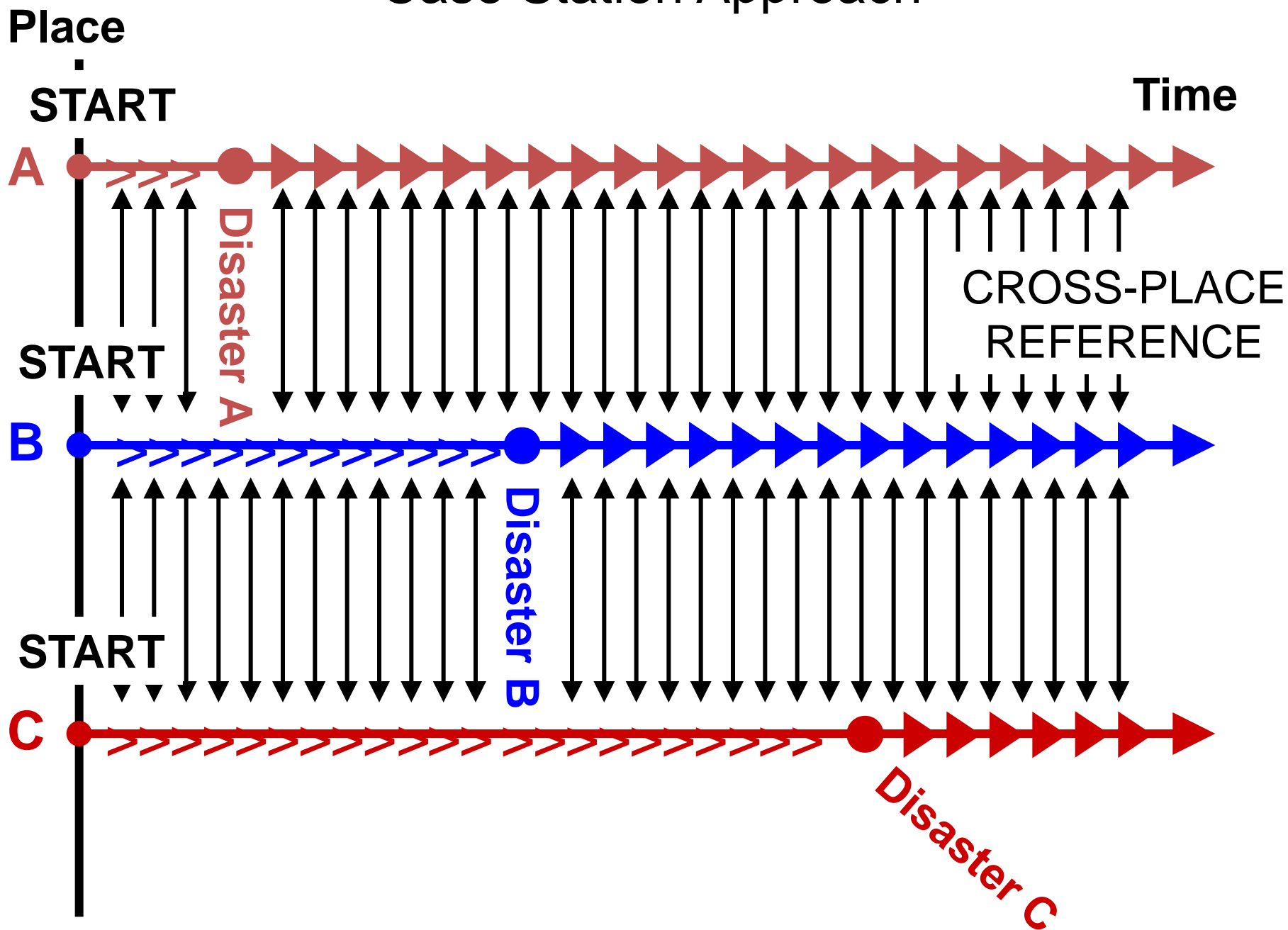
# Typical Conventional Case Study Approach

Place





# Case Station Approach



# CASiFiCA Now and Near Future

- Starting in parallel with testable “success” (viable) models and practices? → Yes!
- Mutually recognizing other CASiFiCan activities? → So, so!
- Cross-visiting and –monitoring each other  
→ Not yet so much.
- Fostering common communication platform  
→ CASiFiCan Network, NEXUS-IDRiM Network, DRH Network,  
Not yet so much.
- Can we maintain and evolve as a long-term framework?  
→ Yet to be challenged .

# What are to be “Fundamentals of Implementation Science”?

- From Field to Continually and Cross-Testable (CCT) Theory
- From CCT Theory to Fields
- Fields as Geographical and Disciplinary Areas
- Fields as Campus, Outlet Laboratory Station of Key Research Institutes (Case Station)

# Senior Researchers !

## Tabling Testable Agenda is welcome!

- Switching CCT theory on
  - Situational Behavioral Change
  - Collective Knowledge to Action
- Conceptual Model Leverage for Boiling down to Implementation Core Issue
- Communication and Delivering Methods for Transforming Tacit Knowledge to Explicit

Conviviality  
(Communication/Collaboration)

*Live together*

Simultaneously  
satisfied

Vita Functional  
Integration

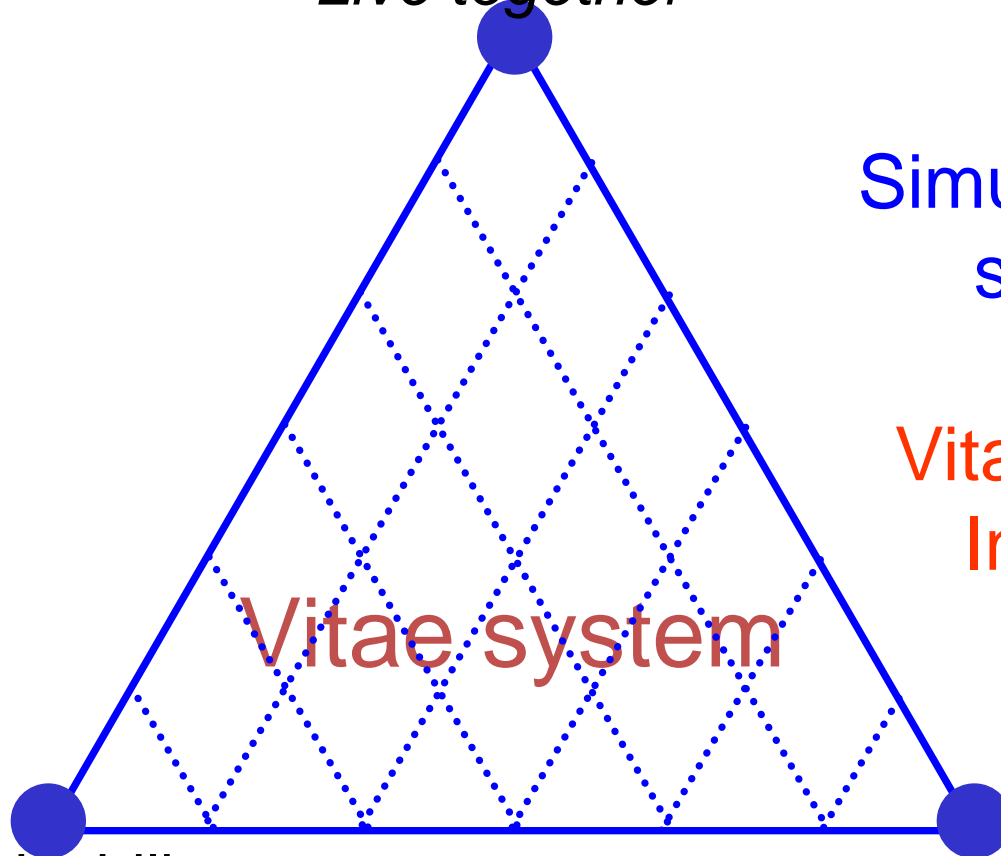
Vitae system

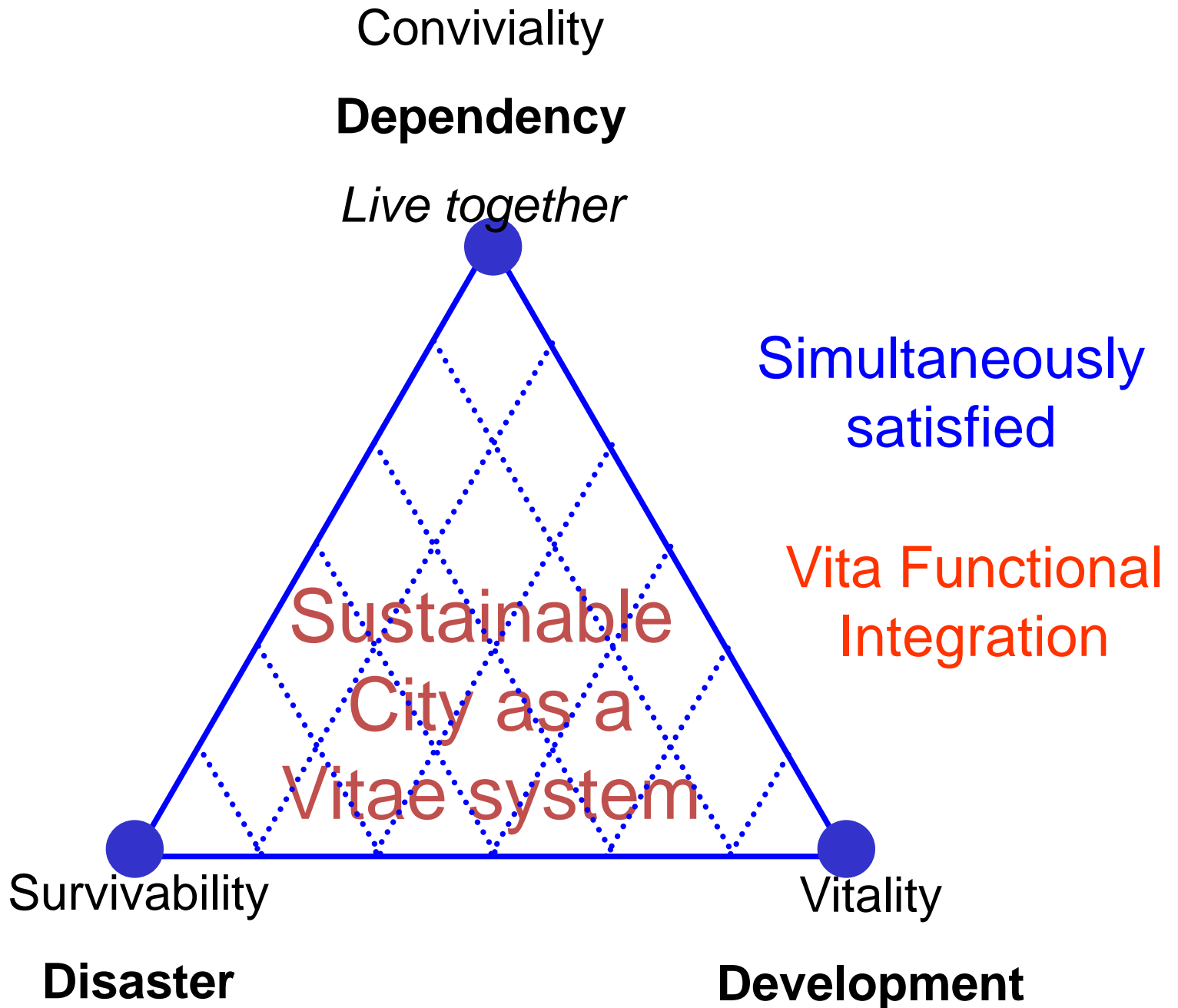
Survivability

Vitality

*Live through*

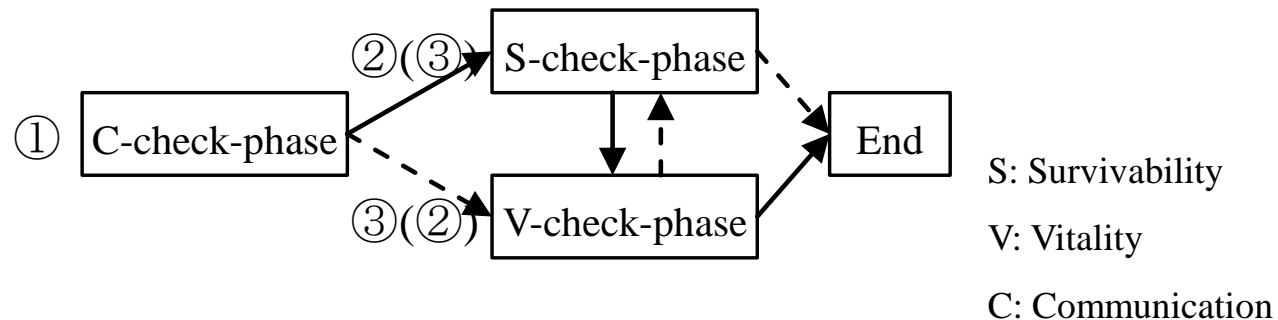
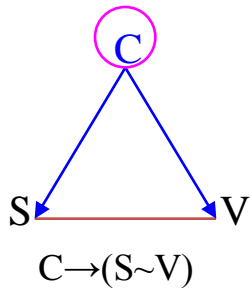
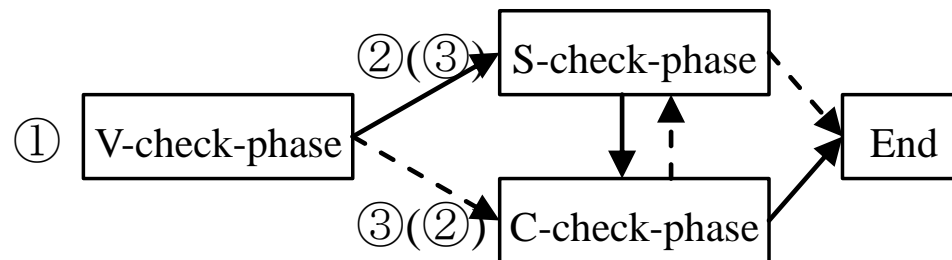
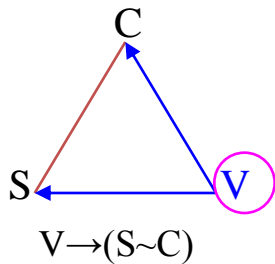
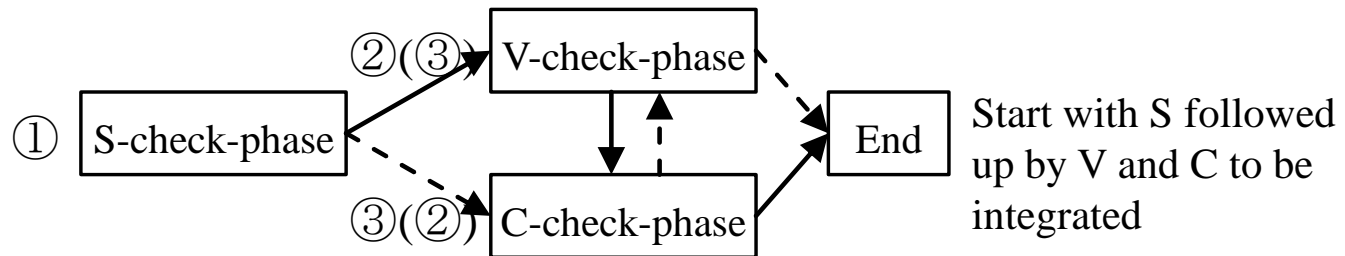
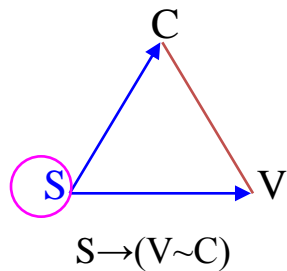
*Live lively*





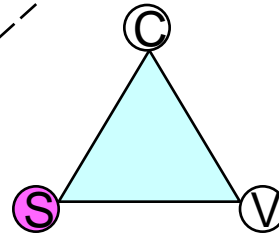
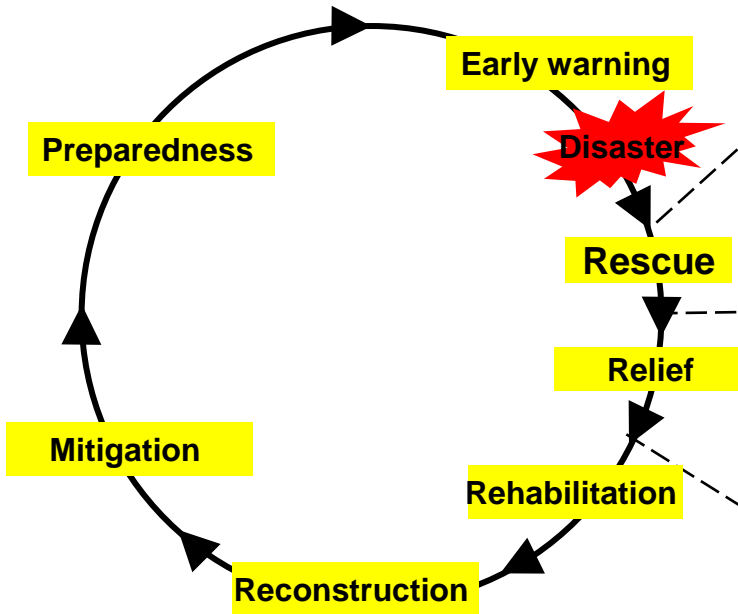
# Three Approaches towards Capacity Integration: viewed by the Vitae System (Xu, 2007)

S-front integration approach





# “Post-disaster Vitae Integration” over time

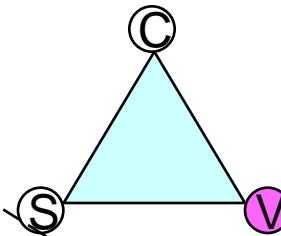


Temporary shelter

**SURVIVAL FIRST**

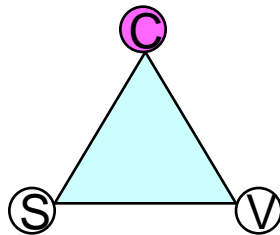
Accommodation shelter

**VITALITY FIRST**



Temporary housing

**COMMUNICATION FIRST**



# Implementation-bound Modeling Angle (Policy-making Commitment Stance)

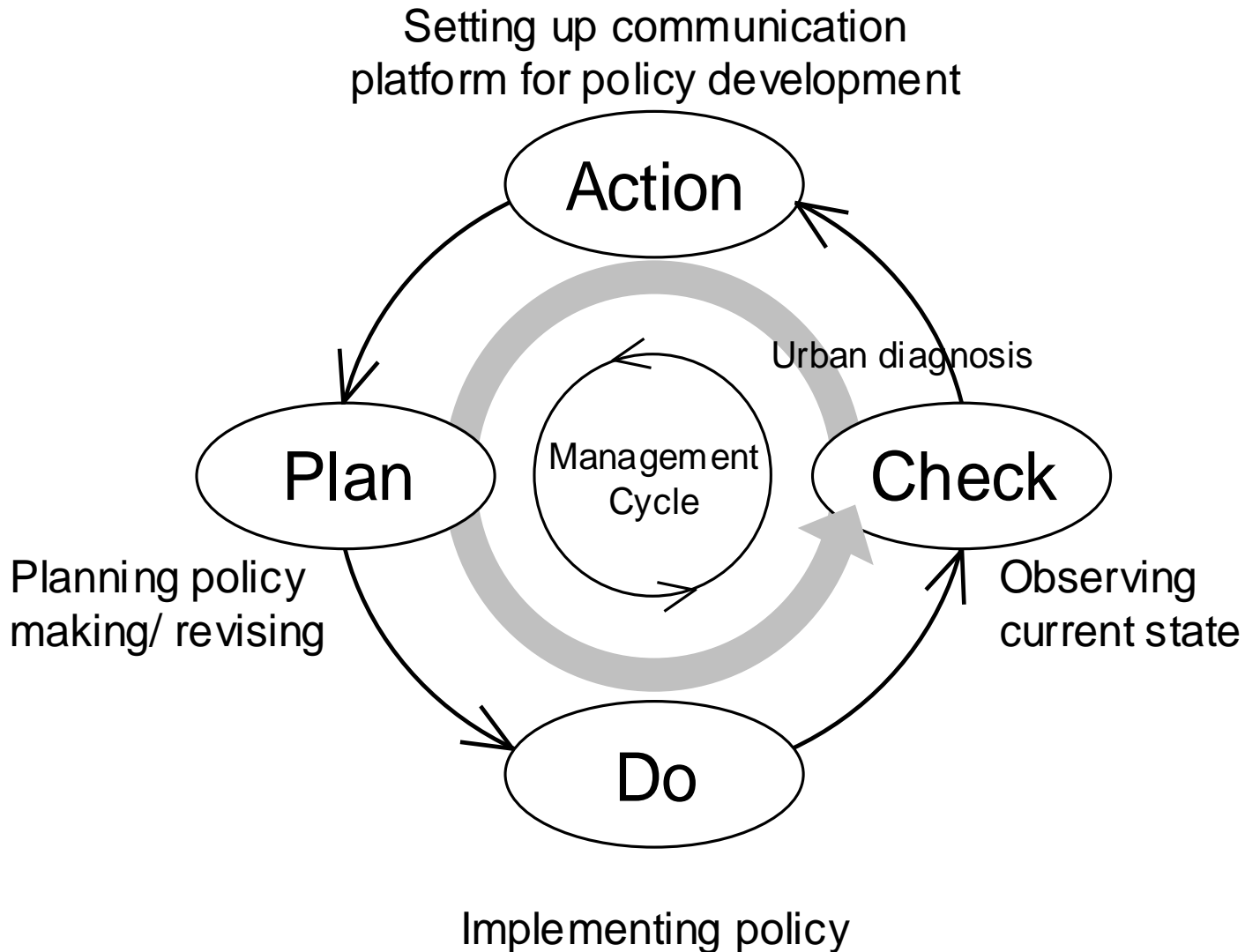
- Implementation-bound Modeling Angle by Vitae System
  - S-faced approach
  - V-faced approach
  - C-faced approach
- Modeling Angle Rotation
  - over time
  - over geophysical space
  - over governance space
    - concerns of stakeholders
    - interest of stakeholders
    - commitment of stakeholders
    - capacity of stakeholders

# Governance Perspective Level

- From above to down (Bird's eye view)  
CCT Theory on Institutional design and effectuation
- From down to above (Ant's eye view)  
Formalizing KNOW-WHAT and KNOW-HOW (knowledge and technology) of Field-derived outcomes and findings
- Engaging in the Process of Synergy between the two dynamisms (individual and group efforts though implementation science schooling )

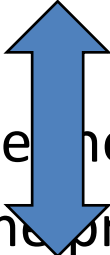
# Plan-Do-Action-Plan Process

## Small but Complete by Adaptive Management

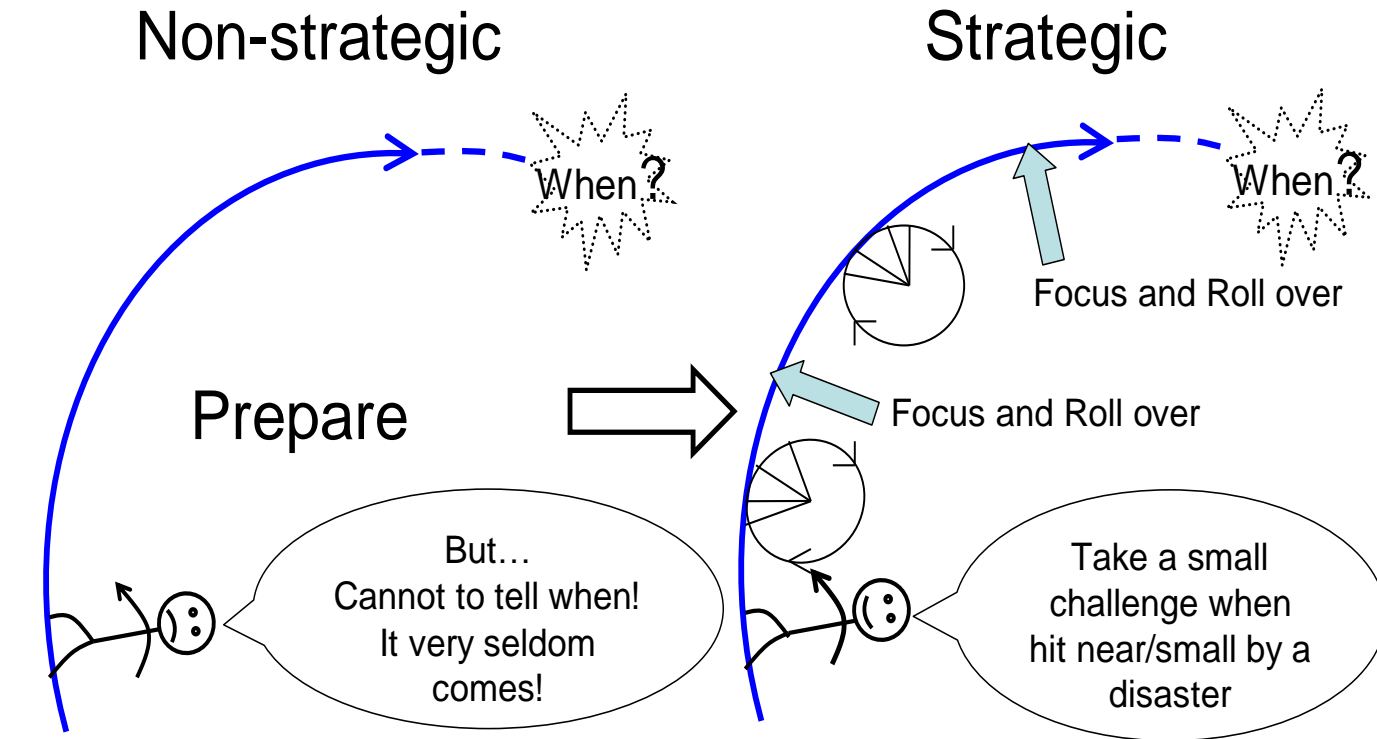


# Challenge towards an Innovative Research Framework

## Driving back and forth between Theorization and Field Finding/Testing

- Basic (mathematical) theories/logical models for formalizing, explaining/interpreting, and diagnosing the mechanism/process.
  - Basic (mathematical) theories/logical models for hypothesizing viable solutions and estimating/predicting possible outcomes .
- 
- Field Finding/Testing the theories/models.
  - Field Finding/Testing (the process of reaching) viable solutions.

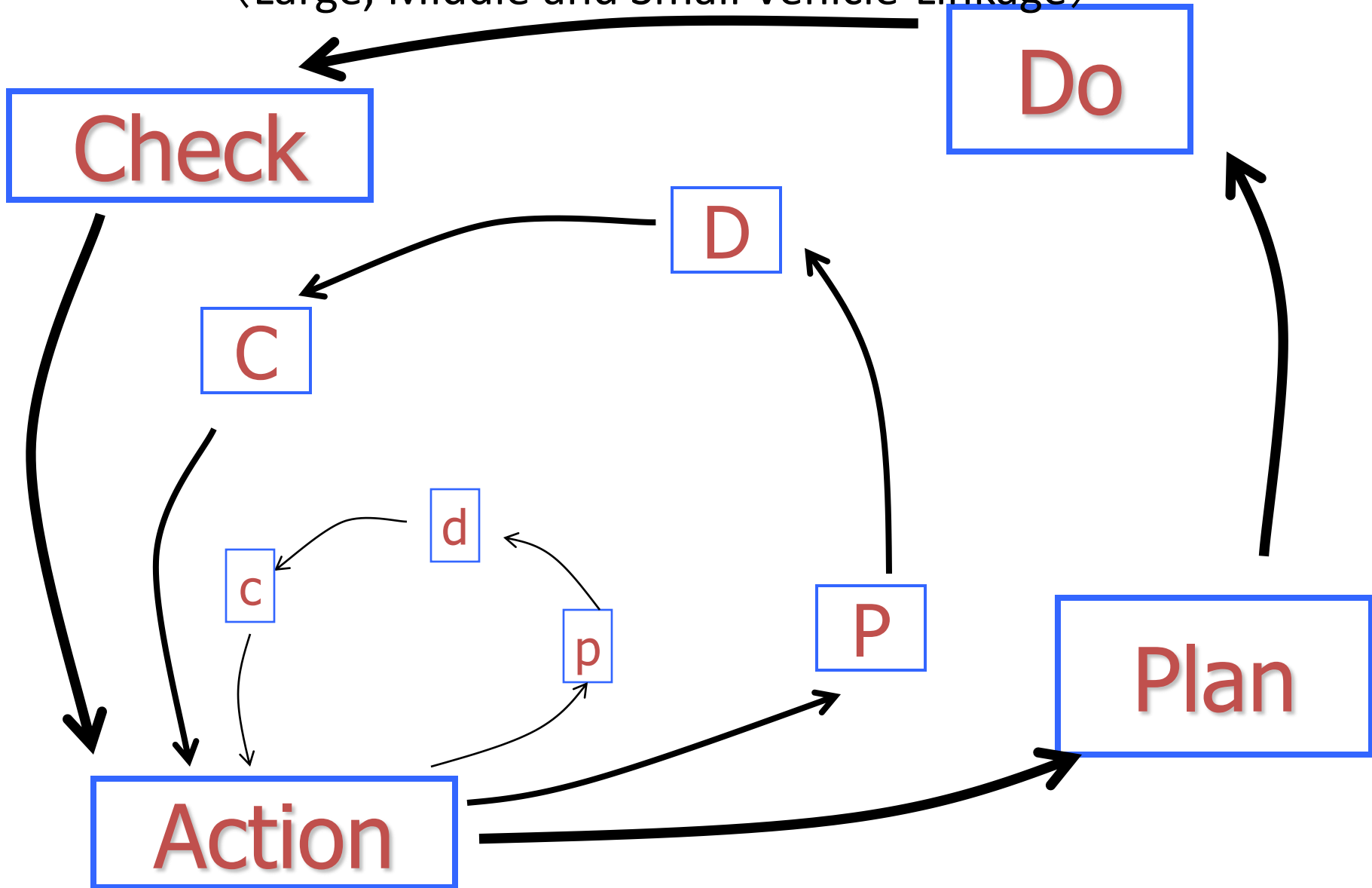
# Strategic Shift towards Sustainable Disaster Cycle Management

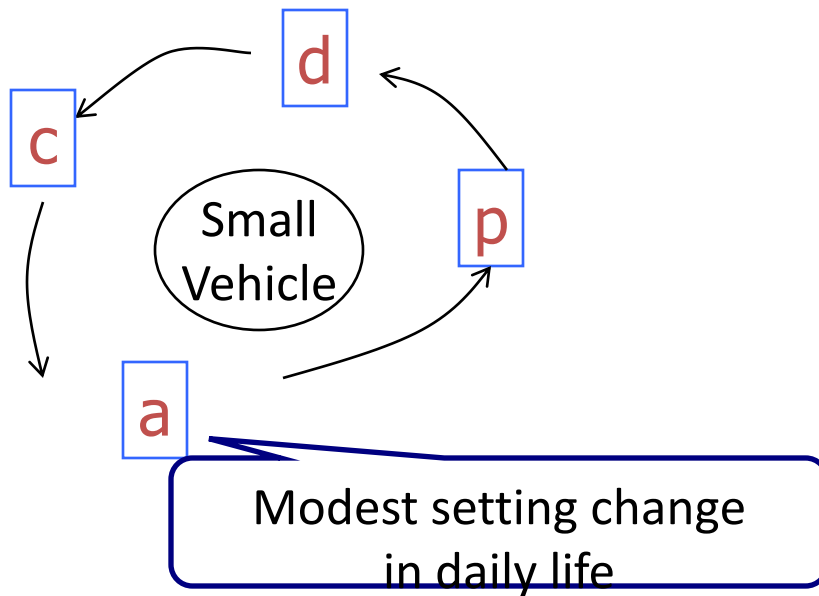


- Not easy to maintain awareness for long
- Not easy to bring it into motion from inside
- Not easy to become rhythmical between tension and relaxation in a day-to-day pace mode
- Not to be encouraged and rewarded by the effort

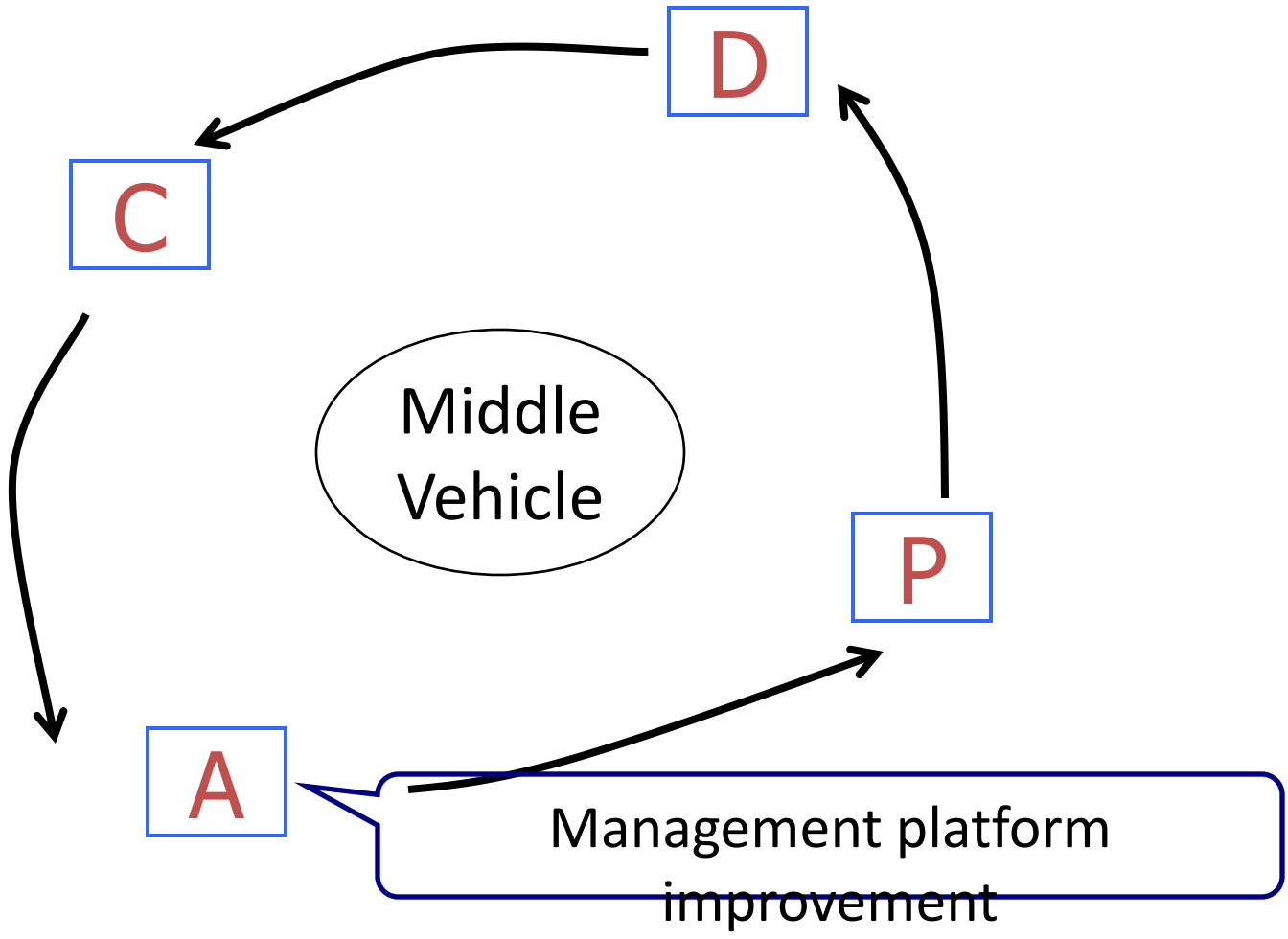
- To put in PDCA small cycles as fliers
- To catch the timing and external moment (shock)
- To beat the time with tension and relaxation
- To encourage and motivate people by making it visible and rewarding

# Nested Structure of PDCA Cycles (Large, Middle and Small Vehicle-Linkage)







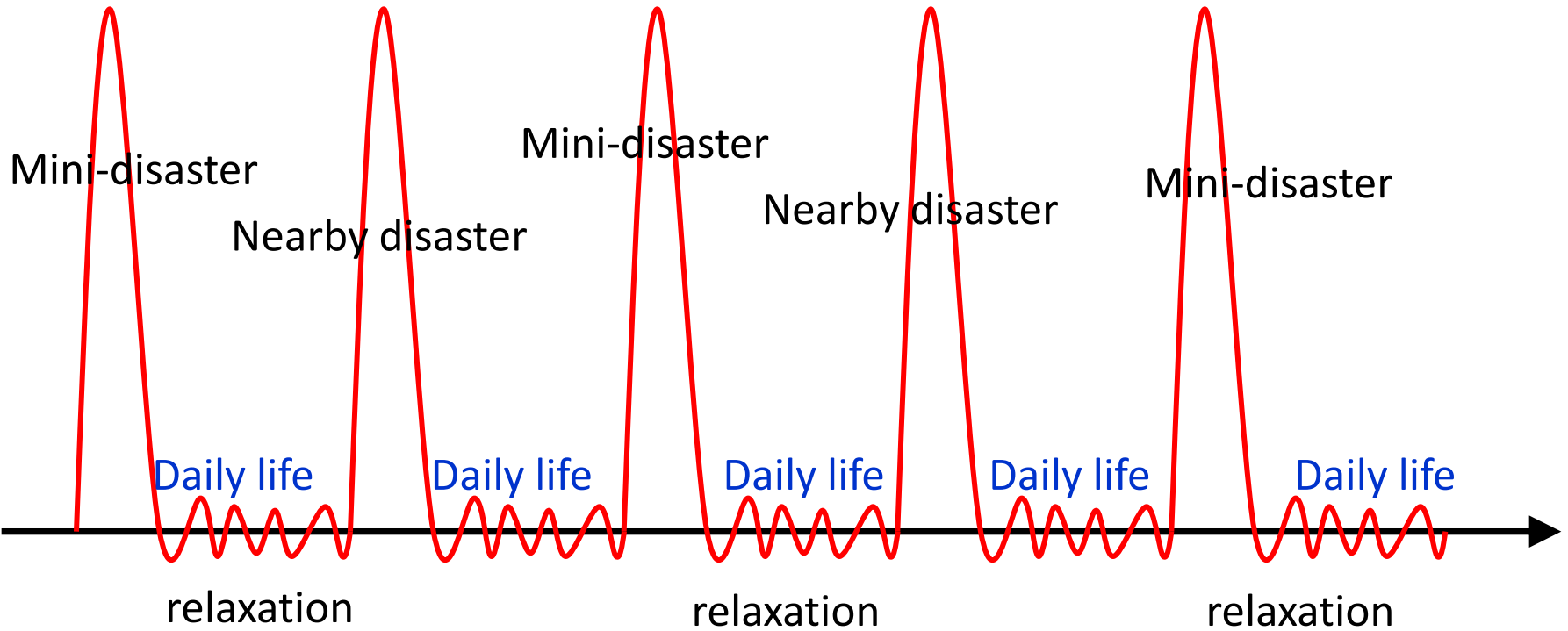


# Vital Rhythms

Tension

Tension

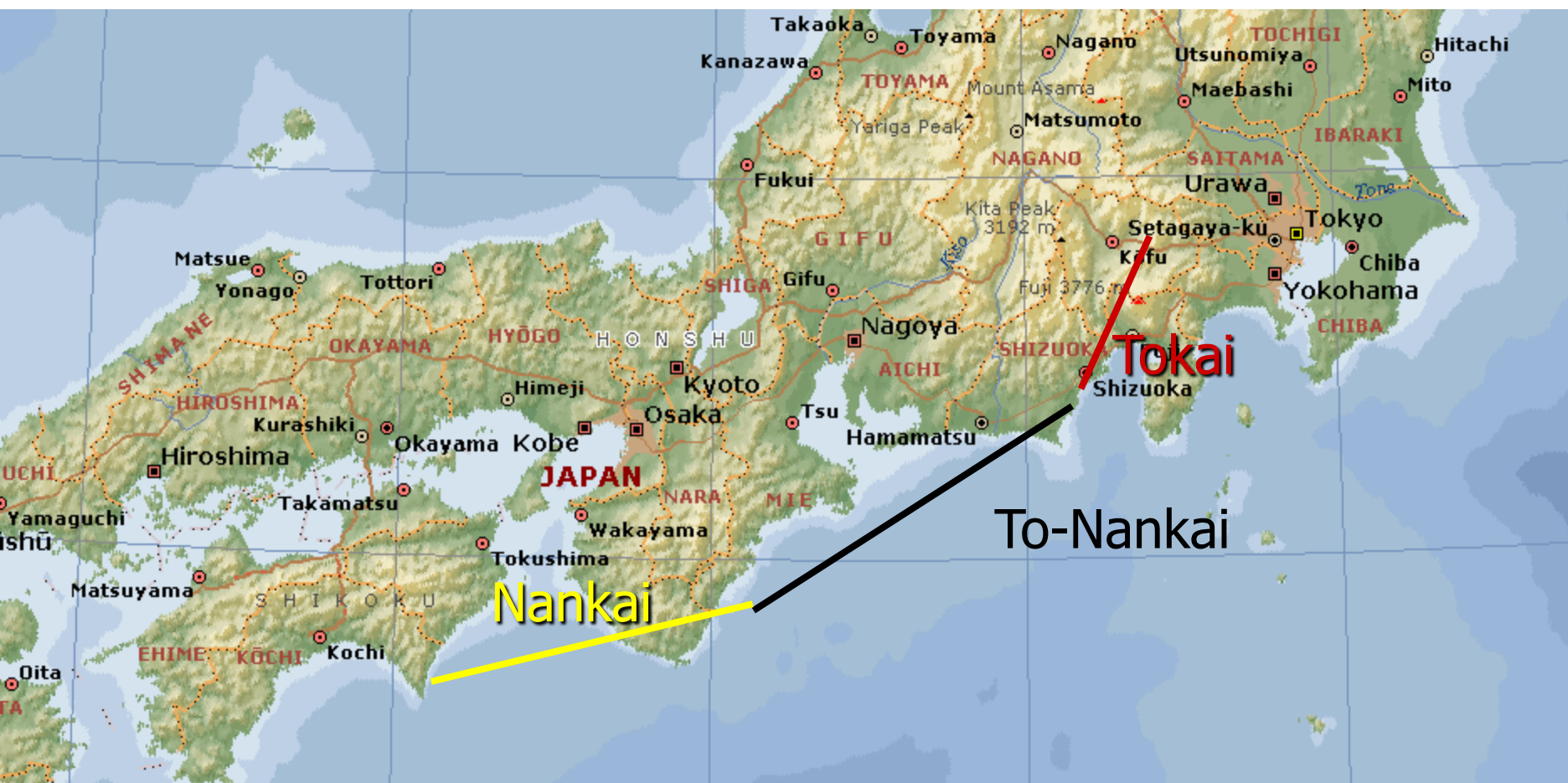
Tension



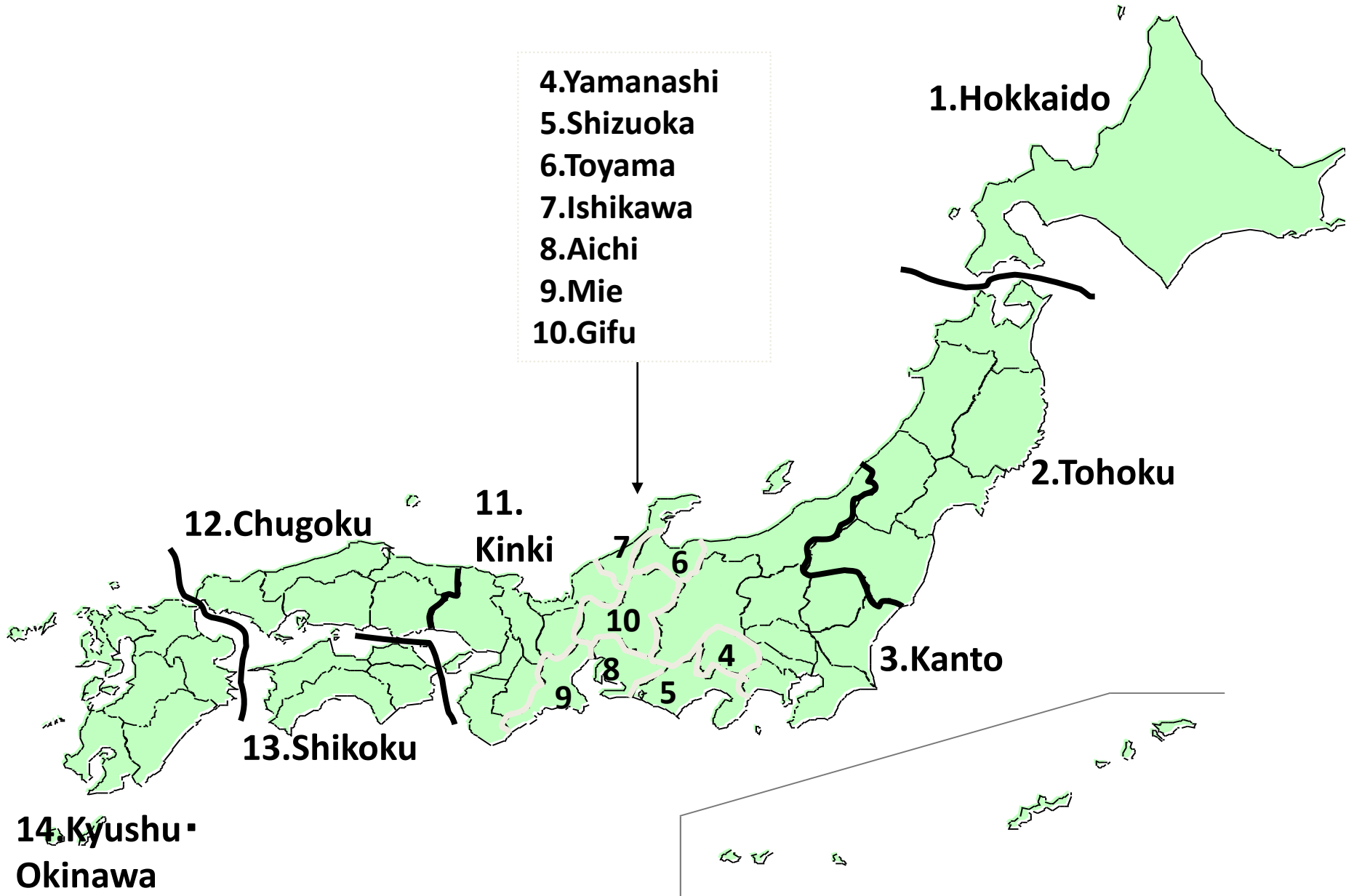
# Japan's Dai-Dai-Toku Research Project (2002-2007)

Sub-project headed by Okada: Adaptive Management by Policy Ima-Simulator on Enhancing Resiliency for Tokai/To-Nankai Earthquake (year 20xx?) studied by Tatano et al

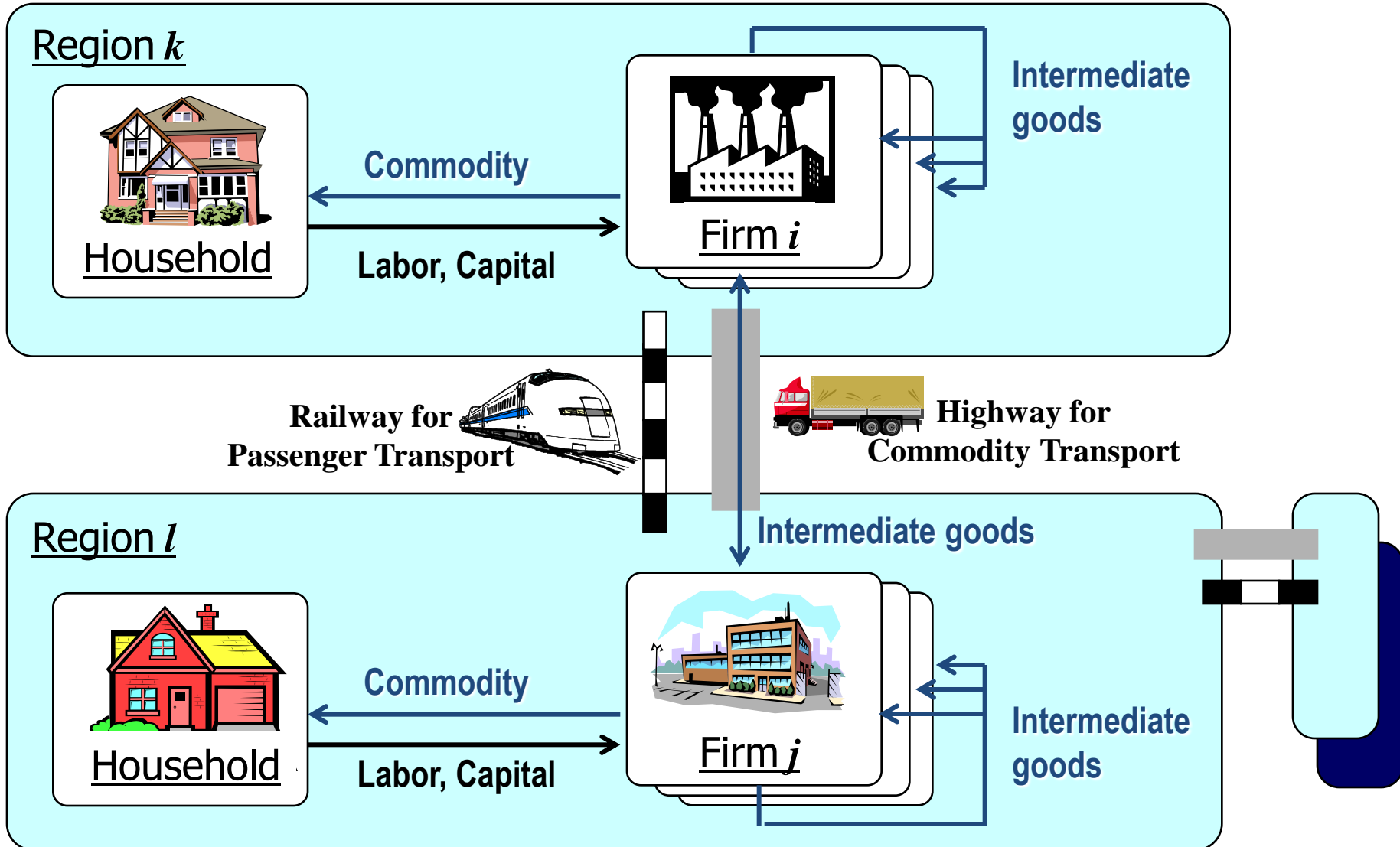
<http://www.ddt33.dpri.kyoto-u.ac.jp/>



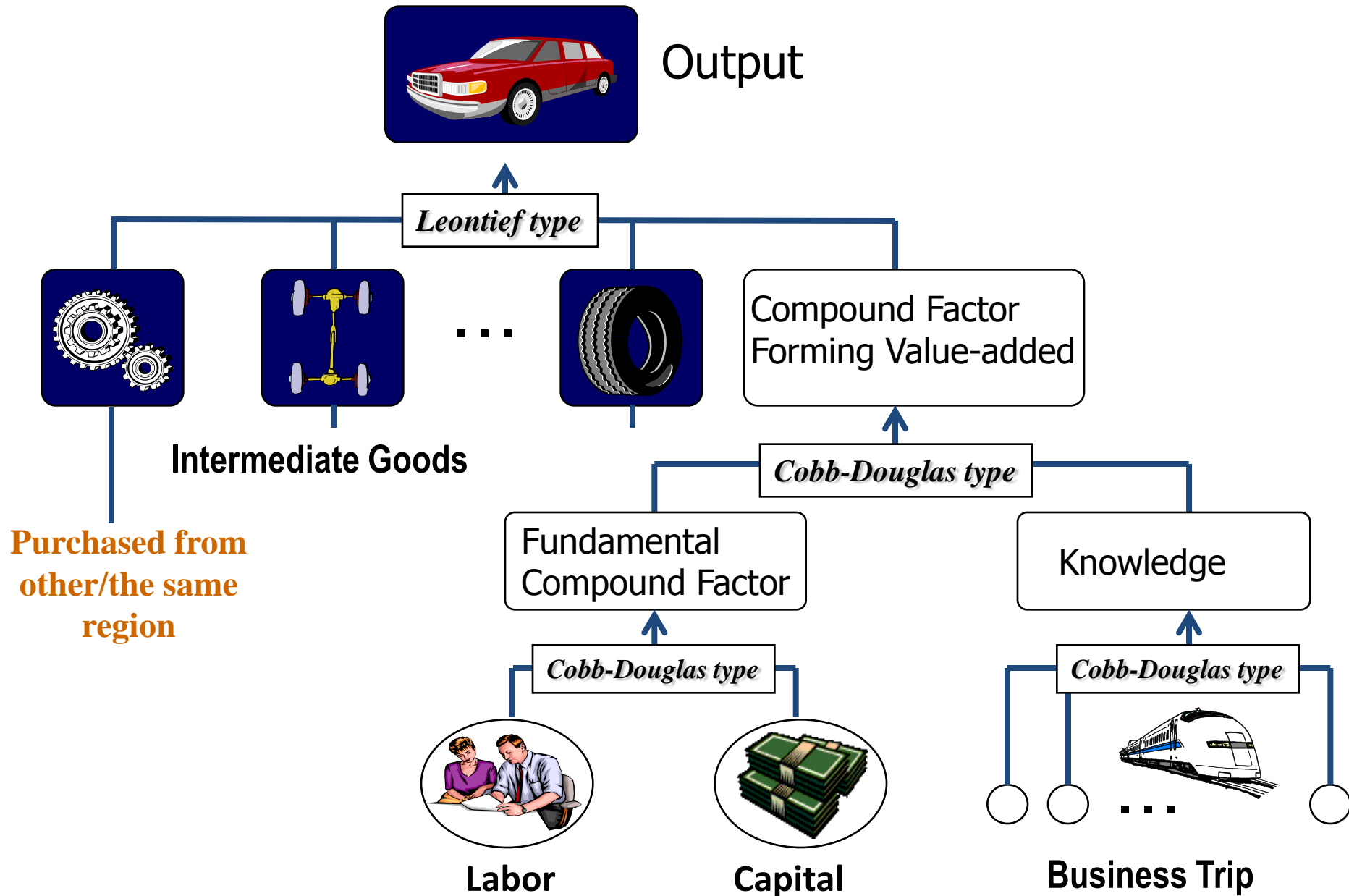
# 14 Zones for Loss Estimation



# Regional Economic System



# Production Structure of Firms

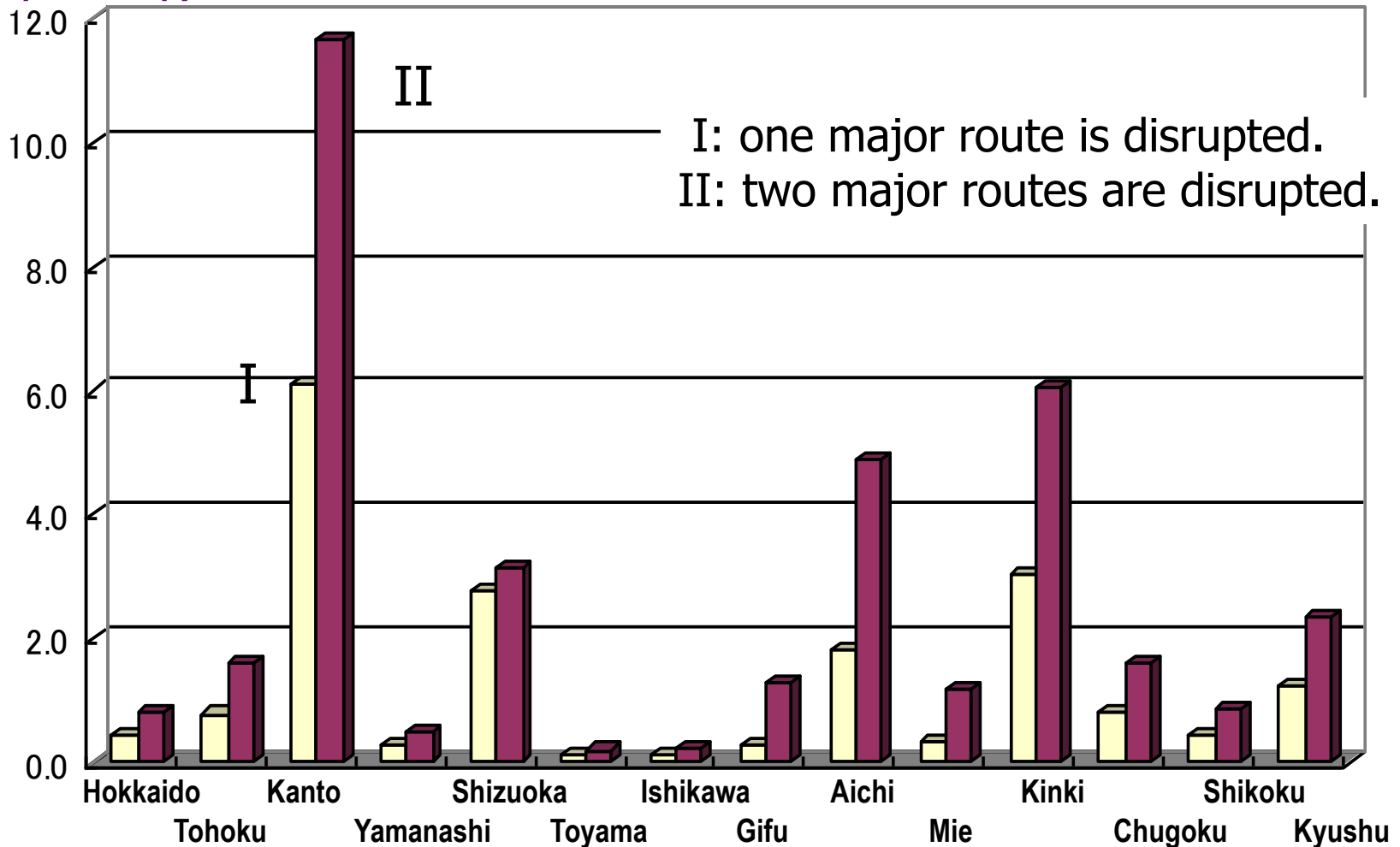


# SCGE Model's Equilibrium Conditions

Equilibrium before a Disaster	Disaster	Equilibrium after a Disaster
<div data-bbox="224 289 836 368" style="border: 1px solid black; padding: 2px; margin-bottom: 10px;">Transport Condition (cost)</div> <div data-bbox="224 504 562 582" style="border: 1px solid black; padding: 2px; margin-bottom: 10px;">Labor, Capital</div> $L_i^k(0), K_i^{k(0)}$ <div data-bbox="224 682 562 761" style="border: 1px solid black; padding: 2px; margin-bottom: 10px;">Business Trip</div> $n_i^{kl(0)}$ <div data-bbox="224 861 836 939" style="border: 1px solid black; padding: 2px; margin-bottom: 10px;">Industrial Sectors (goods)</div> $Q_i^k(0), X_{ji}^{k(0)}, z_i^{kl(0)}$ <div data-bbox="224 1046 836 1125" style="border: 1px solid black; padding: 2px; margin-bottom: 10px;">Household (consumption)</div> $d_i^k(0)$ <div data-bbox="224 1218 595 1296" style="border: 1px solid black; padding: 2px; margin-bottom: 10px;">Price of Goods</div> $p_i^k(0), q_i^k(0)$		<div data-bbox="1170 604 1580 675" style="margin-bottom: 10px;"> <math>L_i^k(0), \eta_i^k K_i^{k(0)}</math> </div> <div data-bbox="1170 782 1319 853" style="margin-bottom: 10px;"> <math>n_i^{kl(1)}</math> </div> <div data-bbox="1170 953 1644 1025" style="margin-bottom: 10px;"> <math>Q_i^k(1), X_{ji}^{k(1)}, z_i^{kl(1)}</math> </div> <div data-bbox="1170 1132 1306 1203" style="margin-bottom: 10px;"> <math>d_i^k(1)</math> </div> <div data-bbox="1170 1303 1489 1375" style="margin-bottom: 10px;"> <math>p_i^k(1), q_i^k(1)</math> </div>

# Transport-related Losses: Results

(bil. yen/day)





# The Losses by Transportation Mode

## Mutual Dependencies between Expressways and Railways

e.g. in scenario I, II,

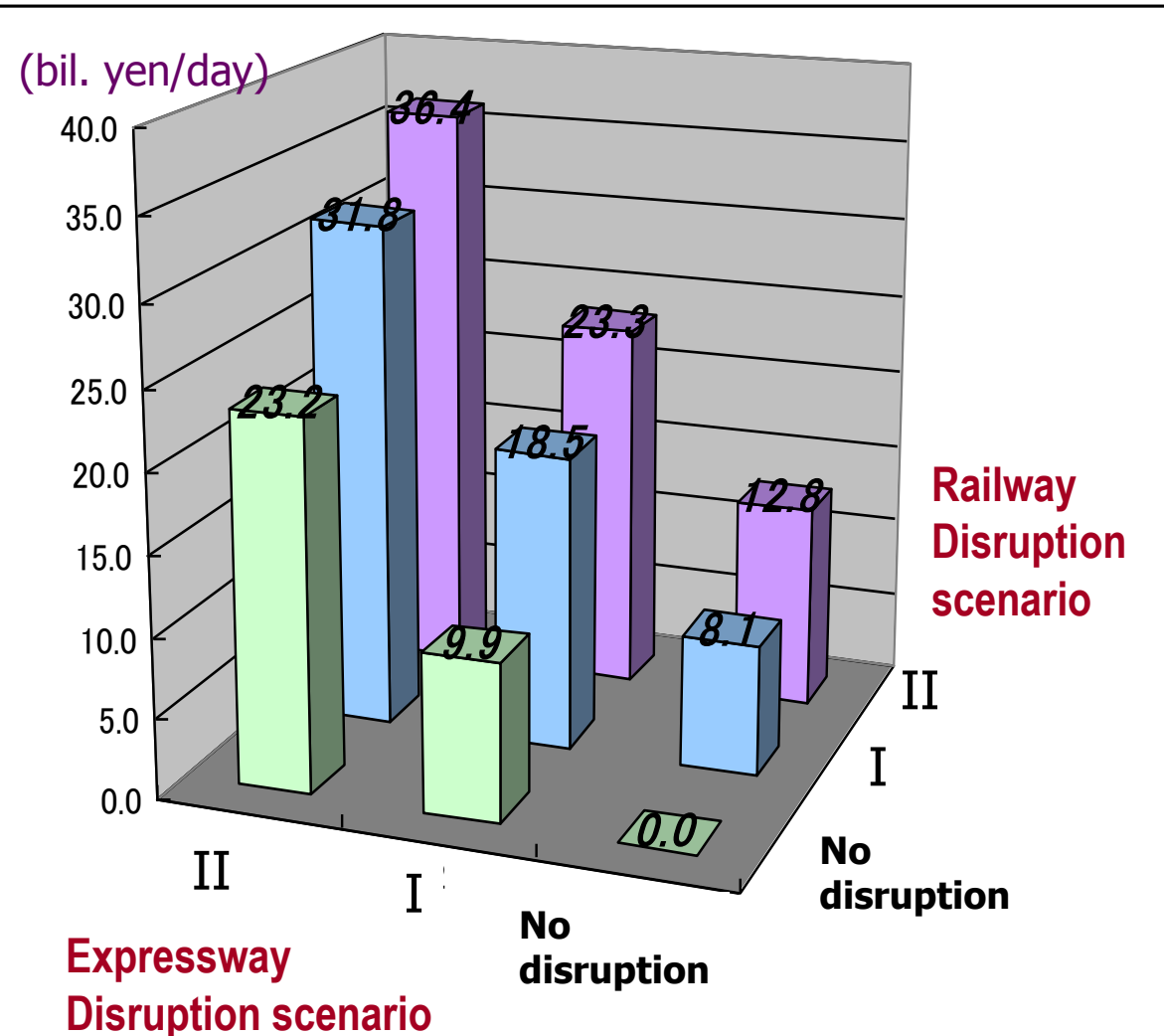
$$18.5 > 9.9 + 8.1$$

$$36.4 > 23.2 + 12.8$$

In the same way,

$$23.3 > 9.9 + 12.8$$

$$31.8 > 23.2 + 8.1$$



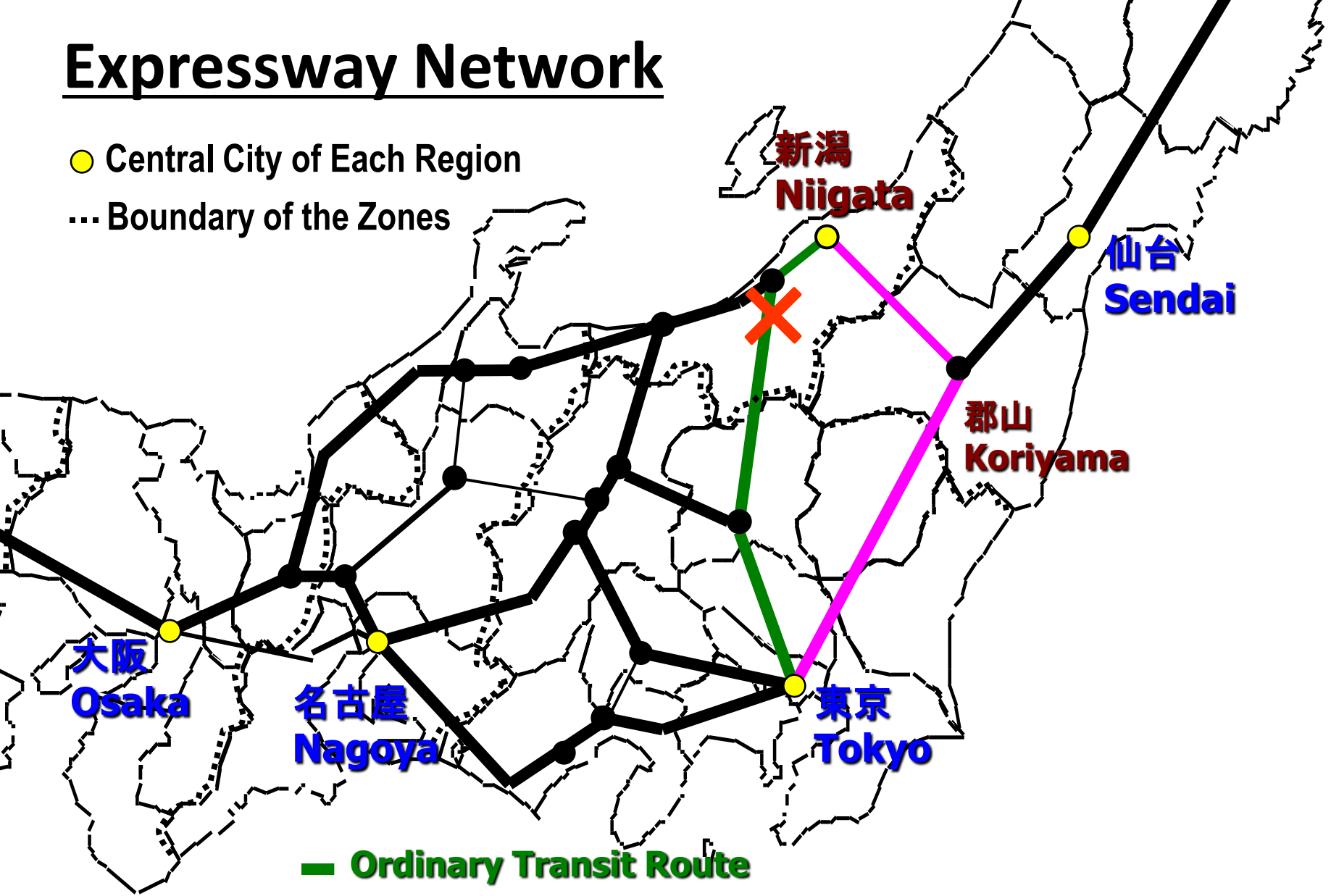
# Model was adaptively tested for Niigata-Chuetsu Earthquake (2004)

- Press info/ Development Bank of Japan report
  - 49 deaths
  - More than 16,000 houses were half-fully destroyed
  - Lifelines: 3 days (power), 2 weeks (water), 1 month (gas) for 90% recovery.
  - Transportation: network disruption for 2 weeks (freeway, temporary) – 2 months (Shinkansen)
  - Other infrastructure damaged: rivers, landslide, etc.
  - Forestry and fisheries: loss of over 100 billion yen for various facilities, 2% of all farmland are unavailable this spring in 8 damaged municipalities
  - Manufacturing: Electromechanical component, automobile parts, traditional crafts etc.
  - Services: tourism industries, etc.

# Expressway Network

● Central City of Each Region

--- Boundary of the Zones

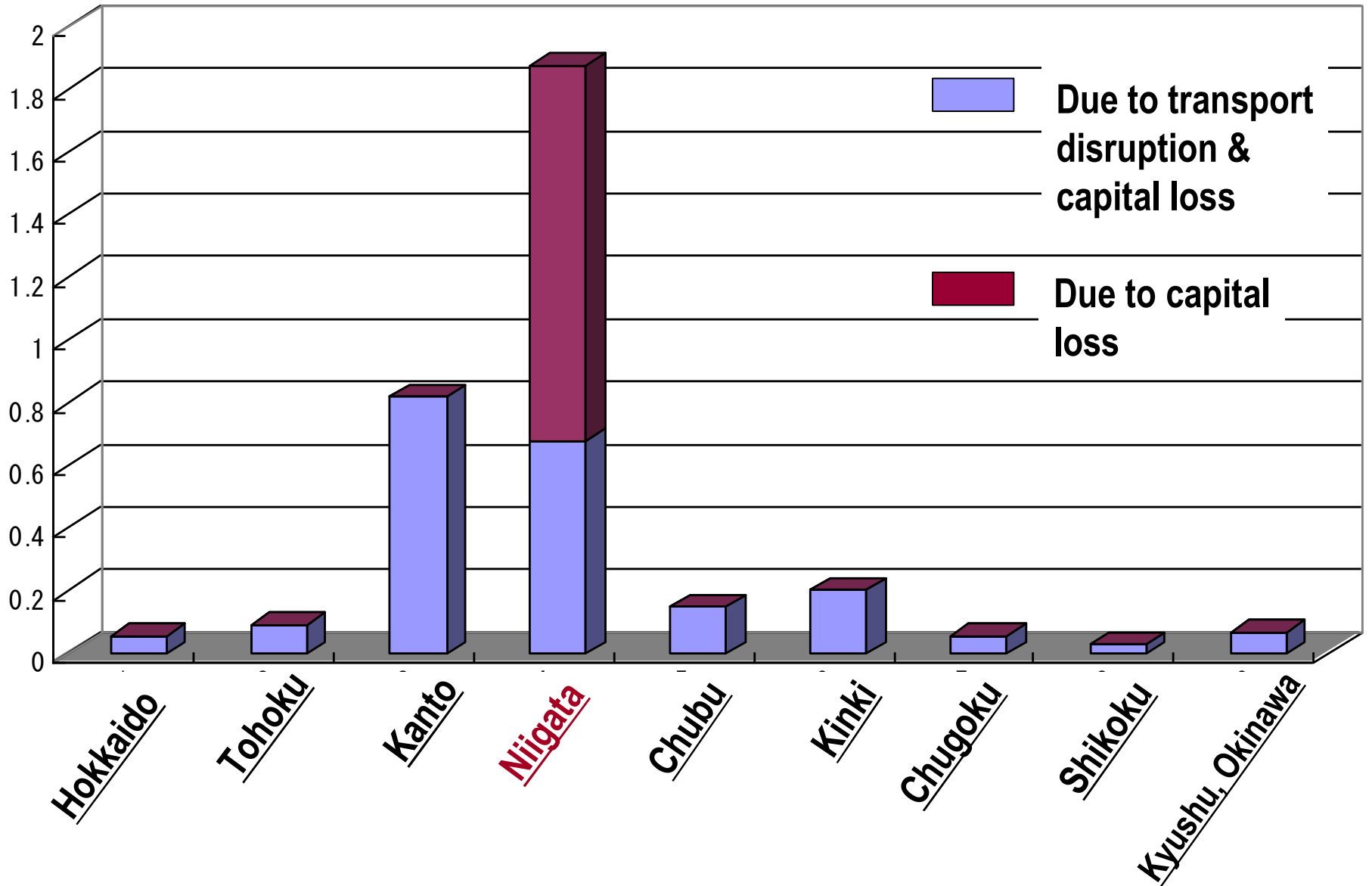


— Ordinary Transit Route

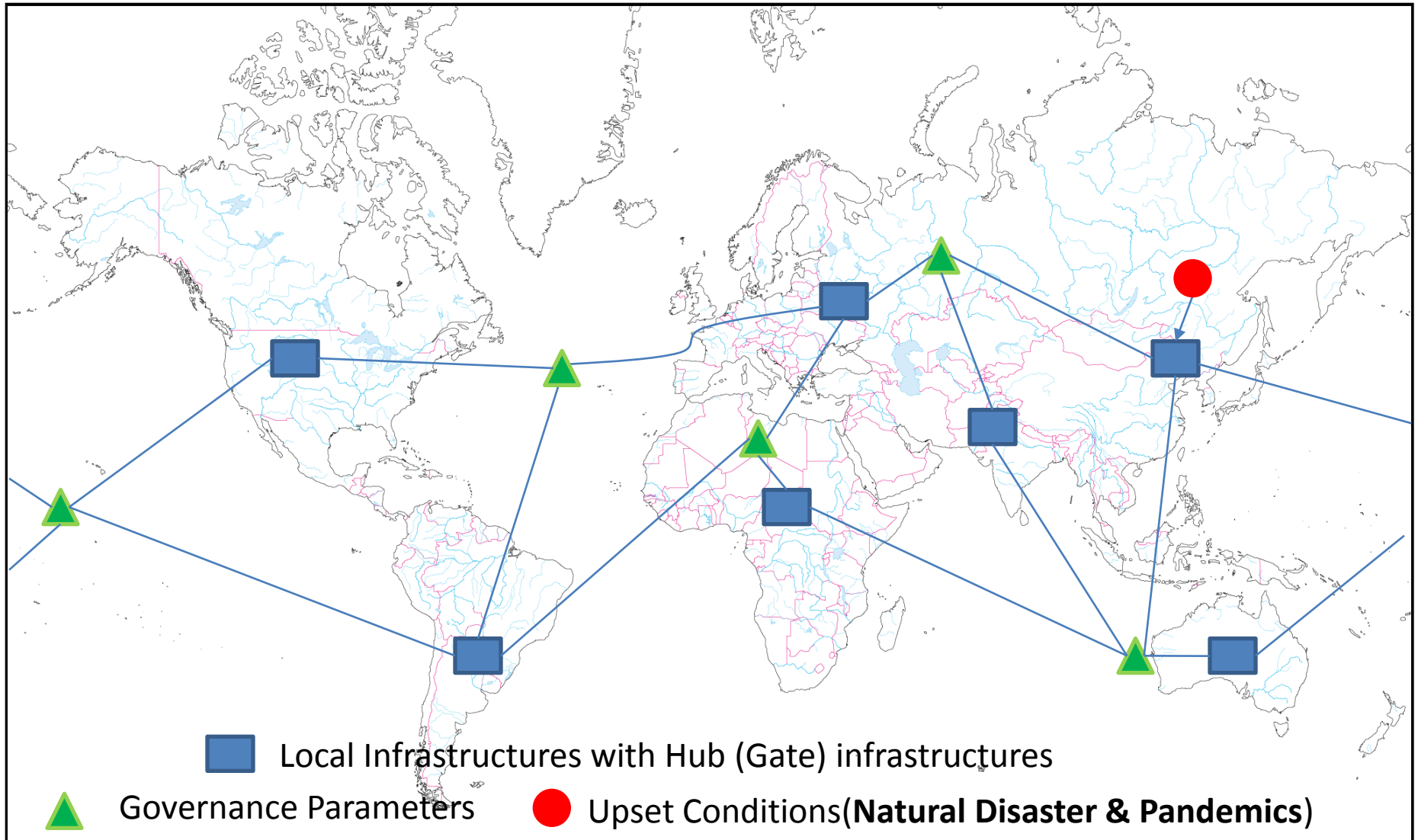
— Detour in the Disaster

# Economic Loss

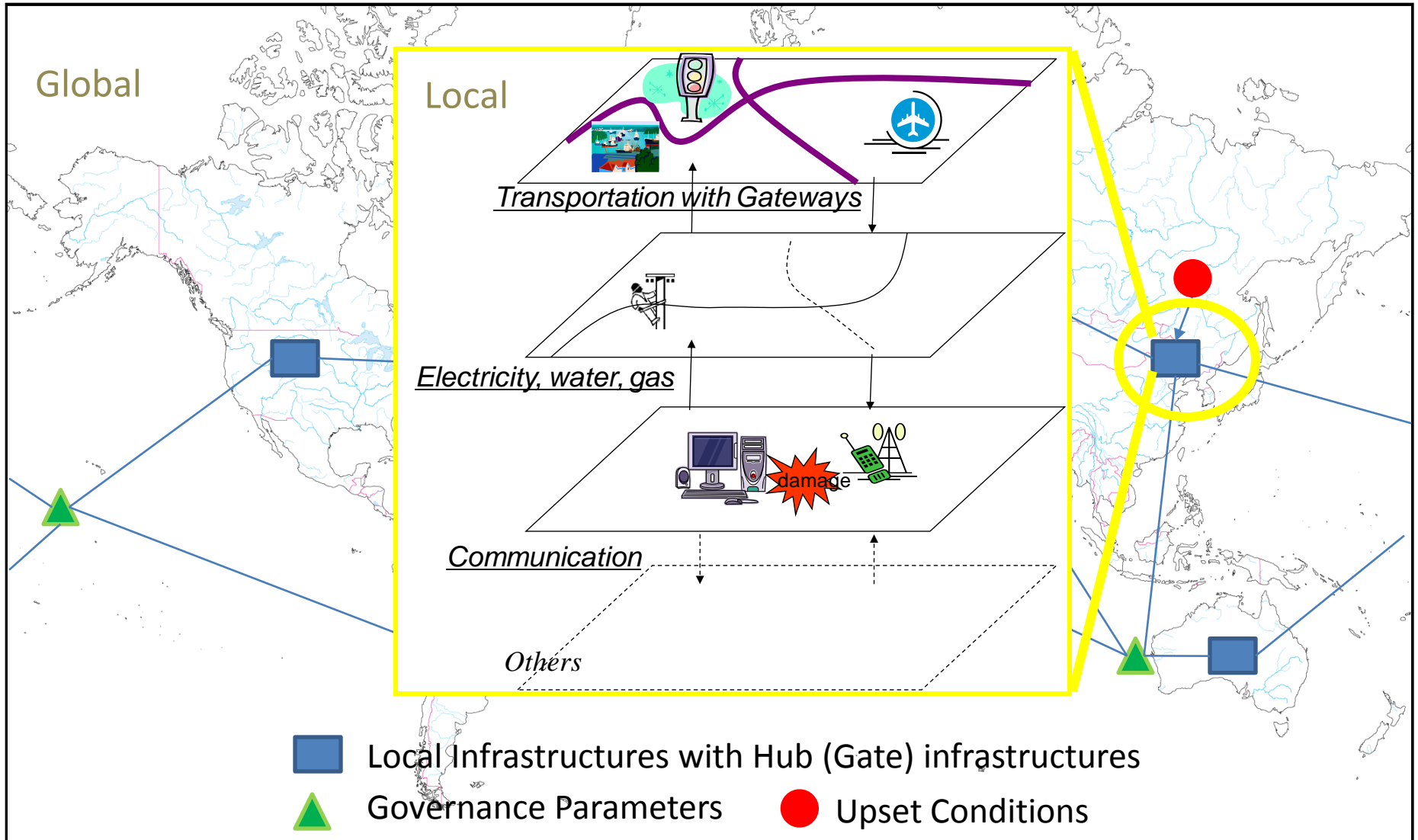
(billion yen/day)



# Global Infrastructure as a “Network of Networks”



# Global Infrastructure as a “Network of Networks”



# Remarks

- This is just an example.
- The theory provides a clue to proactive policy making and is adaptively tested with ensuing real cases.
- The theory serves as a basis for imagination-activating policy simulator (ima-simulator) .
- The theory can grow and evolve by feed-backing evidences!
- The theory and field combined can offer platform for dialogues among practitioners and policy-makers.





# KU-UGM Collaboration Prospect and Prioritization

Norio Okada

DPRI, Kyoto University

20-03-09 @UGM

# Our roles from Kyoto

Mutual Knowledge Development-]Colearning

Strengths of ours –External Knowledge

- Expertise and Experience and Education

- Exchanging people with actual fields

- Networking

Weaknesses of ours

- Language and cultural barriers

- Local knowledge and wisdom

- Local hazards

- Human resources including potential facilitators

- and communicative investigators

# Participatory methods proposed

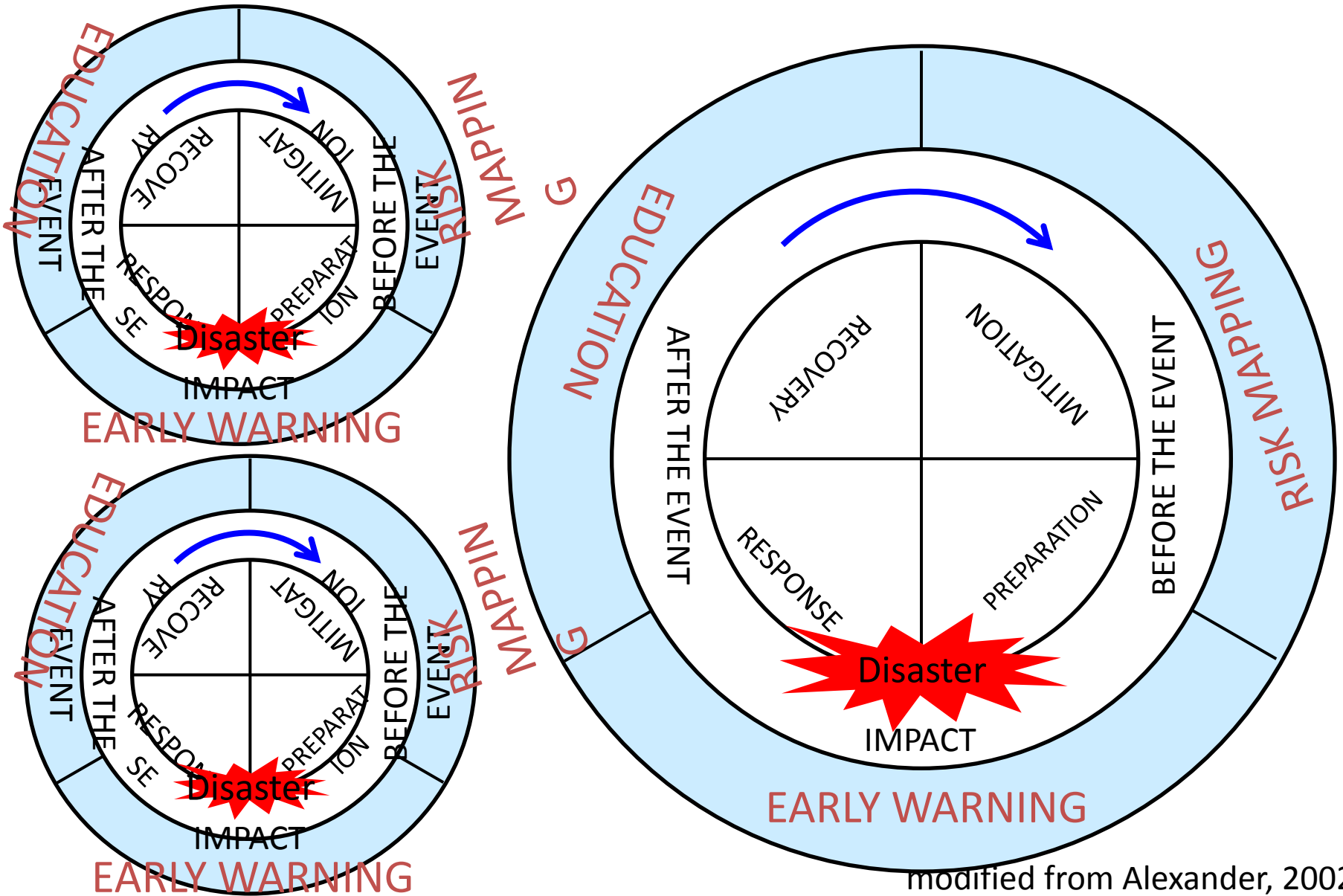
- Yonmenkaigi (4-sided) System Method
- Sandan (3-phase) System Method
- Crossroad Game Method (Yamori et al)
- Disaster Education Methods
  - kamishibai
  - by drawing
- Communicative Survey Methods

- Conflict Management
- Disaster Economics related to Development Economics
- Evaluation Methods of Process Development-  
Process Technology

# Core of Risk Communication by Rowen's CAUSE

- ①Credibility(信賴)
- ②Awareness(気づき・防災意識)
- ③Understanding (理解)-**alternatives/actable**
- ④Satisfaction(Agreement) (充足・了解)
- ⑤Execution(執行・実践)

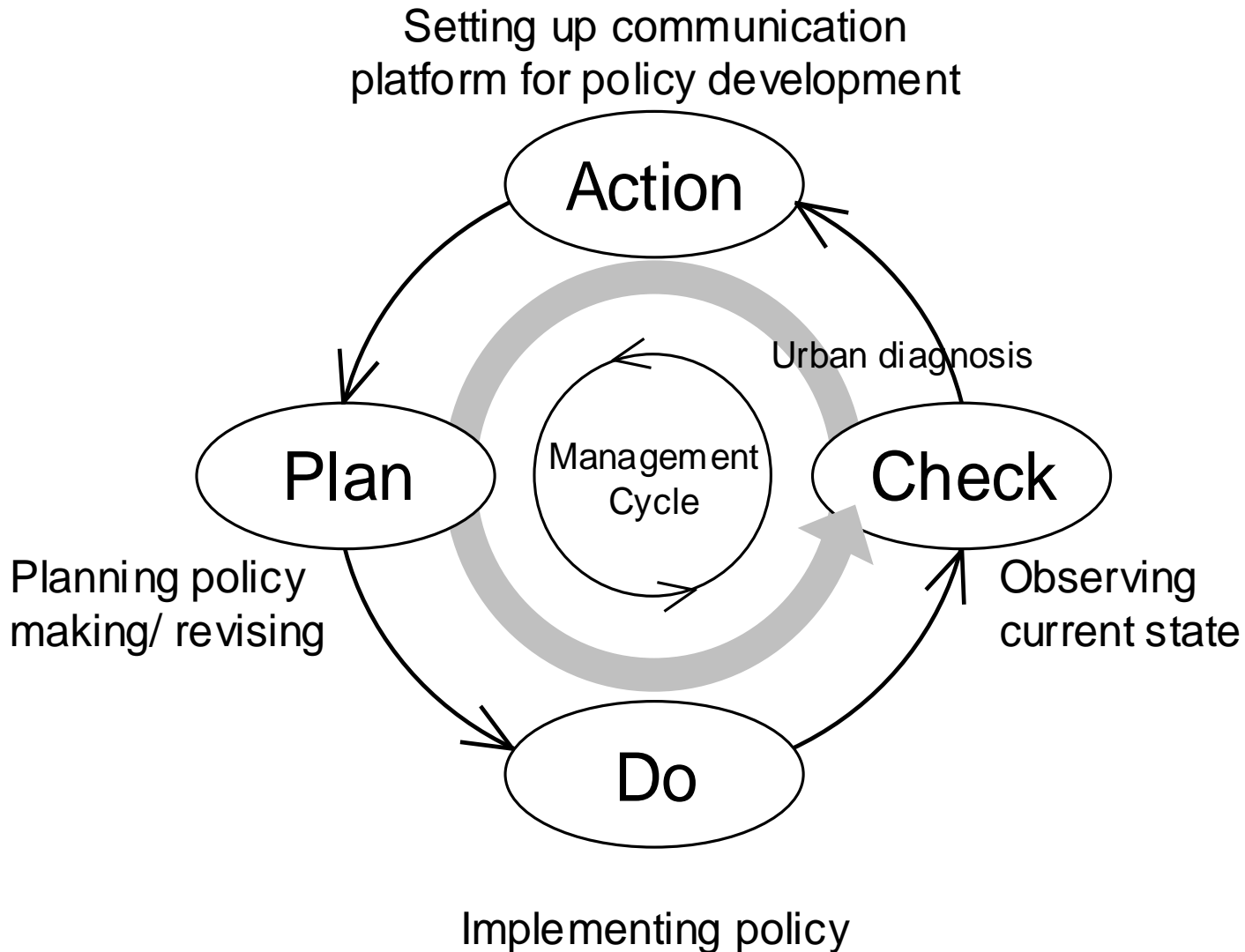
# Managing Multiple Disaster Clocks



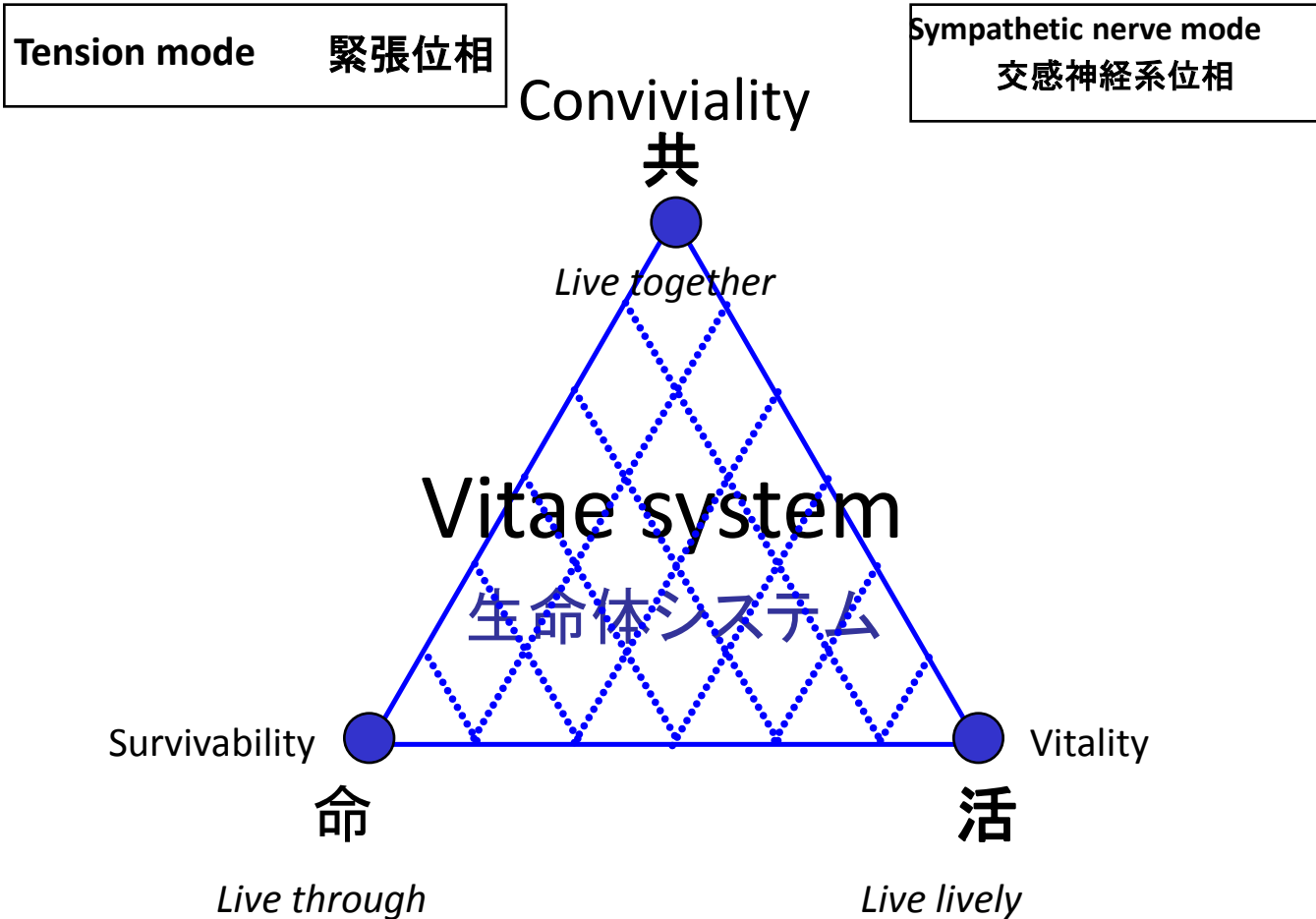
modified from Alexander, 2002

# Plan-Do-Action-Plan Process

## Small but Complete by Adaptive Management



# Vitae System





# Case Station/ Field Campus

Prioritize Actions

Advocacy  
Motivational Tools

**Advocates  
Change Agents**

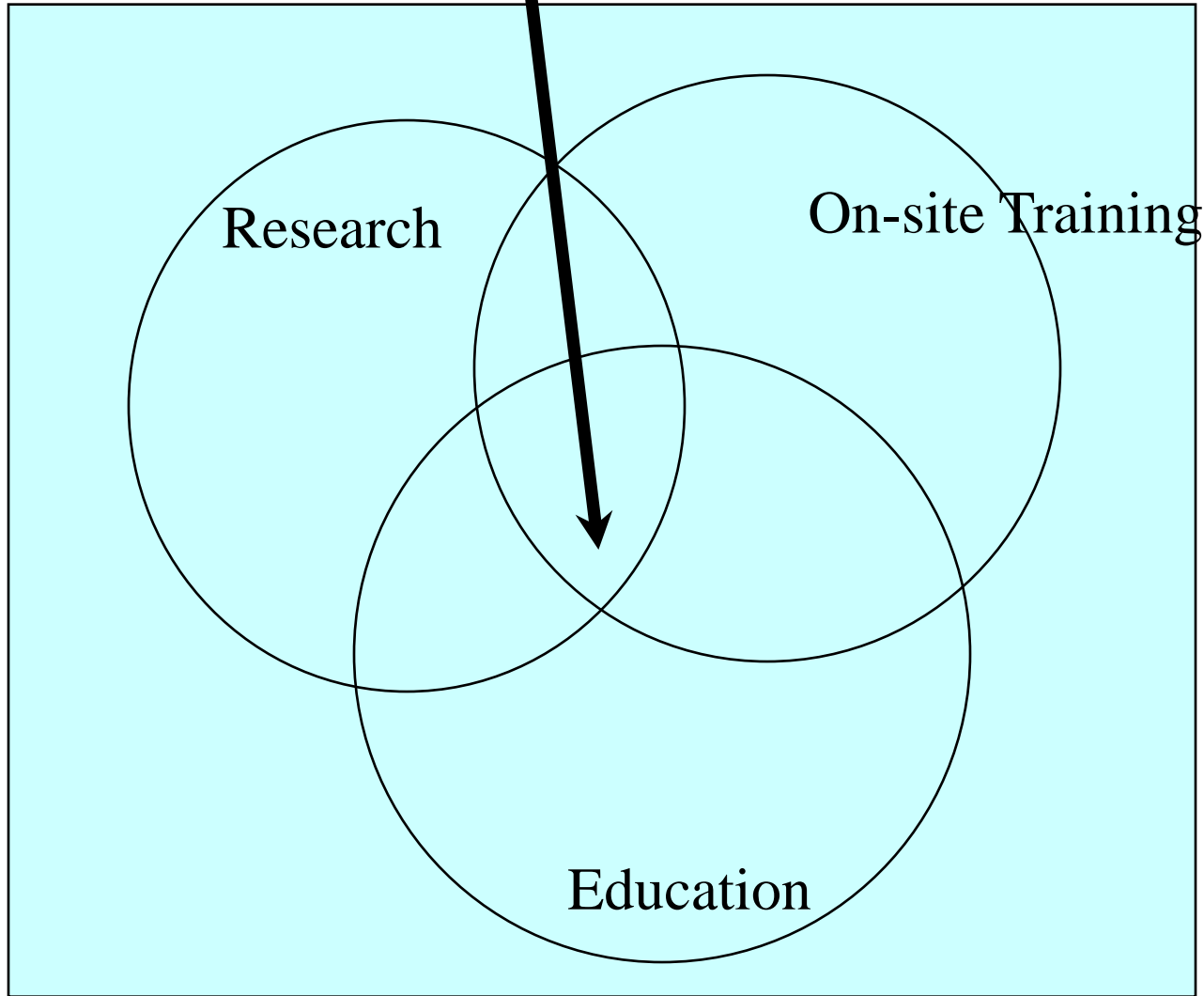
Learning and  
Implementation Process

Case Studies  
Best Practices

**Institution / Organization  
Case Station**

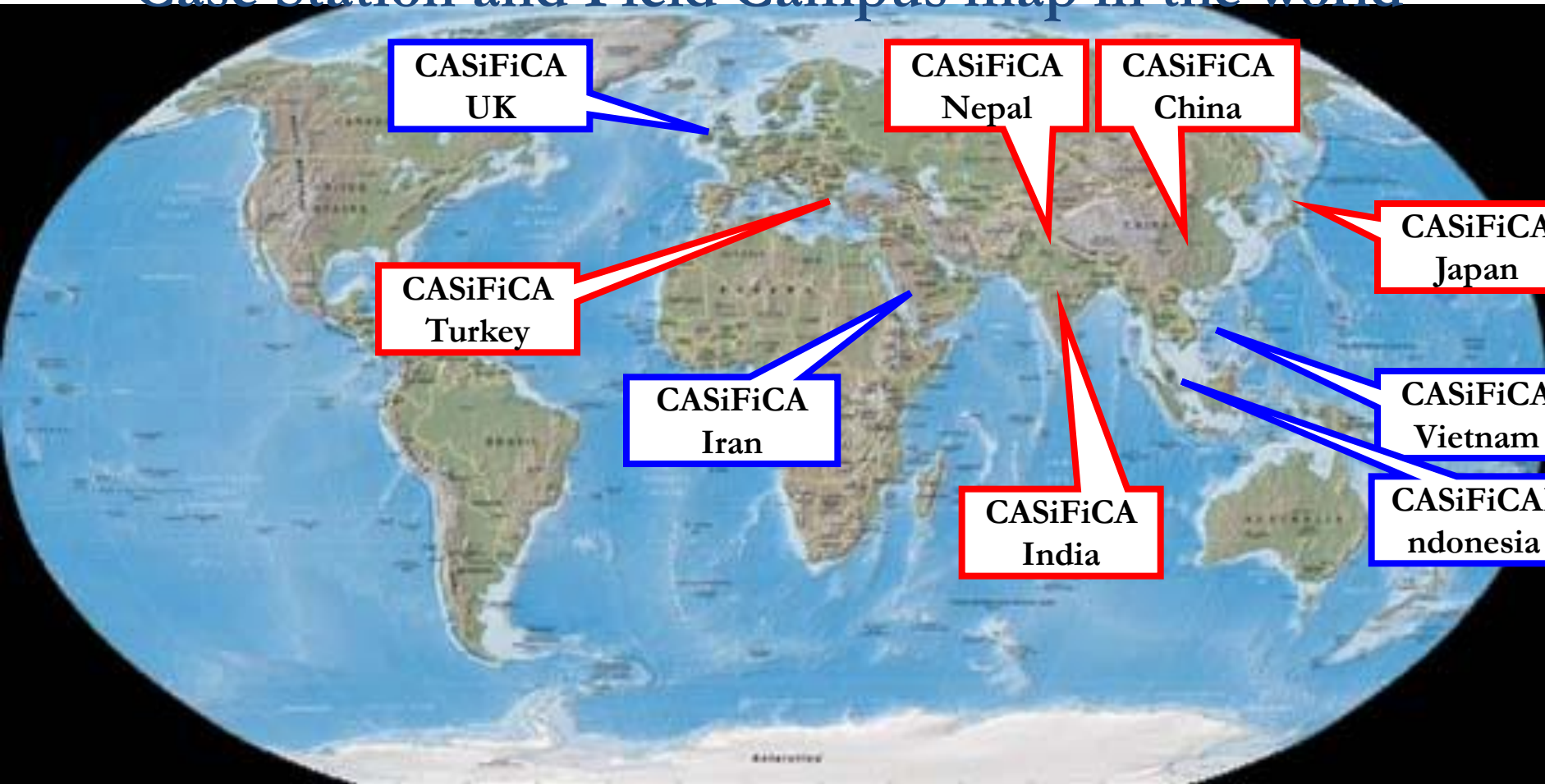
Field Campus

CASIFICA targets this missing overlap!



# CASiFiCA Challenge

## Case Station and Field Campus map in the world



**ongoing**  
MEXT-CASiFiCA

**Upcoming**  
CASiFiCA

# “CROSSROAD Game”

-- A sample practice of participatory & collaborative disaster risk management



- 25,000 copies published
- Big media coverage (TV news, papers, magazines, etc.)
- more than 35,000 participants



**Gaming-type disaster education procedure**



# “CROSSROAD Game”

- Original version, “Kobe-Version”: all episodes are based on actual events (real stories) in the 1995 Kobe Earthquake
- Obtained from a series of focus-group interviews with those who experienced the disaster (more than 200 hours with more than 150 interviewees)
- Interviewees: survivors, volunteers, and local government officers working at the frontline

[Kobe1015]

You Are...  
City employee...

Although your house is half-collapsed after the earthquake, none of your family was injured fortunately. Public transportation system is stopped and it may take about 2-3 hours to the office.

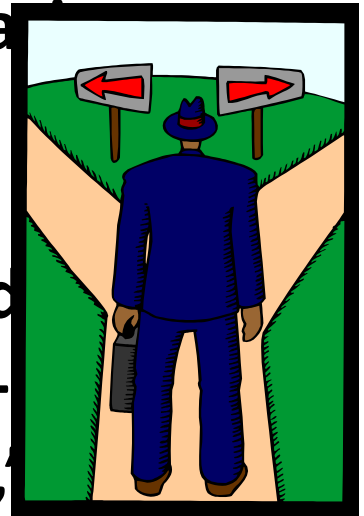
Do you come to work ?

Yes (To come to work)  
OR  
No (To stay home)

Episode Card Sample

## “CROSSROAD Game”

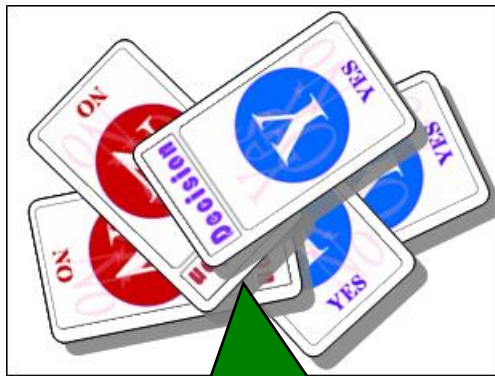
- Episodes: re-describing real experiences of interviewees in a form of severe dilemmatic either-or decision between two conflicting choices, which we call “Crossroad Format,” to extract basic essentials of disaster risk management
- Unexpectedly good feedback to Kobe-version
- More than 10 different new versions published in the same Crossroad Format, such as “Everyday-preparedness-Version,” “School-safety-Version,” “Kochi-Prefecture-Version,” “Social-work-Version,” etc.



# “CROSSROAD” --- Preparation



Forming a group of 5-7 members around a table, preferably in odd numbers of members



“YES” & “NO” Card  
(1 pair for 1 person)



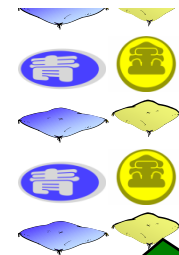
Pens, and Blue & Pink notes (stack on the table)

Episode cards

Although your house is half-collapsed after the earthquake, none of your family was injured fortunately. Public transportation system is stopped and it may take about 2-3 hours to the office.

Do you come to work ?

Yes (To come to work)  
OR  
No (To stay home)



Blue and gold point chip cards (stack on the table)

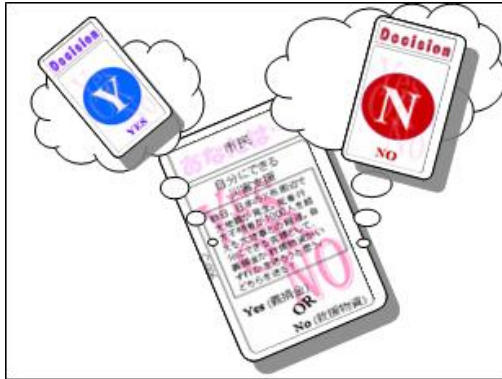
You Are...

【Kobe1015】

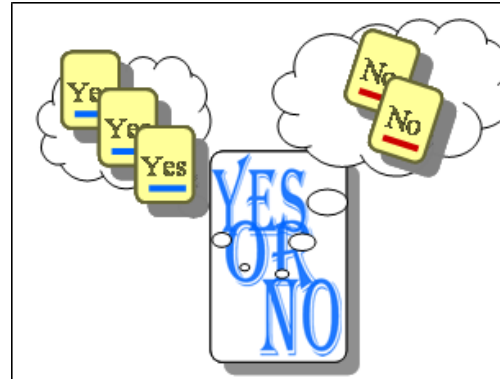
# Basic procedure of “Crossroad: Kobe”

## Procedure

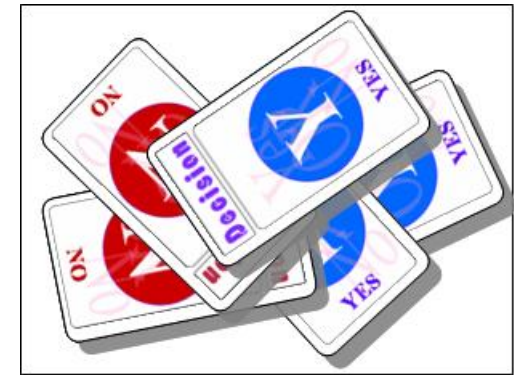
**1 Read episode and Make your choice - Yes or NO?**



**2 Disclose your choice by Yes or No card**



**3 Find out group result — Majority or minority?**



**4 Get game points based on the results**  
--- Majority : 1 normal point (a blue chip)  
--- Single Minority: 1 special point (a gold chip)

**5 Exchange views --- persuading others and/or persuaded by others, Also, writing down the reasons, grounds, and conditions for YES or NO attitude on the note**

**6 Learn basic info and listen to disaster veterans' talk**



# From ongoing CASiFiCA site

## Flood Risk Communication system

by CASiFiCA Chukyo (Tatano and Hatayama)

Develop GIS (DiMSIS)

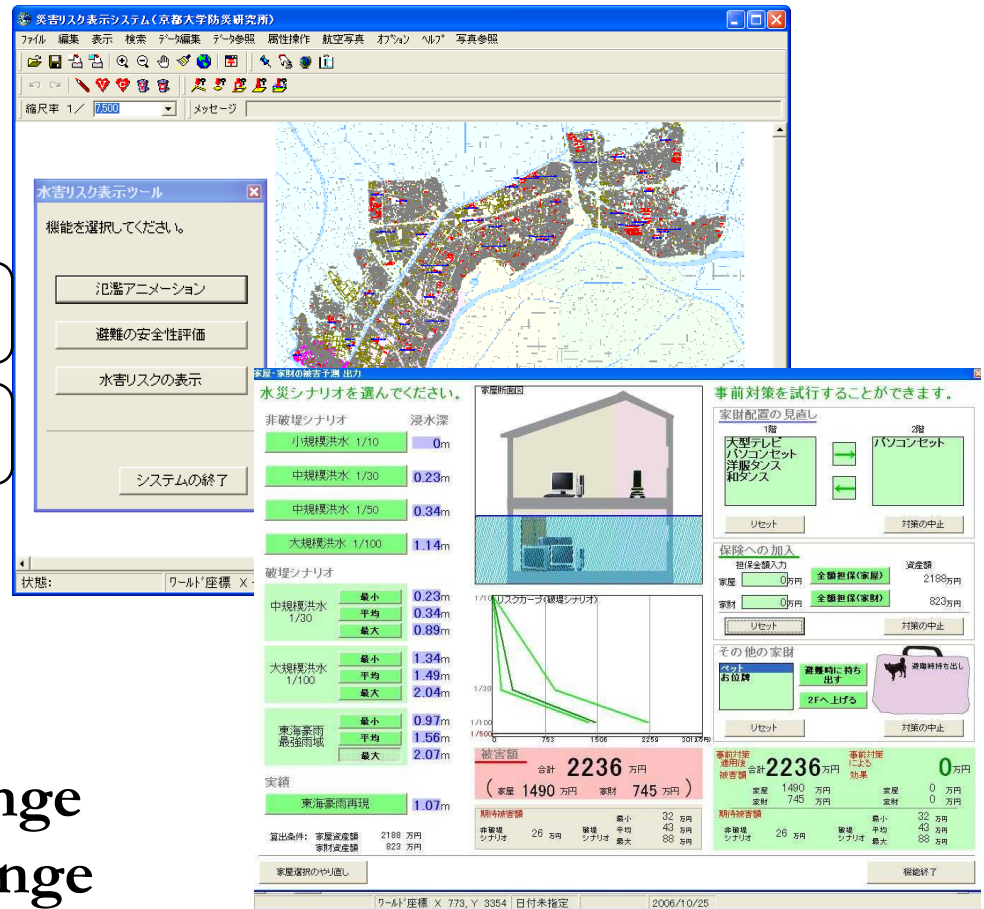
Flood Analysis

Evacuation Risk Evaluator

Housing Risk Evaluator

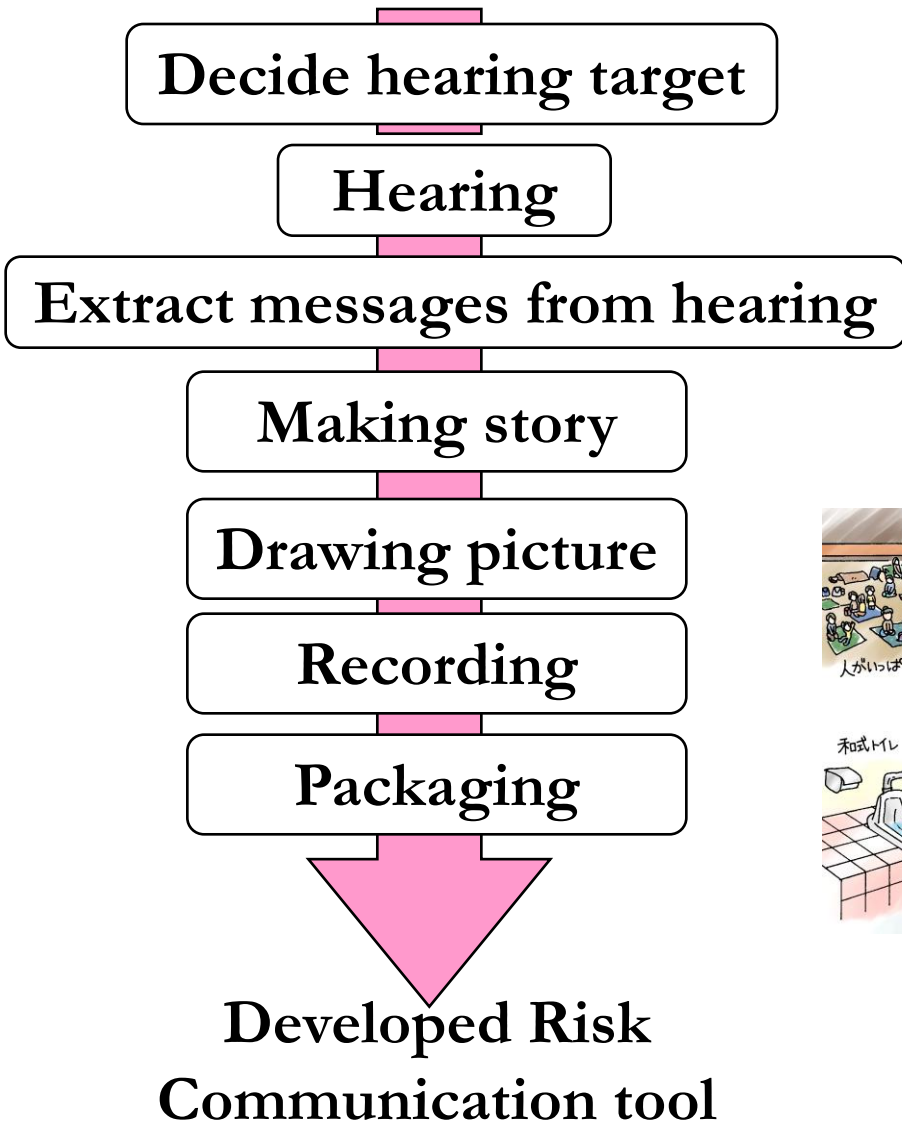
workshop

Personal Experience can change their “mental model” and change their actual behavior



Picture by Tatano

# Make process of Distal Disaster *Kamishibai* by CASiFiCA Chukyo (Hideshima and Takeuchi)



# Developed on Workshop method using of Distal Disaster *Kamishibai* by CASiFiCA Chukyo (Hideshima and Takeuchi)

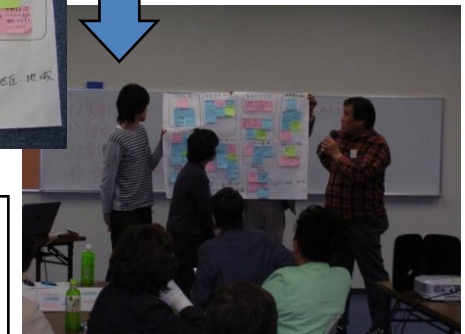
Appreciate *Kamishibai* narratives

Discussions

Determine shared rules and roles in community

Share ideas and actions

Enhance community's coping capacity



グループファシリテーターのためのカンニングペーパー  
**設問事例集 <東海豪雨版>**

グループでの話し合いは、デジタルカンニングペーパーの具体的なエピソードをきっかけに、参加者が「災害に対する地域の構え」を自分自身の問題として考えてもらうことをねらいとしています。

**話し合いがうまくいくコツ**  
 ・建設的な意見交換に努め、賛成派、反対派などに傾斜しないよう気を配ってください。  
 ・発言が頻りにあがり、なるべく多くの人に話していただくよう、気を配ります（ぶら下りでも構いませんが、「一話休ませ」などによって発言の少ない人が減ります）  
 ・自分が話して終わるまで、参加者の話をしっかりと聞き出すことを意識してまいります。  
 ・時間内で終わるよう発言の量を調整し、参加者がタイムオーバー一人お断りして、時間中に参加を促していただく予定です。

**話し合いの目的**  
 この話し合いは参加者が自分自身で考えるための設問集です。設問集に質問を尋ねる人がいれば、この話し合いは成功です。  
 ・話し合いの目的は、「東海豪雨」に関する具体的な問題について、地域住民の意見を聞き出すことです。  
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**進行の手引き**

① 開場説明など (10分)

② デジタルカンニングペーパー (15分)

③ グループでの話し合い (50分)

④ 発表 (15分)

⑤ まとめ (10分)

⑥ 閉会 (10分)

グループでの話し合い (50分)  
 各グループに分かれたら自己紹介から始め、グループファシリテーターは時間を区切りながら、設問集に関する話し合いを行います。参加者が出た意見について考え、グループ内で意見交換を行いながら意見を出し合います。

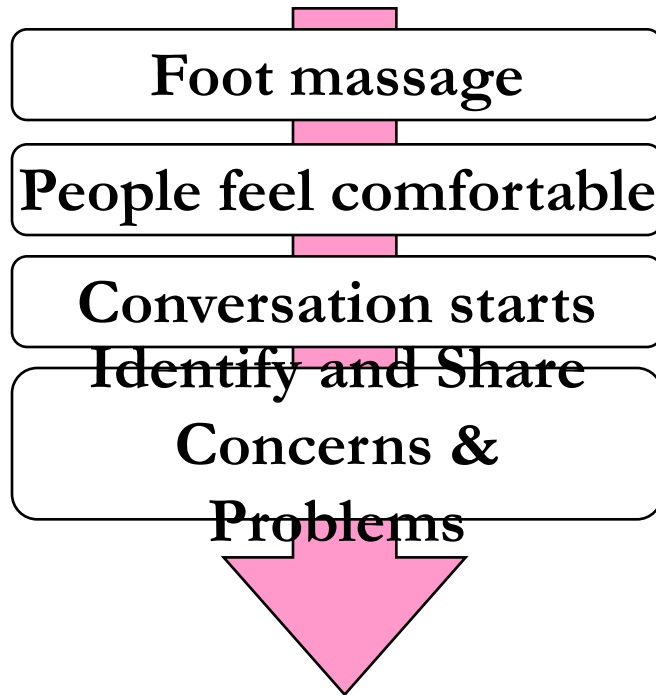
発表 (15分)  
 話し合いで出た意見や提案を、グループの代表者が発表します。

まとめ (10分)  
 ②(1時間40分)



# Approach to community

by CASiFiCA Chuetsu (Atsumi)



Foster trust and partnership  
between community people  
and volunteers



*Photo by Atsumi*

# Design of re-constructing a community by CASiFiCA Chuetsu (Atsumi)

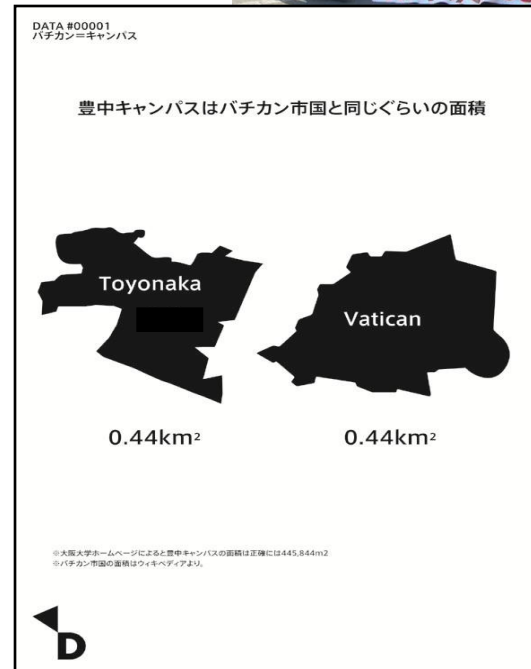
Foster trust and partnership  
between community people  
and volunteers

Hold 'Kids try camp'

Notice of Community

Reconfirm of Community

Re-structure of  
Real Community

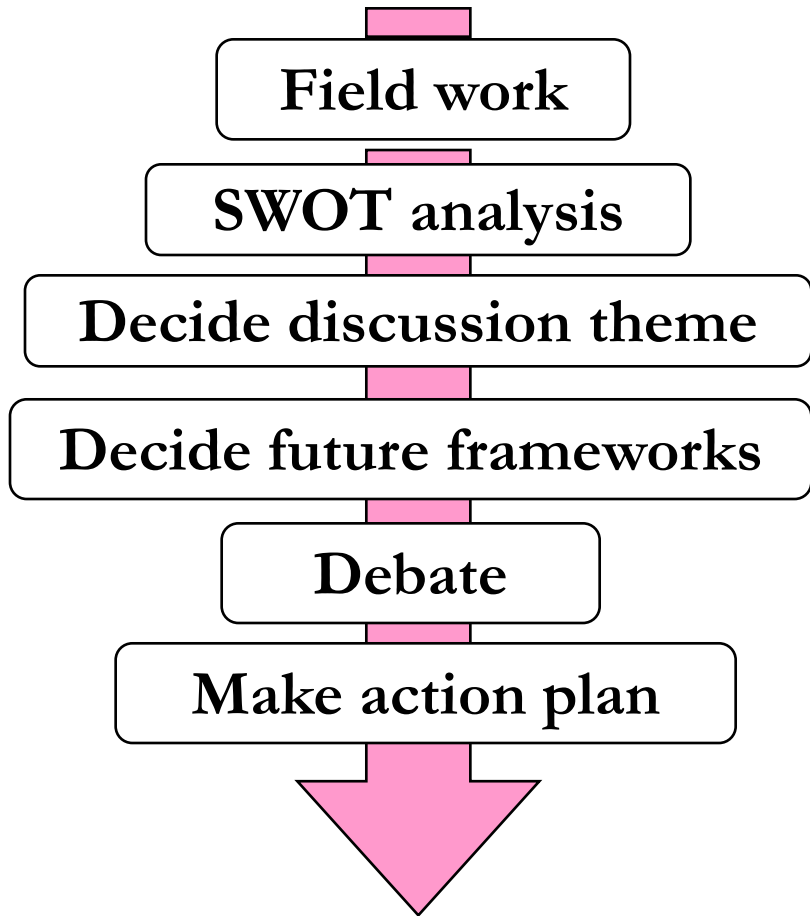


Picture by Kobayashi and Miyamoto

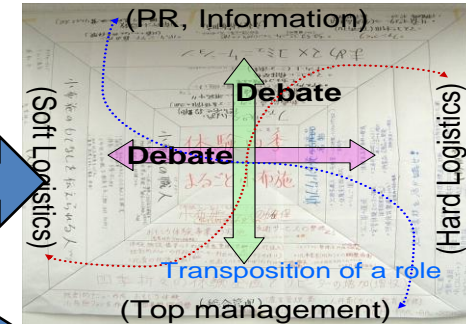
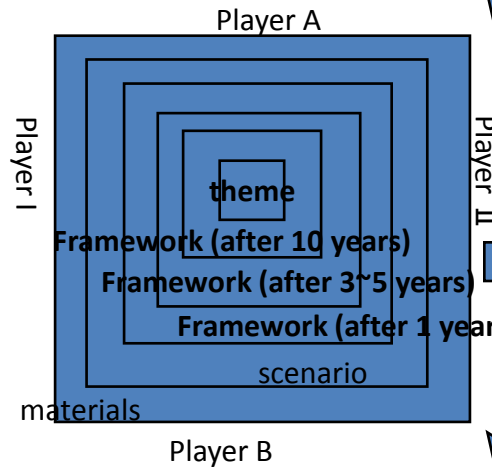
# From upcoming CASiFiCA site

## Discussion System;. Squ-Table Workshop Method

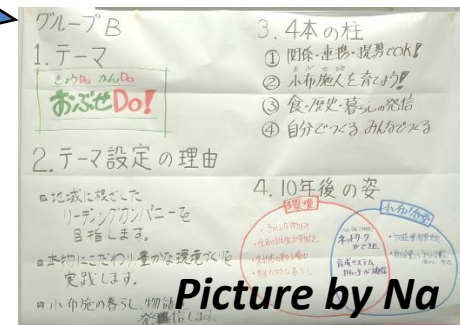
by Okada



internal factors	
S	W
external factors	
O	T



1. Theme	3. 4 pillars a. b. c. d.
2. A setting reason of a theme	4. A figure after ten years



Can make strategy action plan

# Community Understanding tool; Town watching by Rajib and Takeuchi

Decide Town watching theme

Decide stakeholder

Prepare within and without school

Town Watching  
-Field work  
-Making a map  
-Presentation

Presentation to Community

Develop of framework for sustainable  
community disaster education,  
Monitoring and Cross reference

Flow of town/mountain watching

Town/mountain watching in Saijo City



①Explanation



②fieldwork

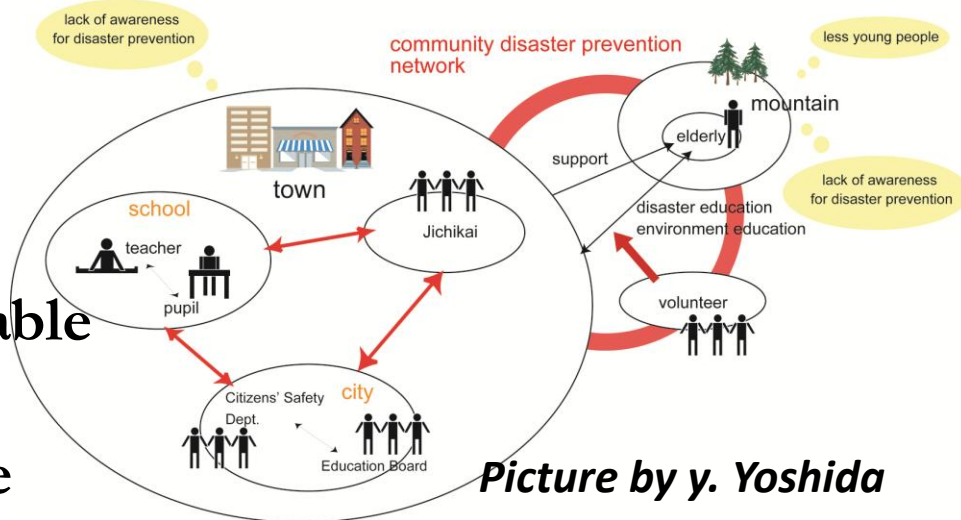


③Making a map



④Presentation

Kyoto University Graduate School of Global Environmental Studies





# NIED-EDM DRH Template (led by Kameda)

Hazards focused (Secondary hazard should be included in the categories of)  Earthquake  Tsunami

ver.6 070425

## Template for DRH Database (ver.6 / 070425: fixed through 2007FY) Disaster Reduction Technology and Knowledge under Implementation Strategies

I. Heading	
Title	Transferable Indigenous Knowledge Experiences from Japan on Flood Disasters
Major significance (less than 60 words)	Three kinds of Indigenous Knowledge and Technology for flood disaster, can be observed in the <i>Noubi</i> plains area of central Japan. These are: (1) Flood prevention; <i>Waju</i> (Inside Ring)—communities protected by ring dikes. (2) Erosion control; <i>Hijiri-Ushi</i> (Grand OX)—control water force. (3) Damage reduction; <i>Mizaou</i> (Flood House)—evacuation house.
Keywords	Flood disaster, Flood Prevention, Erosion Control and Damage Reduction
II. Categories (Multiple answers allowed)	
Focus of this information	<input type="checkbox"/> Implementation Oriented Technology <input type="checkbox"/> Process Technology <input checked="" type="checkbox"/> Transferable indigenous knowledge
Anticipated users	Practitioners ("Who are supposed to act as protectors?") <input checked="" type="checkbox"/> Community leaders (voluntary base) <input checked="" type="checkbox"/> Administrative officers <input checked="" type="checkbox"/> Municipalities <input checked="" type="checkbox"/> National governments and other intermediate government bodies (state, prefecture, district, etc.) <input type="checkbox"/> NGO/NPO project managers and staff <input type="checkbox"/> International organizations (UN organizations and programmes, WB, ADRC, EC, etc.) <input type="checkbox"/> Commercial entrepreneurs <input type="checkbox"/> Financing and insurance business personnel <input checked="" type="checkbox"/> Experts <input checked="" type="checkbox"/> Teachers and educators <input type="checkbox"/> Architects and engineers <input type="checkbox"/> Sociologists and political economists <input type="checkbox"/> Information technology specialists <input checked="" type="checkbox"/> Urban planners <input checked="" type="checkbox"/> Rural planners <input type="checkbox"/> Environmental/Ecological specialists <input type="checkbox"/> Others (Please explain using the blank space below.)
	Associated users

1/6

(GLOF)

pproach)

the blank space below. Other

ommunities

tion system

n

g the blank space below.)

Necessary process to

Three kinds of knowledge and technology to cope with flood disaster can be observed in Noubi Plain.

→Flood Prevention: *Waju*(Inside Ring); *Communities protected by Ring Dike*

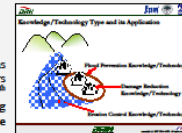
Communities living in lowland areas suffered from many flood disasters from several centuries. In the 14<sup>th</sup> century, communities built a ring dike to protect its people. Those dikes were managed by local groups of people aimed at flood control. Seeing the effectiveness of the these ring dikes, many communities copied the method. These dikes not only represented the physical structures, but also helped in developing community ties and ethical values through participatory decision making in maintenance and upgrading. As the result of physical countermeasures taken by the government in the Kiso, Nagara and Ibi Watershed Areas in 18<sup>th</sup> century, the frequency of flood in those areas has been reduced than before. Consequently, importance of the ring dikes became low, and in some cases were broken in order to renew the land use patterns.

→Damage Reduction: *Mizaou* (Flood House); *Evacuation House*  
This house has been uplifted by about 2-5m in height compared to the main house. Ordinarily *Mizaou* is used as a storage room. When flood happens, this house is used for evacuation. Possession of these houses: are limited to rich land owners.

*Age-fune* (Preparedness Boat)  
House owners possessed boats for emergency needs at the time of flood.

*Age-Butsudan*(Lift up Buddhist Family Alter)  
Buddhist Family Alter is an important asset for the household in this area, and in most cases, they are large in size. This system lifts up alters in order to protect them from submerging.

→Erosion Control: *Hijiri-Ushi*(Grand OX)  
Grand OX is considered as a river bank erosion control measure to reduce water force. Grand OX is used in the areas where rapid rivers meander.



e Ring)=Community Protected by Ring Dike  
t of Community  
agement team  
duction: *Mizaou*(Flood House)=Evacuation House  
it of Family  
earch type of floods with depth of water using previous disaster

ontrol: *Hijiri-Ushi*(Grand OX)=Control water force  
e material for the construction

e Ring)=Community Protected by Ring Dike  
levoping community ties and awareness  
eparated using local materials

e land areas  
nce is a crucial issue  
of damage in case of dike failure

duction: *Mizaou*(Flood House)=Evacuation House  
rotecting asset through closer look during flood  
user duration of flood, secondary damages due to floating  
n be reduced

cost of construction and maintenance  
past flood limit, and sometimes difficult for higher floods  
ontrol: *Hijiri-Ushi*(Grand OX)=Control water force

tive, can be made using local material  
ental friendly  
nical information on location and maintenance

. Resources required  
onion: Soil, Tree and measurement technology

ontrol: Wood or Concrete, Wire or Creeper and sand bag  
duction: Another House and Carpenter



# Procedure of Yonmenkaigi

- Step 1
  - Identify the facilitator.
  - Introduction: Explain the purpose of the workshop, outline the model / process
- Step 2 (SWOT-NOW)
  - Provide and share the basic information for SWOT analysis
  - Make community diagnosis (current state) by SWOT analysis
- Step 3 (SWOT-Future)
  - Set time horizon (short-, mid- and long-term), discuss and softly agree on common visions (Final goals)
  - Discuss possible prescriptions (actions/countermeasures)
  - Discuss how to collaborate together

- Step 4 (Yonmenkaigi Chart-based Collaborative Work)
  - Divide participants into 4 groups and assign them to the four divisions (parts) in the Yonmenkaigi Chart.

Example 1: Total management, Information, Soft(human) logistics and Hard logistics.

Example 2: Government, NGO, Company, and Local Citizens (Residents)

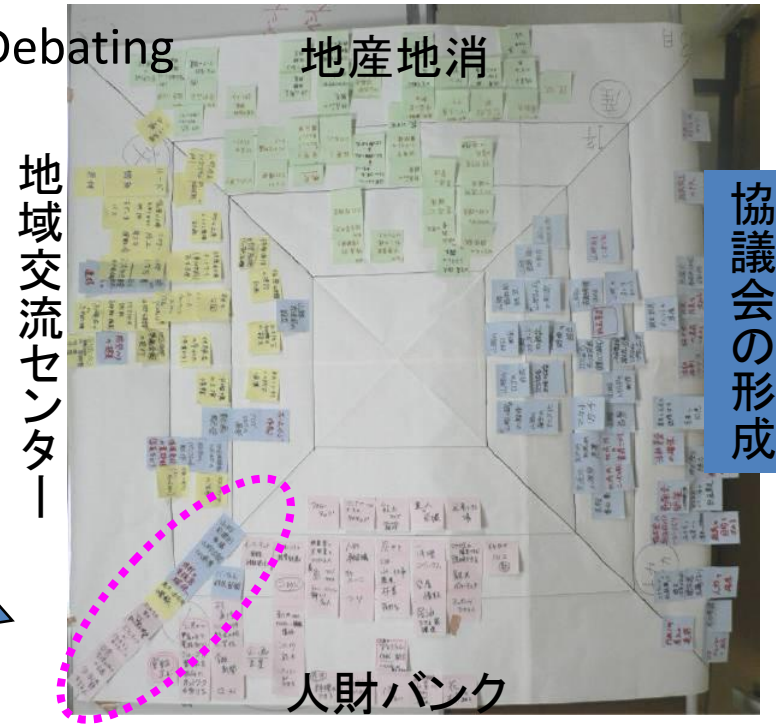
- Let each group write out in each card actions of their own part that they think necessary and put it on one's time zones (within 1 -2 years, 5 years, 10 years)
- Let each group discuss among themselves as to which card should remain in one's own area and which needs to go to the communication zone and do accordingly

- Step 5 (Debate and Inverted Debate)
  - Let two groups (facing each other on the Yonmenkaigi Chart) debate to protect one's own side and with the opposite side about if some cards on the opposite side need to be shifted in position, revised, or removed
  - Let each group rearrange cards accordingly.
  - Let each exchange their sides and let them debate to protect one's own (new) side and with the opposite side about if some cards on the opposite side need to be shifted in position, revised, or removed
  - Let each group rearrange cards accordingly.
- The same procedure applies to the remaining two groups facing each other in side.
- Let all groups reshuffle cards by allowing themselves to communicate and exchange or revise collaboratively on the messages of cards.
- Consolidate and substantiate the common vision(s)
- Produce the table of collaborative action plans
- Joint presentation of the result

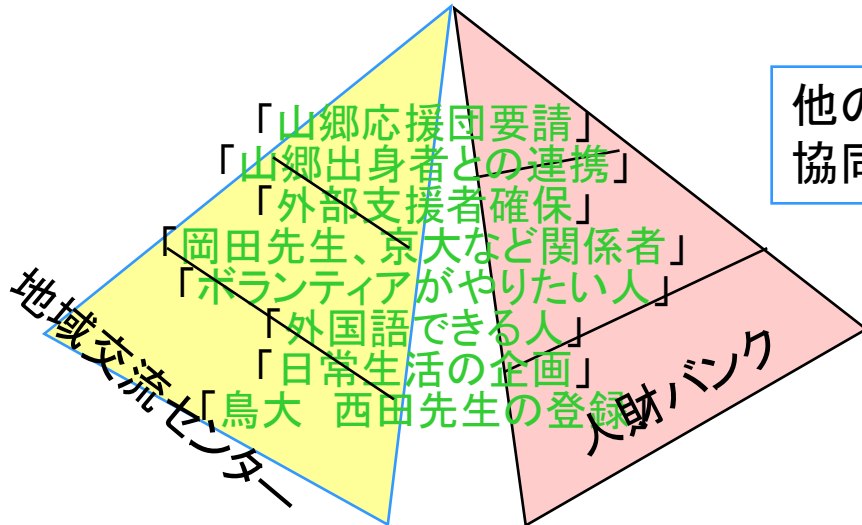
### Before Debating



### After Debating

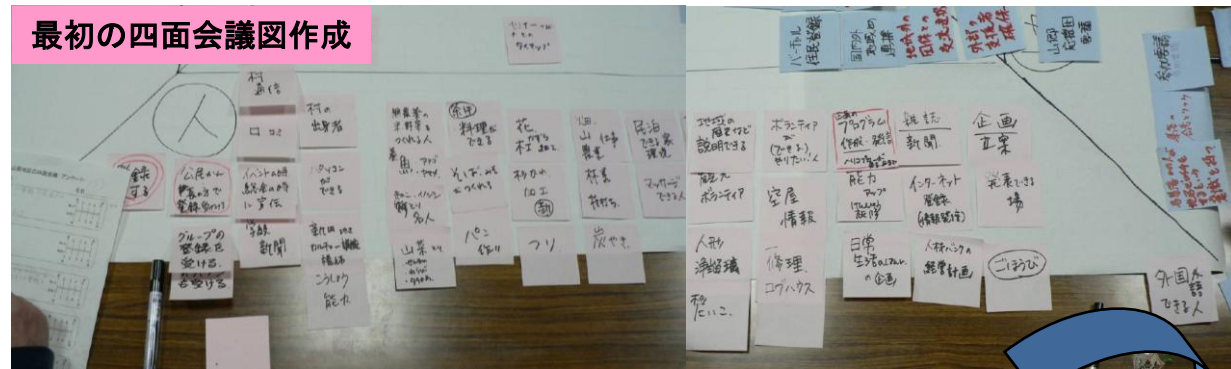


### 人財バンクと地域交流センターの協同的行動開発

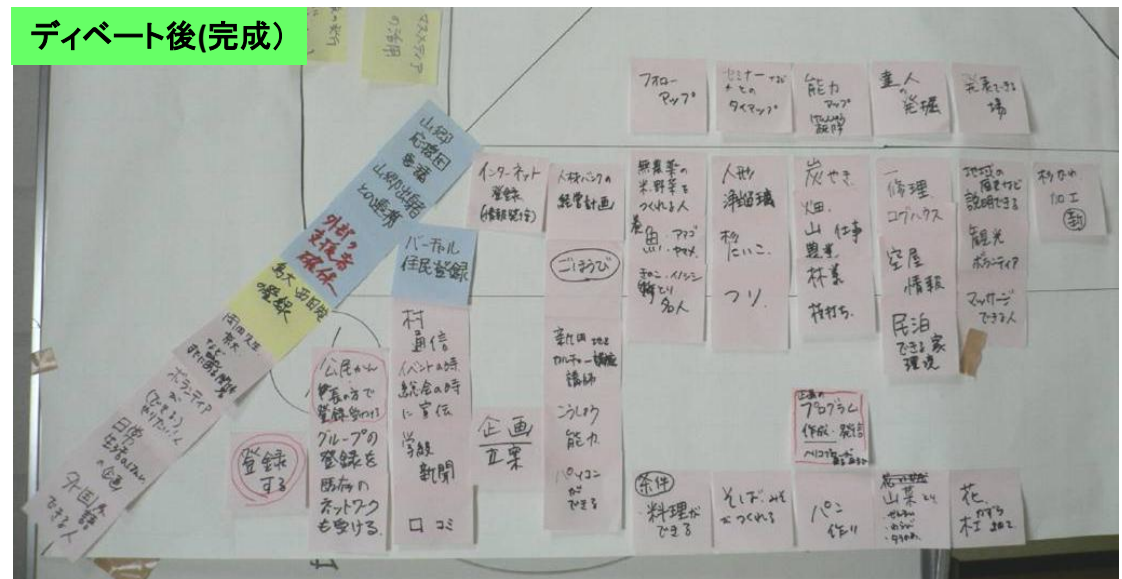


他の役割と協力することを認識して、  
 協同作業から、行動計画要素の実現性を高めていく

# 四面会議図の中で知識から行動化へ変換(人財から)



他の役割と協力することを認識して、  
協同作業から、行動計画要素の実現性を高めていく



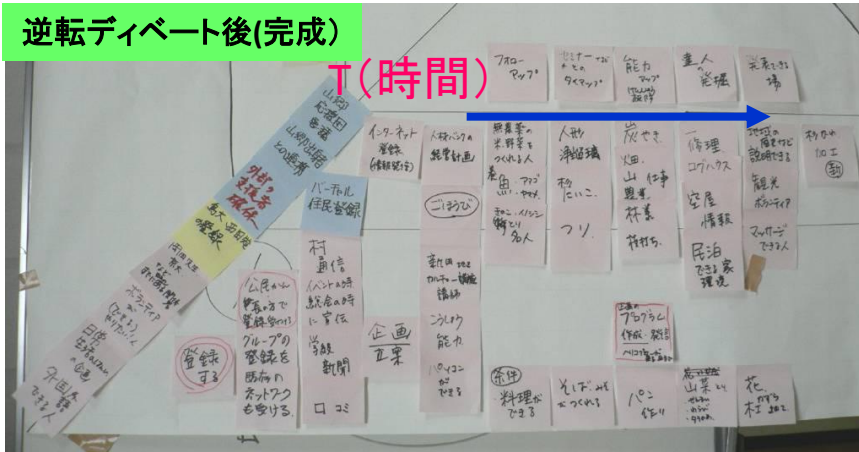
「山郷応援団要請」  
「山郷出身者との連携」  
「外部支援者確保」

「岡田先生、京大など関係者」  
「ボランティアがやりたい人」  
「外国語できる人」  
「日常生活の企画」  
「鳥大 西田先生の登録」



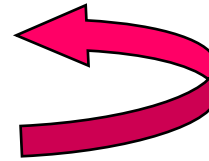
# 四面会議図の中で知識-行動への変換(人財から)

逆転ディベート後(完成)

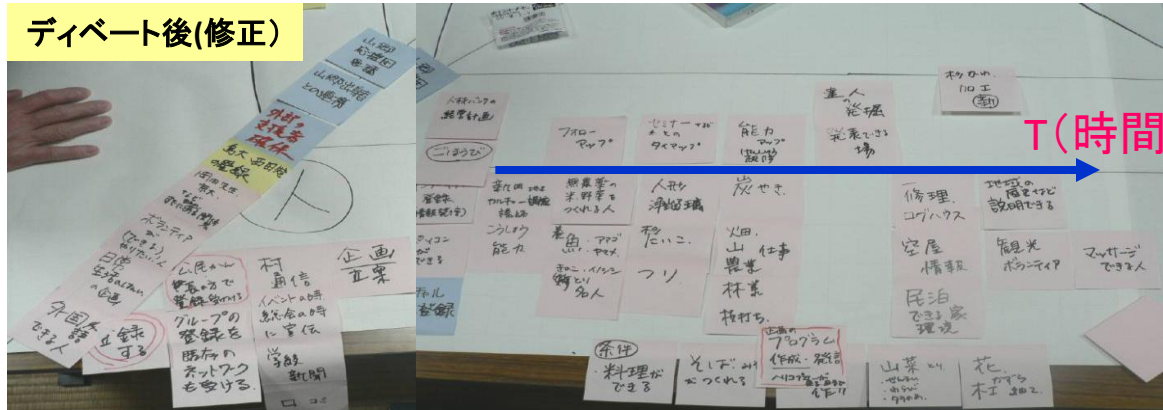


52行動計画要素

1. 長期間(5-10年)まで計画案が整理、より現実性を確保  
**(Knowledge to Action)**
2. 行動計画案がいくつかのカテゴリ化になる
3. 長期間の行動計画案が時間順に左から右へ整理。

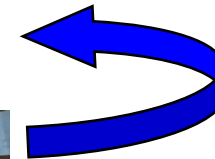


ディベート後(修正)

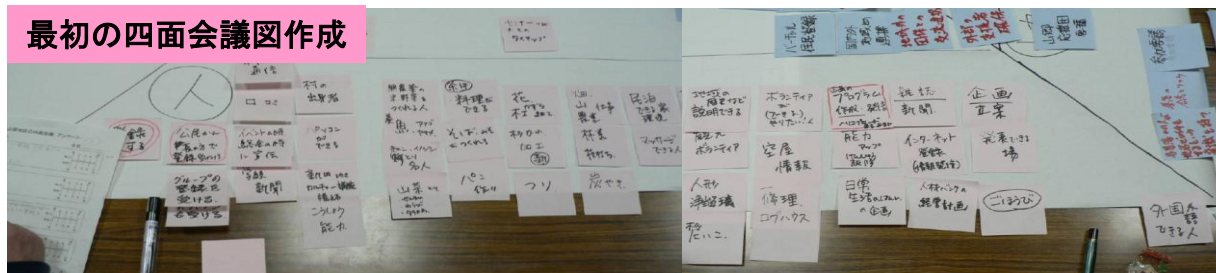


51行動計画要素

1. ディベート後は、行動計画案が時間軸に順応性を持つ
2. しかし、現実性では1年間で終わる。
3. 他の役割と協力することを認識  
**(Collective Action)**



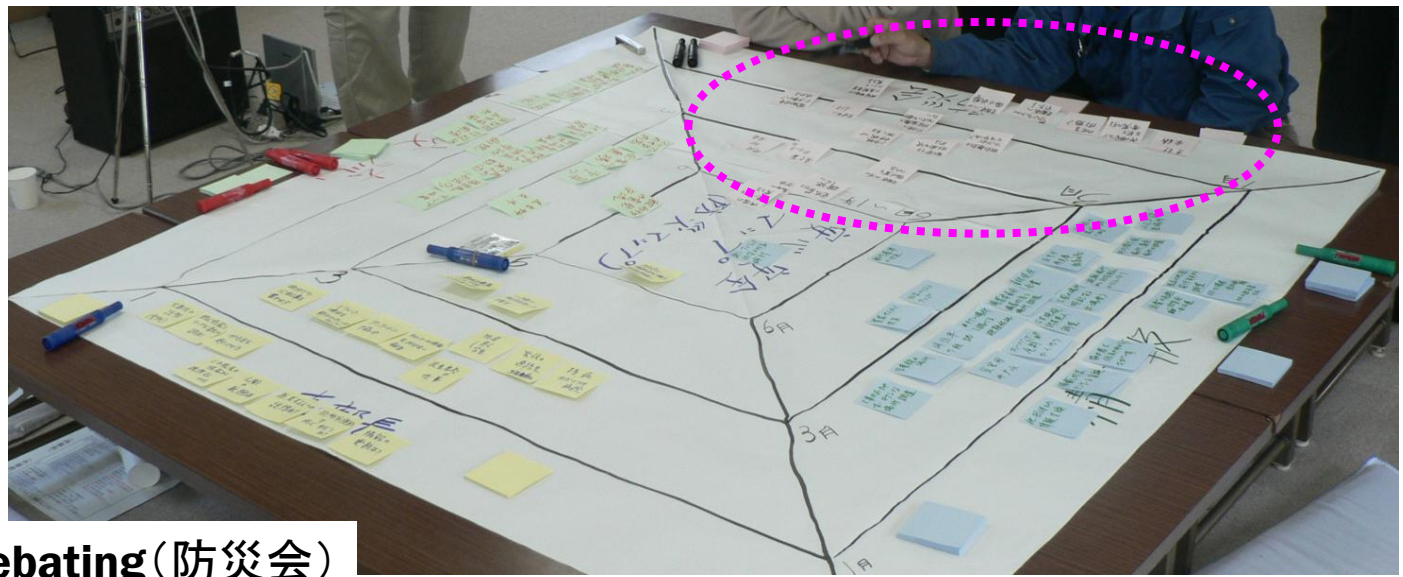
最初の四面会議図作成



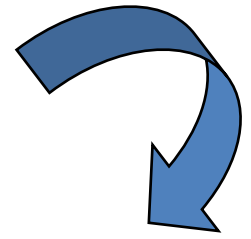
47行動計画要素

行動計画案が  
3ヶ月~1年間だけで  
集中している

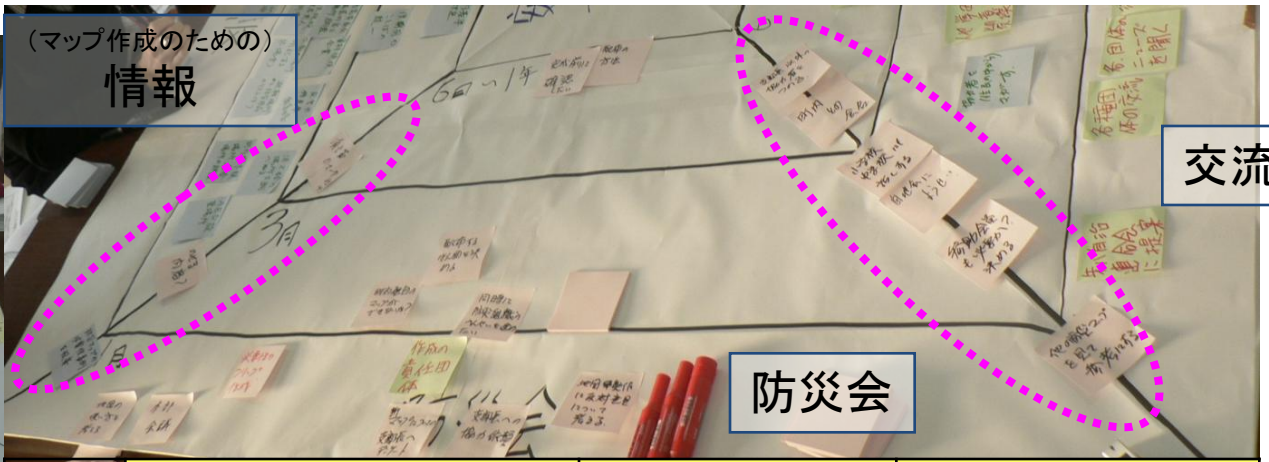
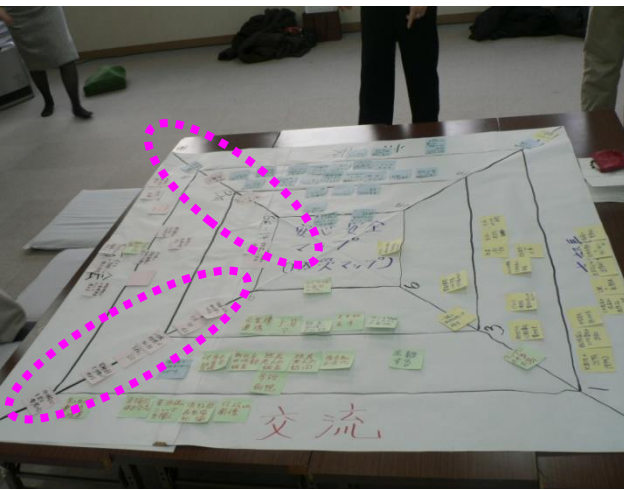
Before Debating



After Debating



After Debating (防災会)



防災会の9個の行動計画要素が協同的行動開発になった。

	3ヶ月以内	3ヶ月~6ヶ月	6ヶ月~1年
防災会	9.防災マップの必要性事例を収集、	載せる内容(の確定)	1.消火器のマークつけ
	8.他の地域のマップを見て参考にす る	小学校・中学校にも話し する	3.支部長以外の協力者をつ のる
		自治会に要請	4.町内との会合
		参助会員も必要か決め る	

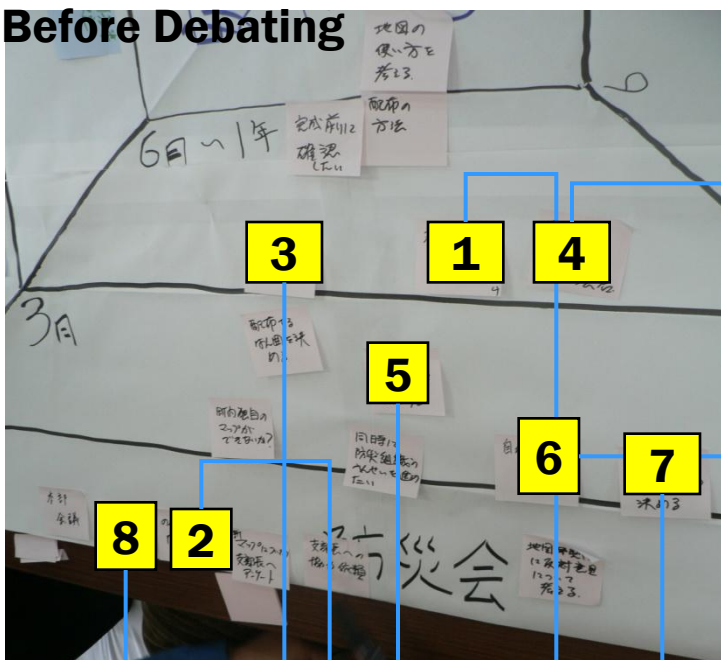




### Collaborate Action components

安全・安心マップづくりにおいて**防災会**は「載せる内容(の確定)」、「自治会に要請」、「町内との会合」などの行動計画要素を実行のために他のグループと協同的行動開発を行う。

### Before Debating



### After Debating



(マップ作成のための) 情報

交流

防災会



# IDRiM Society: An academic initiative, a new academic society

- IDRiM Society was launched in Kyoto last year (2009) and it held its first conference in Vienna early September this year (2010).
- Before that there have been decade-long initiatives to develop and extend cross-disciplinary forums (IDRiM Forums, 2001-2009) and networking efforts made by us, DPRI and IIASA (International Institute for Applied Systems Analysis) in Austria.

1st Annual Conference of the  
International Society for Integrated  
Disaster Risk

Management - IDRiM 2010: Sharing  
IDRiM experiences under different  
socio-economic and cultural contexts  
at BOKU, Vienna, Austria

Sept. 1-3, 2010

<http://www.idrim2010.com/>

# You all are welcome!

## Join us in

- IDRiM Society.

Visit <http://nexus-idrim.net/idrim10/>

for information and member registration

